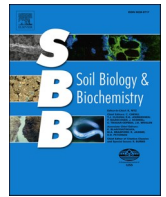


Exhibit F



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Soil Biology and Biochemistry

journal homepage: <http://www.elsevier.com/locate/soilbio>

A meta-analysis of global cropland soil carbon changes due to cover cropping

Jinshi Jian^{a,b}, Xuan Du^c, Mark S. Reiter^{a,d}, Ryan D. Stewart^{a,*}

^a School of Plant and Environmental Sciences, Virginia Tech, Blacksburg, VA, USA

^b Pacific Northwest National Laboratory-University of Maryland Joint Global Change Research Institute, 5825 University Research Court, Suite, 3500, College Park, MD, USA

^c Department of Hydraulic Engineering, Yangling Vocational & Technical College, Yang Ling, Shaanxi, China

^d Eastern Shore Agricultural Research and Extension Center, Virginia Tech, Painter, VA, USA

ARTICLE INFO

Keywords:

Cover crop
Soil organic carbon
Soil quality
Soil health
Meta-analysis
Database

ABSTRACT

Including cover crops within agricultural rotations may increase soil organic carbon (SOC). However, contradictory findings generated by on-site experiments make it necessary to perform a comprehensive assessment of interactions between cover crops, environmental and management factors, and changes in SOC. In this study, we collected data from studies that compared agricultural production with and without cover crops, and then analyzed those data using meta-analysis and regression. Our results showed that including cover crops into rotations significantly increased SOC, with an overall mean change of 15.5% (95% confidence interval of 13.8%–17.3%). Whereas medium-textured soils had highest SOC stocks (overall means of 39 Mg ha⁻¹ with and 37 Mg ha⁻¹ without cover crops), fine-textured soils showed the greatest increase in SOC after the inclusion of cover crops (mean change of 39.5%). Coarse-textured (11.4%) and medium-textured soils (10.3%) had comparatively smaller changes in SOC, while soils in temperate climates had greater changes (18.7%) than those in tropical climates (7.2%). Cover crop mixtures resulted in greater increases in SOC compared to mono-species cover crops, and using legumes caused greater SOC increases than grass species. Cover crop biomass positively affected SOC changes while carbon:nitrogen ratio of cover crop biomass was negatively correlated with SOC changes. Cover cropping was associated with significant SOC increases in shallow soils (≤ 30 cm), but not in subsurface soils (> 30 cm). The regression analysis revealed that SOC changes from cover cropping correlated with improvements in soil quality, specifically decreased runoff and erosion and increased mineralizable carbon, mineralizable nitrogen, and soil nitrogen. Soil carbon change was also affected by annual temperature, number of years after start of cover crop usage, latitude, and initial SOC concentrations. Finally, the mean rate of carbon sequestration from cover cropping across all studies was 0.56 Mg ha⁻¹ yr⁻¹. If 15% of current global cropland were to adopt cover crops, this value would translate to 0.16 \pm 0.06 Pg of carbon sequestered per year, which is ~ 1 –2% of current fossil fuels emissions. Altogether, these results indicated that the inclusion of cover crops into agricultural rotations can enhance soil carbon concentrations, improve many soil quality parameters, and serve as a potential sink for atmosphere CO₂.

1. Introduction

Many woodlands and grasslands are being converted to cropland due to increasing world population and food production requirements. Between 1850 and 1980, at least 900 million hectare (Mha) of naturally

vegetated lands were converted to croplands and pastures across the globe (Houghton, 1995), with the conversion process continuing today in many parts of the world (McGuire et al., 2001). Converting lands from natural vegetation to cropland leads to soil organic carbon (SOC) losses. For example, a large-scale study in Germany (Wiesmeier et al., 2013a,

Abbreviations: ha, hectare; Mha, million hectares; SD, Standard deviation; RR, Response ratio; CC(s), Cover crop(s); NC, No cover crops; BD, Bulk density [M L⁻³]; SOC_{stock}, Soil organic carbon stock [M L⁻²]; SOC%, Soil organic carbon concentration [M M⁻¹]; C_{rate}, Rate of change of soil organic carbon [M L⁻² T⁻¹]; C_{sequestration}, Carbon sequestered in soil due to cover crop usage [M T⁻¹].

* Corresponding author.

E-mail address: ryan.stewart@vt.edu (R.D. Stewart).

<https://doi.org/10.1016/j.soilbio.2020.107735>

Received 14 September 2019; Received in revised form 17 January 2020; Accepted 25 January 2020

Available online 27 January 2020

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2013b) showed that cropland soils stored lower amounts of SOC (90 Mg ha⁻¹) than either forest soils (98 Mg ha⁻¹) or grassland soils (118 Mg ha⁻¹). Based on a meta-analysis of 95 studies covering 322 sites with temperate climates, converting grassland to cropland led to mean SOC losses of 36% and converting from forest to cropland caused SOC to decrease by an average of 32% (Poepflau et al., 2011). Another meta-analysis conducted using 385 studies found that converting from primary forest to cropland yielded SOC losses of 25%–30% (Don et al., 2011).

Reversing carbon losses in cropland soils can be a means to sequester atmospheric carbon (Gattinger et al., 2012). Elevated SOC is also associated with improved soil health and fertility; therefore, increasing SOC may help to enhance agricultural productivity (Stewart et al., 2018; Van Eerd et al., 2014). Shifts in management practices, including the use of cover crops (CCs) within rotations, has been proposed as a way to increase SOC stocks (Kaye and Quemada, 2017). However, not all studies found CCs to result in SOC accumulation, with some demonstrating SOC losses after introduction of CCs (Bandick and Dick, 1999; Idowu et al., 2009; Ndiaye et al., 2000). Due to differences in climate and management, CCs may need to be used for decades in some systems to cause significant SOC increases (Poepflau and Don, 2015). Results also vary depending on soil texture and type, as some fine-textured soils can help to physically protect SOC from decomposition (Callesen et al., 2003; Krull et al., 2003), depending on factors such as mineralogy and amount of soil aggregation (Hassink and Whitmore, 1997; Schmidt et al., 2011).

Cover crop and rotation types can both affect SOC changes. For example, some studies found grass CCs, including cereal rye [*Secale cereale*] and annual ryegrass [*Lolium multiflorum*], cause greater SOC increases than leguminous CCs like cowpea [*Vigna unguiculata*] and hairy vetch [*Vicia villosa Roth*] (Mazzoncini et al., 2011; O'Dea et al., 2013). Other studies found greater SOC increases after legume CCs compared to grass CCs (Utomo et al., 1990), with mixtures often causing the greatest increases of all (Sainju et al., 2006). One reason for these incongruous results may result from differences in biomass and carbon:nitrogen (C:N) ratios between CC species. Legume CCs typically have low C:N ratios, due in part to their ability to fix atmospheric nitrogen, while grass CCs often have higher biomass but also higher C:N ratios. Crop rotations may also influence SOC accumulation from CCs, both by affecting CC planting and harvesting dates (thus varying the time for biomass accrual) and by altering soil properties such as nutrient availability, soil structure, and soil microbial properties (Bandick and Dick, 1999; Campbell et al., 1991; Sainju et al., 2006). However, it is not well understood whether and how cash crop rotations interact with CCs to alter SOC in agricultural soils.

To resolve uncertainties and discrepancies that can emerge from single-site studies, modern techniques such as meta-analysis have been used to compile and compare results from various investigations of CCs. For example, a meta-analysis for the Pampas region of Argentina showed that SOC significantly increased when CCs were grown in coarse- and fine-textured soils (Alvarez et al., 2017). Aguilera et al. (2013) found that practices combining external organic amendments with CCs caused significant increases in SOC concentrations. Blanco-Canqui et al. (2015) determined that introducing CCs resulted in an SOC increases of 0.1–1.0 Mg ha⁻¹ yr⁻¹. While the rate of accumulation slowed through time, the results of that study suggested that more than a century may be needed for SOC concentrations to reach new equilibria. Despite generating such insights, however, most existing meta-analyses focused on a particular region and have not considered climatic influences and environmental factors in the response. These regional responses may therefore have limited applicability towards understanding SOC dynamics at global scales.

Meta-analysis has also not yet been used to comprehensively assess interactions between SOC and other soil properties (e.g., soil penetration resistance, soil nitrogen, soil microbial activity), even though individual studies identified different (and at times contradictory) parameter

responses to CCs. For instance, CC introduction led to increases in soil aggregate stability (Marques et al., 2010; Stavi et al., 2012; Tesfahunegn et al., 2016) and water infiltration rates (Haruna et al., 2018), yet other studies demonstrated no effect or even decreased infiltration rates after introduction of CCs (Abdollahi and Munkholm, 2014; Steele et al., 2012). Likewise, some studies found that bulk density (BD) decreased after CC usage (Stavi et al., 2012; Spargo et al., 2008), while others found no effect (Blanco-Canqui et al., 2011, 2013; Jiang et al., 2007). To examine past and current practices that best quantify properties associated with soil health, Stewart et al. (2018) collected historical publications examining CC and no-till practices and integrated those data into a global soil health database called SoilHealthDB (Jian et al., 2019, 2020). That analysis determined that 13 out of 42 soil health indicators showed >10% difference from no cover crop (NC) controls in the first 1–3 years after CCs were introduced. Responsive parameters included soil aggregate stability, nitrogen mineralization rate, and microbial biomass nitrogen and carbon, whereas SOC did not show a consistent short-term response to CC. The question thus remains whether these changes in soil properties help to drive longer-term changes in SOC.

In this present study, we used the SoilHealthDB to further explore SOC dynamics after CCs. The study objectives were to: 1) quantify CC usage effects on SOC concentrations; 2) evaluate how climate type, CC species, and cash crop rotation affected SOC dynamics using meta-analysis; 3) identify possible mechanisms for SOC changes via correlation with other soil/agronomic variables; and 4) estimate carbon sequestration potential as CCs become applied to various extents within cropland across the globe.

2. Methods

2.1. Data collection

To gather data for analysis, we collected publications from three sources: (1) the “Research Landscape Tool” that compiled soil health-related publications and research projects into a searchable database (<http://www.soilhealthinstituteresearch.org/>); (2) cited papers from previous meta-analyses and review papers, specifically Poepflau and Don (2015), Alvarez et al. (2017), Sileshi (2009), and Gattinger et al. (2012); and (3) scholarship-focused search engines, including ISI Web of Science, Google Scholar, and the China National Knowledge Infrastructure (CNKI). We accessed the Research Landscape Tool (<http://www.soilhealthinstituteresearch.org/Home/Search/Cover-Crop>) on April 25, 2018, and found all peer-reviewed journal articles listed there under “cover crops”. For search engines, we used the keywords “soil health” or “soil quality” and “cover crop” or “green manure” or “organic farm” to find relevant publications. We searched and downloaded more than 500 papers, and then used the following criteria to determine whether a publication would be included in this study: (1) experiments were conducted in the field or at a research station; (2) the publications reported comparisons between controls (e.g., NC control plots; baseline SOC) and treatments (i.e., with CCs); (3) the publications were either peer-reviewed journal articles, conference collections, theses, or dissertations; and (4) the publications were written in English or Chinese. With these constraints, 1195 comparisons were digitized from 131 studies across the globe. More than half (60%) of comparisons were from North America, with the remainder from the other five habitable continents (Fig. 1). Each study reported an average of 9 comparisons, and some comparisons in each study were not independent from one another. Following the method provided by Alvarez et al. (2017), we allocated a unique experiment ID to a comparison if the CC group, cash crop group, site, tillage, fertilization, soil depth, termination date, or rotation type were different from other comparisons (Fig. A1). Our methodology resulted in 581 independent experiments, of which 144 experiments included SD information (Fig. 1).

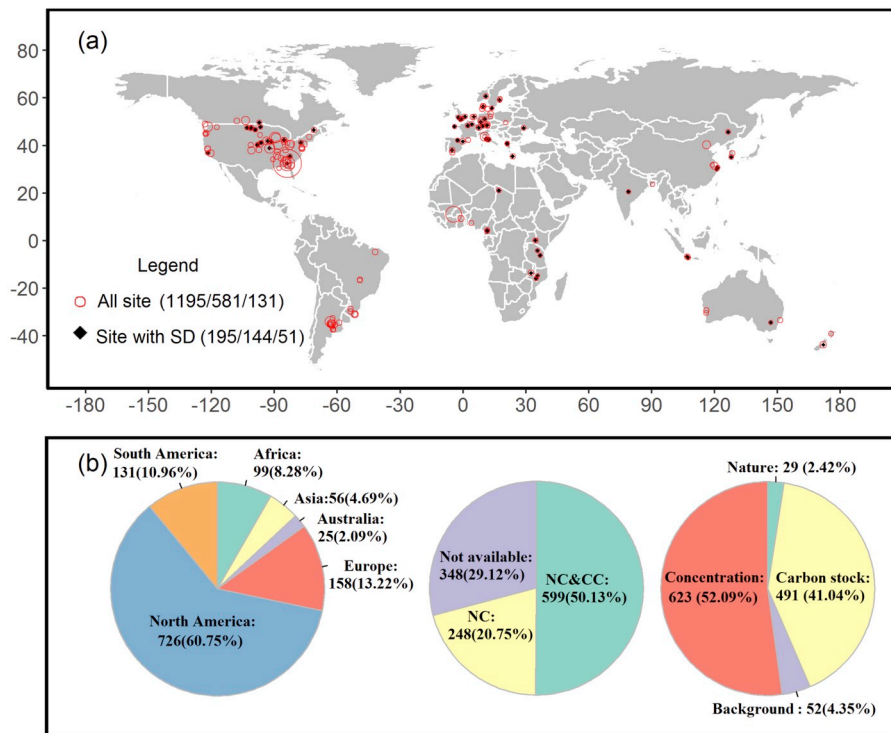


Fig. 1. (a) Site spatial distribution from cover crop studies compiled in the meta-analysis, which included 1195 pairwise comparisons from 131 papers and represented 581 independent experiments (All sites); of those, 195 comparisons from 51 papers reported standard deviations (Sites with SD), representing 144 independent experiments. (b) Breakdown of study comparisons by: (left) continent, (center) whether bulk density data was reported, and (right) type of carbon data reported. NC&CC = bulk density was reported for both control and cover crops; NC = bulk density was only reported for the control; Not available = bulk density was not reported; Carbon stock = carbon was reported as mass of carbon per area [$M L^{-2}$]; concentration = carbon was reported as mass of carbon per mass of soil [$M M^{-1}$]; background = background soil carbon was reported; nature = carbon concentration was compared against nearby soils under natural vegetation.

2.2. Data processing

Data were digitized from tables directly and from figures using the software *Data Thief* (version III, <http://datathief.org/>). After digitization, meta (background) data were extracted from publications and coded as being one of 38 types of information. For more details on these categories, please refer to [Stewart et al. \(2018\)](#).

Whenever latitude and longitude were not reported, we estimated that information based on the name and location of the site, using the website <https://www.findlatitudeandlongitude.com/http://www.findlatitudeandlongitude.com/>. Elevation was identified by latitude and longitude using <https://www.freemaptools.com/elevation-finder.htm/http://www.freemaptools.com/elevation-finder.htm/> whenever it was not reported in the original source.

We applied several quality control tests to verify data quality. First, we checked original source material for all data reported in the meta-analyses/reviews to make sure that the information was translated correctly. Next, we used the following equation to convert any data reported as soil organic matter (SOM) to SOC ([Gattinger et al., 2012; Nelson and Sommers, 1996](#)).

$$SOC = SOM / 1.72 \quad (1)$$

Once all data were collected and converted, we mapped sites by country to confirm that latitude and longitude were collected correctly.

The number of replications and standard deviation (SD) values were recorded from publications when possible. Most studies reported replication number (1076 out of 1195). When applicable, SD values were calculated from reported standard error (SE), coefficient of variation (CV), or confidence interval (CI) values according to the following equations:

$$SD = SE \times \sqrt{n} \quad (2)$$

$$SD = CV \times \text{mean} \quad (3)$$

$$SD = |CI - \text{mean}| / (2Z_{\alpha/2}) \times \sqrt{n} \quad (4)$$

where $Z_{\alpha/2} = 1.96$ when the significance level $\alpha = 0.05$.

For SOC data, only 195 out of 1195 comparisons (16%) reported SD information ([Fig. 1](#)). Considering only studies that reported SD, SE, CV, or CI, we determined that the ratio of the SD to the mean did not follow a normal distribution. We therefore used a bootstrap approach to resample the SD/mean with replacement 10,000 times. The mean ratio of SD/mean was 0.12 (with confidence interval of 0.10–0.14) for the control data and 0.13 (with confidence interval of 0.11–0.15) for the CC data ([Fig. A4](#)), which closely resembled findings of [Luo et al. \(2006\)](#). We thus assigned SD as 0.12 of the reported mean for all control data that did not report SD values, and as 0.13 of the reported mean for all CC data that did not report the SD values.

Only 599 comparisons (50.1%) reported BD for both the NC controls and CC treatments ([Fig. 1b](#)). A regression analysis on those comparisons showed that the BD of the CC treatments was highly correlated with the control BD (adjusted $R^2 = 0.92$; [Fig. S5a](#)). We thus estimated soil BD of CCs using the control BD for the 248 comparisons (20.80%, [Fig. 1b](#)) that only reported background BD. Finally, our analysis showed that BD was moderately correlated with $SOC_{\%}$ (adjusted $R^2 = 0.47$; [Fig. A5b](#)), so we estimated BD based on the SOC information for the 348 comparisons (29.10%, [Fig. A5a](#)) that did not include any BD measurements.

Carbon stocks were reported for 491 studies (41.0%), while carbon concentration was reported for 623 comparisons (52.1%; [Fig. 1b](#)). Converting carbon concentration values into carbon stock required the following equation:

$$SOC_{stock} = SOC_{\%} \times h \times BD \quad (5)$$

where SOC_{stock} represents soil organic carbon stock [$M L^{-2}$], $SOC_{\%}$ represents soil organic concentration [$M M^{-1}$], h represents the soil sampling depth [L], and BD represents soil bulk density [$M L^{-3}$].

2.3. Data analysis

After calculating SOC_{stock} for all observations, the carbon sequestration rate, C_{rate} [$M L^{-2} T^{-1}$], was calculated as:

$$C_{rate} = (SOC_{CC} - SOC_{NC})/y \quad (6)$$

where SOC_{CC} and SOC_{NC} are the respective soil carbon stocks [$M L^{-2}$] under CCs and NC controls, and y represents time after CC implementation [T]. All C_{rate} values were compiled together to determine the global mean rate of SOC change due to CCs. First, the normality of the distribution of C_{rate} values was tested using the Shapiro-Wilk test. Since that analysis suggested that data were not normally distributed ($p < 0.05$), we resampled using a bootstrapping approach to generate a normal distribution from data (Fig. A6).

The overall mean C_{rate} value [$M L^{-2} T^{-1}$] determined using this approach was then used to estimate total carbon sequestration, $C_{sequestration}$ [$M T^{-1}$], associated with incorporating CCs into agricultural rotations:

$$C_{sequestration} = C_{rate} \times A \times f \quad (7)$$

where A represents the global cropland area [L^2], and f is the proportion of cropland being managed with CCs [$L^2 L^{-2}$]. A was estimated as the mean of values reported in six studies found in the literature. Three values of f were analyzed: 1) $f = 0.08$, which represented the approximate amount of CCs currently planted in the United States (Tellatin and Myers, 2018); 2) $f = 0.15$, which represented the proportion of CCs that might be planted by 2040 assuming similar rates of adoption (Poeplau and Don, 2015; Tellatin and Myers, 2018); and 3) $f = 1.0$, which represented that total possible carbon that could be sequestered if all cropland was managed using CCs. For more details on how values for C_{rate} , A and f were selected, please refer to the Supplemental Information.

We also computed a response ratio (RR_{SOC}) for each pairwise comparison between SOC stocks in the CC treatment versus NC control:

$$RR_{SOC} = \ln(SOC_{CC} / SOC_{NC}) \quad (8)$$

as well as response ratios (RR_x) for all pairwise values reported for 32 different soil properties and agronomic variables:

$$RR_x = \ln(x_{cc}/x_{nc}) \quad (9)$$

where x_{cc} is the parameter value in the CC treatments and x_{nc} is the parameter value in the NC controls. Specific parameters analyzed included: 1) BD; 2) aggregate stability; 3) porosity; 4) penetration resistance; 5) infiltration rates; 6) saturated hydraulic conductivity; 7) erosion; 8) runoff; 9) leaching; 10) soil temperature; 11) soil water content; 12) available water holding capacity; 13) soil nitrogen; 14) phosphorus; 15) potassium; 16) pH; 17) cation exchange capacity; 18) electricity conductivity; 19) base saturation; 20) soil fauna; 21) fungal indicators; 22) other microbial indicators; 23) enzymatic assays; 24) mineralizable carbon; 25) mineralizable nitrogen; 26) N_2O gas emission; 27) burst test CO_2 ; 28) field-measured soil CO_2 efflux; 29) microbial biomass carbon; 30) microbial biomass nitrogen; 31) cash crop biomass not including yield (e.g., leaf, stem, root biomass); and 32) cash crop yield.

We next divided data into different categories to explore how climate, cash crop type(s), soil texture, CC type(s), and soil depth affected SOC dynamics under CCs. The climate type of each site was identified based on the Koppen climate classification (Kottek et al., 2006), with relevant categories including tropical, arid, temperate, and snowy climates. Cash crops were grouped into corn, soybean, wheat, other monoculture, corn-soybean rotation, corn-wheat-soybean rotation, and other rotations of more than two cash crops. The CCs were grouped as broadleaf, grass, legume, mixtures of two legumes, mixtures of a legume and a grass, and other mixtures of more than two CCs. Soil texture was grouped as being coarse (sand, loamy sand, and sandy

loam), medium (sandy clay loam, loam, silt loam, and silt), or fine (clay, sandy clay, clay loam, silty clay, and silty clay loam) based on The Cornell Framework of Soil Health Manual (Moebius-Clune et al., 2016). Soil sampling depths were grouped into surface, i.e., ≤ 30 cm, and sub-surface, i.e., > 30 cm, depth increments (Fig. A2).

After grouping data, SOC_{stock} and C_{rate} values were compiled for the CC versus NC data in each category (reported here as means and SDs). RR_{SOC} values were used in a meta-analysis to compare SOC changes due to CCs for each category. Note that for ease of interpretation, RR_{SOC} values from the meta-analysis are presented as percent change, calculated as $100 * [e^{RR_{SOC}} - 1]$.

Simple linear regression was used to analyze the relationship between RR_{SOC} and RR_x for the 32 different soil/agronomic variables recorded from the studies. Simple linear regression was also applied to explore the relationship between RR_{SOC} and many other environmental conditions. We specifically analyzed 16 environmental variables: 1) elevation; 2) latitude; 3) carbon to nitrogen ratio of CC biomass; 4) CC biomass returned to the field; 5) soil background total carbon content; 6) soil background pH; 7) clay content; 8) silt content; 9) sand content; 10) soil background BD; 11) duration of CCs; 12) years after CC implementation; 13) mean annual precipitation between 1960 and 2015 (MAP); 14) annual precipitation during the study period (Pannual); 15) mean annual temperature between 1960 and 2015 (MAT); and 16) annual temperature during the study period (Tannual). Note that the number of samples differed between different soil properties, agronomic variables, and environmental factors. Adjusted R^2 was used to evaluate the goodness of fit for the linear models used in this analysis.

All statistics were conducted using R (version 3.5.1, R Core Team, 2014). The meta-analysis was applied using 'metafor' package, and the simple linear regressions were applied under R using the linear model (*lm*) function. We used 'ggmap' to generate site spatial distribution (Kahle and Wickham, 2013).

3. Results

3.1. Carbon stocks under CCs versus NC controls

Cropland had greater SOC stocks when managed with CCs as compared to NC controls (Fig. 2). Soil carbon stocks were greater in regions characterized by a snowy climate (i.e., ≥ 1 month with average air temperature < -3 °C; Kottek et al., 2006) compared to temperate and tropic regions. Soil carbon stocks were similar across cash crop systems, except for the corn-wheat-soybean rotation, which had the largest SOC stocks. Likewise, medium-textured soils had the greatest SOC stocks, with mean SOC values of 39 Mg ha^{-1} under CC and 37 Mg ha^{-1} under NC. Soil carbon sequestration rates were similar across climates and cash crop systems; however, fine-textured soils showed larger carbon sequestration rates than the medium- and coarse-textured soils, and surface soils had larger sequestration rates than subsurface layers.

A meta-analysis was applied to analyze RR_{SOC} for different categories (Fig. 3). When cover crops were included in rotations, SOC increased by an average of 15.5% across all experiments (Fig. 3a); however, including only those experiments that reported SD in the original publication showed a 30% increase in SOC under CCs (Fig. 3b). When separated by categories, similar trends can be identified from both methods. Soil carbon stocks were significantly greater in CC treatments versus NC controls for all soil texture groups, but fine-textured soils showed larger increases than medium- and coarse-textured soils. Legume CCs, mixtures of two legumes, and mixtures of more than two other CCs showed significant increases in SOC relative to controls, while grass CCs or grass-legume mixtures did not show significant changes. Corn, wheat, and vegetables all showed significant carbon increases while soybeans, corn-soybean rotations, and corn-wheat-soybean rotations did not. Other rotations of two or more crops (i.e., those that did not include corn and soybeans) also showed significant SOC increases when using CCs. When separated into different soil sampling depths, surface soils showed

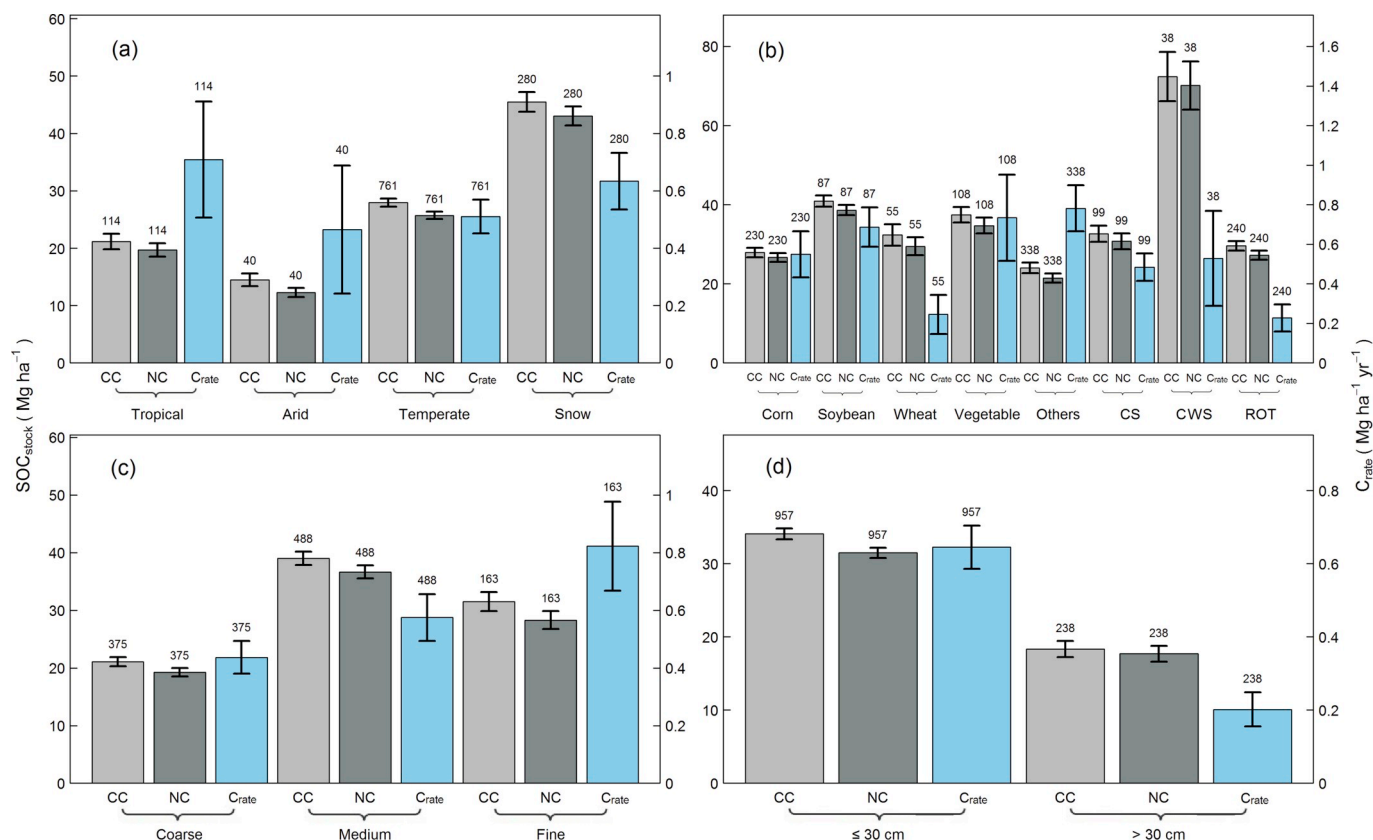


Fig. 2. Soil carbon stocks, SOC_{stock} (Mg ha⁻¹, y-axis on the left) and carbon sequestration rates, C_{rate} (Mg ha⁻¹ yr⁻¹, y-axis on the right) in croplands under different (a) climatic regions, (b) cash crop types, (c) soil texture groups, and (d) soil sampling depth increments. Black bars represent standard errors and numbers above the bars are the number of pairwise comparisons. CC: cover crop; NC: no cover crop (i.e., control); C_{rate}: soil carbon sequestration rate; CS: rotation of corn and soybean; CWS: rotation of corn, soybean, and wheat; Other: other monoculture cash crop; ROT: other cash crop rotation.

significant carbon increases when CCs were used, whereas subsurface soils did not.

3.2. Carbon sequestration potential of CCs

The areal extent of global cropland was constrained from six different studies as $A = 1960 \pm 680$ Mha (Table A1). Using the overall mean C_{rate} value of 0.56 Mg ha⁻¹ yr⁻¹ (Fig. A6b), average global C_{sequestration} values ranged from 0.09 ± 0.03 Pg yr⁻¹ (for $f = 0.08$, which represented the current proportion of acreage managed using CCs in the United States) to 0.16 ± 0.06 Pg yr⁻¹ (for $f = 0.15$) to 1.1 ± 0.4 Pg yr⁻¹ (for $f = 1.0$, which represented all cropland being managed using CCs). Carbon sequestration values thus represented 0.5% on the low end to 16% on the high end of current fossil fuel emissions (Table 1).

3.3. Interactions between SOC changes and soil/agronomic variables

Other than SOC, many other physical, chemical, and biological soil properties can be affected by including CCs as a rotation. Linear regression between the RR_{SOC} (Equation (6)) and RR_x (Equation (7)) showed that SOC changes were negatively correlated with changes in BD, erosion, runoff, soil water content, and burst test CO₂ emissions, which are determined by rewetting air-dried soil to 50% water holding capacity and then measuring soil respiration for at least 2 h (Franzuebbers et al., 2000). SOC changes were positively correlated with changes in soil aggregation, porosity, soil nitrogen, phosphorus, potassium, cation exchange capacity, electrical conductivity, enzymatic assays, mineralizable carbon, mineralizable nitrogen, microbial biomass nitrogen, and biomass of cash crop yield ($p < 0.05$; Fig. 4). Correlations

were highest between SOC and runoff (adjusted $R^2 = 0.86$), erosion (adjusted $R^2 = 0.47$), mineralizable carbon (adjusted $R^2 = 0.48$), mineralizable nitrogen (adjusted $R^2 = 0.22$), and soil nitrogen (adjusted $R^2 = 0.21$). SOC was not significantly correlated with other soil properties.

3.4. Interactions between soil carbon changes and environmental factors

Linear regression was applied to analyze the relationship between SOC response from CCs and sixteen other environmental variables (Fig. 5). Results showed that soil carbon stock had significant and positive correlations with annual temperature, years after CC implementation, and CC duration ($p < 0.05$ and slope > 0 , labeled as orange in Fig. 5), and significant but negative correlations with latitude and soil background carbon stocks ($p < 0.05$ and slope < 0 , labeled as blue in Fig. 5). Individual factors explained little of the total variability in SOC, with only annual temperature and soil background carbon having adjusted R^2 values > 0.05 . All other factors were not significantly correlated with SOC changes caused by CCs ($p \geq 0.05$, labeled as pink in Fig. 5). It should be noted that CC biomass ($p = 0.15$) and CC biomass C:N ratio ($p = 0.24$) had the highest adjusted R^2 relationships with SOC changes, even though relationships were not significant. This result may be due to the small number of samples reported for biomass ($n = 28$) and C:N ratios ($n = 22$); which are much fewer than the number of observations reported for other factors.

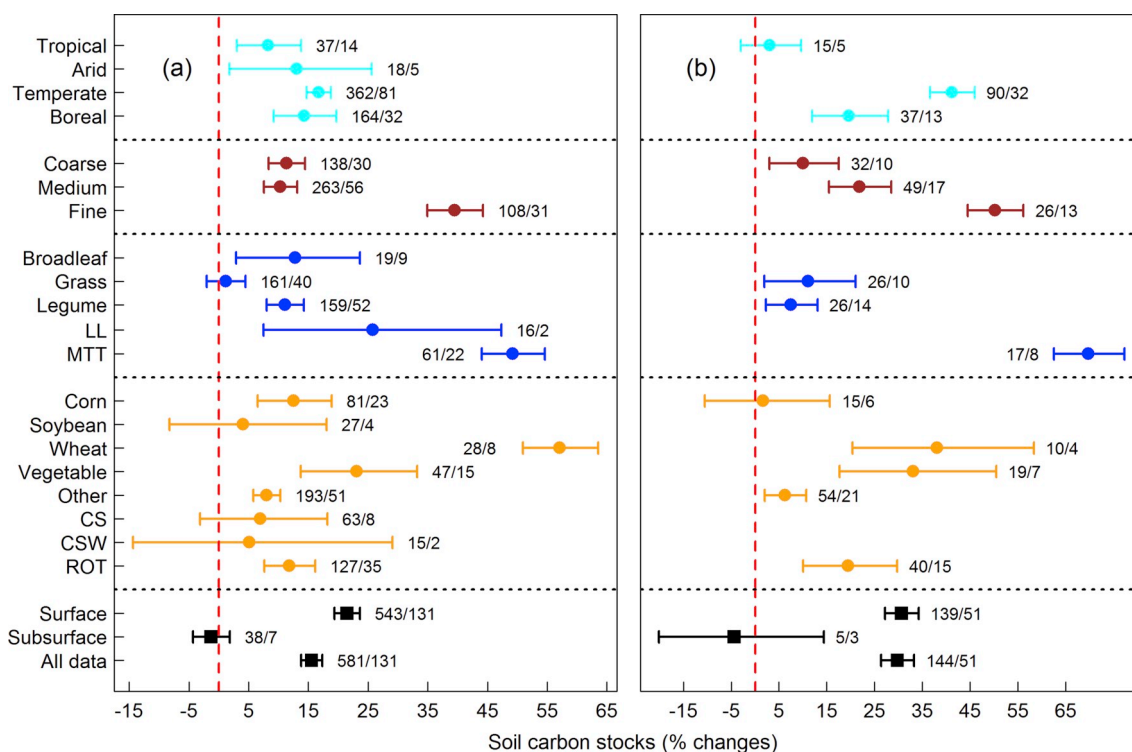


Fig. 3. Meta-analysis results showing change in soil carbon stocks due to implementation of cover crops in four climatic regions, three soil texture groups, six cover crop types, eight cash crop types, and two soil sampling depths. Panel (a) presents results from all comparisons; panel (b) presents meta-analysis results from comparisons that reported sufficient information to calculate standard deviations (SD). Circles or squares with error bars represent the overall mean RR_{SOC} values \pm 95% confidence intervals (scaled to %). Categories whose 95% confidence intervals do not cross 0 (represented by the vertical red lines) have significant differences between cover crop treatments and controls. The number of experiments followed by the number of studies are listed for each category. Surface = samples collected from ≤ 30 cm depth; subsurface = samples collected from depths > 30 cm. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

Table 1

Estimates of global soil carbon sequestration potential, $C_{sequestration}$, and % of current fossil fuel emissions, assuming three different levels of cover crop adoption: $f = 0.08$; $f = 0.15$; and $f = 1.0$. Note that C_{rate} was assumed to equal $0.56 \text{ Mg ha}^{-1} \text{ yr}^{-1}$, A was assumed to equal $1960 \pm 680 \text{ Mha}$, and current carbon emissions from fossil fuels were assumed to range from 9.0 to 11.0 Pg yr^{-1} (Jackson et al., 2017). The $C_{sequestration}$ values represent near-surface (≤ 30 cm depth) soils.

	$f = 0.08$	$f = 0.15$	$f = 1.0$
$C_{sequestration} (\text{Pg yr}^{-1}) = C_{rate} \times A \times f$	0.09 ± 0.03	0.16 ± 0.06	1.1 ± 0.4
% of current fossil fuel emissions	0.52–1.3	0.98–2.5	6.5–16

4. Discussion

4.1. SOC changes due to cover crop usage

In this study we compiled data from 131 publications that compared SOC concentrations when CCs were included in rotations versus SOC in NC controls. In total, 1195 comparisons were included (Fig. 1). We first examined how different categorical environmental factors influenced SOC stocks and sequestration rates, specifically examining the role of climate, CC type, cash crop type, soil texture, and soil sampling depth (Fig. 2). We then used a meta-analysis to quantify SOC changes under CCs using RR_{SOC} as the response variable (Fig. 3). We also performed linear regressions to examine correlations between changes in SOC and continuous environmental factors (e.g., temperature, precipitation, CC biomass; Figs. 4) and 32 other soil/agronomic variables (Fig. 5). While previous studies examined mechanisms of SOC changes under CCs at various scales (Aguilera et al., 2013; Olson et al., 2014; Schmidt et al., 2017; Stavi et al., 2012; Tian et al., 2018; Poeplau and Don, 2015), this

study for the first time analyzed how SOC dynamics correlated with other soil/agronomic variables.

Our analysis compared SOC stocks for CC treatments versus NC controls both in terms of absolute magnitudes (Fig. 2) and relative changes (i.e., Fig. 3). The former approach allowed us to compute the rate of carbon change, C_{rate} , whereas the latter quantified the total change, regardless of time elapsed. Results from these two approaches agreed for many factors, yet some discrepancies arose. As an example, tropical and snowy regions had higher C_{rate} values than temperate and arid climates (Fig. 2), while temperate regions had overall higher RR_{SOC} values than the tropics (Fig. 3). Of all cash crop rotations, wheat monocultures had the highest RR_{SOC} values but relatively low results for C_{rate} . These disparities may reflect variations in time of CC usage, as C_{rate} can change through time (Blanco-Canqui et al., 2015). Differences in sampling depths between studies could also be a factor, as approximately 20% of all observations came from deeper than 30 cm, where SOC changes were negligible (Figs. 2 and 3).

The meta-analysis showed that incorporation of CCs into rotations caused a mean SOC increase of 15.5% (when all comparisons were included) or 30.0% (when only comparisons with SD values were included; Fig. 3). Here we note that including calculated SD data is commonly used in meta-analyses that examine SOC dynamics (Aguilera et al., 2013; Alvarez et al., 2017; Don et al., 2011; Lesur-Dumoulin et al., 2017; Luo et al., 2006; Poeplau and Don, 2015; Sileshi, 2009; Tian et al., 2018; Tonitto et al., 2006). While the approach including all data provided a more conservative estimate of overall SOC changes due to CCs in the present study, it also resulted in higher estimates of SOC change under certain conditions (Fig. 3). The 15.5% mean change in SOC translates to a carbon sequestration value of $C_{rate} = 0.56 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ (Fig. A6), while the 30% mean change in SOC translates to $C_{rate} = 1.05 \text{ Mg ha}^{-1} \text{ yr}^{-1}$. Historical SOC losses when converting from natural

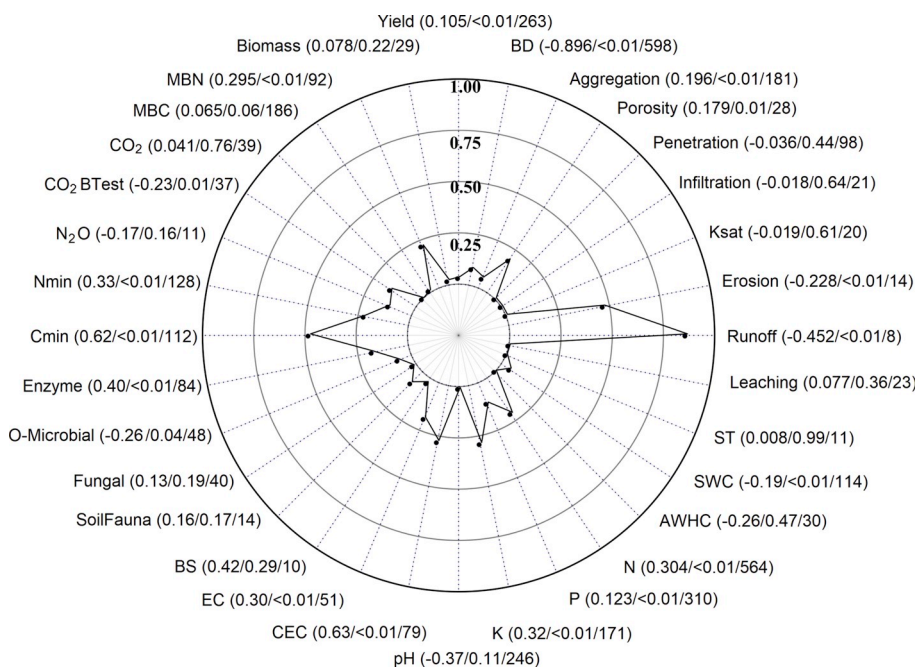


Fig. 4. Correlations between SOC changes due to cover crops and changes in other physical, chemical, biological properties of soil, cash crop biomass, and yield. The dots indicate adjusted R^2 values (each concentric circle represents an R^2 increment of 0.25). The values in the parentheses represent the slope/p-value of slope/number of samples of regression between the SOC response ratio (RR_{SOC}) and response of specific indicators (RR_x). NS means that the correlation was not significant ($p < 0.05$). Acronyms: BD – bulk density, Ksat – saturated hydraulic conductivity, ST – soil temperature, SWC – soil water content, AWHC – available water holding capacity, N – soil nitrogen, P – soil phosphorus, K – soil potassium, CEC – cation exchange capacity, EC – electricity conductivity, BS – base saturation, O-Microbial – other microbial indicator, Cmin – mineralizable carbon, Nmin – mineralizable nitrogen, CO₂BTest – CO₂ burst test, CO₂ – field-measured soil CO₂ efflux, MBC – microbial biomass carbon, MBN – microbial biomass nitrogen, Biomass – cash crop biomass not including yield (e.g., leaf, stem, root biomass).

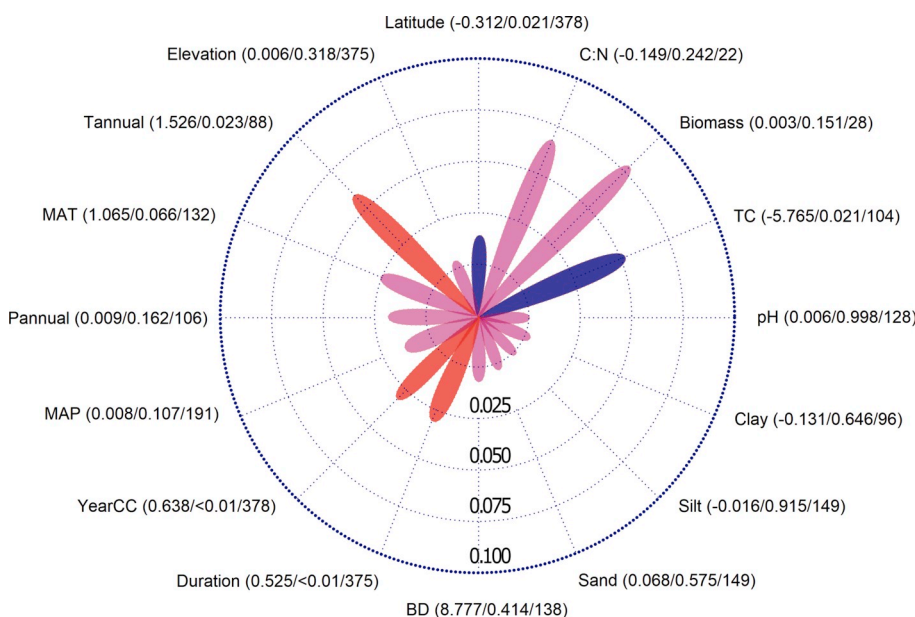


Fig. 5. Adjusted R^2 values from simple linear regression between SOC change under cover crops and interactions with 16 environmental factors. The blue color represents a significant negative correlation between a variable and the SOC response ratio (RR_{SOC}); the orange color represents a significant positive correlation between a variable and RR_{SOC} ; and the pink color indicates no significant relationship between a variable and RR_{SOC} ($p > 0.05$). The adjusted R^2 values of 0, 0.025, 0.050, 0.075, and 0.100 are represented by the concentric circles. The values in the parenthesis represents the slope/p-value of slope/number of samples of the regression. Acronyms: MAP – mean annual temperature between 1960 and 2015, PAnnual – annual precipitation during study period, MAT – mean annual temperature between 1960 and 2015, TAnnual – annual temperature during study period, YearCC – years after cover crop implementation, BD – bulk density, TC – total soil carbon, Biomass – cover crop biomass, C:N – carbon to nitrogen ratio of cover crop biomass. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

vegetation to cropland were estimated to range from -25% to -36% (Don et al., 2011; Poelau and Don, 2015), so SOC gains under CCs (assuming a 15.5% increase) may recover approximately one-quarter to one-third of this overall SOC loss.

The C_{rate} value of $0.56 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ equals approximately $0.06\text{--}0.12 \text{ Pg yr}^{-1}$ of sequestered carbon, under the assumption that approximately 8% of cropland is currently managed using CCs worldwide (Table 1). If all cropland were to adopt cover crops (i.e., $f = 1.0$), the $C_{sequestration}$ potential could be as large as 1.5 Pg yr^{-1} . This latter amount would account for 13–16% of annual carbon emissions from fossil fuel combustion, or approximately 1/2 of the terrestrial carbon sink (Stocker et al., 2013). Planting anywhere near 100% of global cropland with CCs is impractical due to numerous reasons, including expenses associated with planting and managing CCs (Zhou et al., 2017), potential water limitations (Reese et al., 2014), lack of suitable growing windows in

certain crop rotations (Clark, 2008), and disruptions to cash crop planting and harvesting times. However, this number does provide an upper limit for the amount of atmospheric carbon that may be sequestered via CCs. At the more realistic adoption level of $f = 0.15$, carbon sequestered by CCs could represent $\sim 1\text{--}2\%$ of current yearly emissions from fossil fuel combustion.

4.2. Correlations between SOC accumulation and other factors

Our results also showed that different environmental and management factors affected SOC accumulation. For instance, SOC accumulation was found to vary by soil textural class. Medium-textured soils had the highest overall SOC stocks, including both CC and NC data (Fig. 2). Fine-textured soils resulted in the highest carbon increase after introduction of CCs, while medium and coarse-textured soils had lowest SOC

increases with CCs (Fig. 3). These results could partially reflect study location, with many studies coming from the Midwestern U.S. (Fig. A7), a region that is characterized by organic-rich, medium-textured soils (Nachtergaele et al., 2010; Reynolds et al., 2000; Scharlemann et al., 2014). Having relatively high SOC stocks under NC conditions likely caused lower carbon sequestration rates relative to fine-textured soils that had relatively low initial SOC stocks.

These findings may also reflect the ability of some fine-textured soils to provide physical protection to SOC (Hassink et al., 1997; Zinn et al., 2005). Clays and silt-sized particles are more likely to form stable aggregates than sands (Gyawali and Stewart, 2019; Sollins et al., 1996), which can protect SOC by isolating it from microbial access (Puget et al., 2000; Six et al., 2004). Clay- and silt-sized particles provide the majority of sorbent surface area within soils and thereby affect SOC sorption and availability (Sollins et al., 1996). Clay particles can also alter microbial metabolism pathways and enzymatic activity, both of which can affect SOC (Huang et al., 1986).

At the same time, factors such as physical heterogeneity and root distributions can be more important than texture (Schmidt et al., 2011), and may help explain seemingly contradictory results in which coarse-textured soils have greater SOC changes than fine-textured soils. For instance, a meta-analysis in the Pampas region of Argentina found that SOC increased more in coarse-textured (+9%) than in fine-textured (+4%) soils (Alvarez et al., 2017). That particular study differed from ours in several other key aspects: 1) the two analyses included different number of studies; 2) Alvarez et al. (2017) focused on the Pampas region, which has a temperate climate, whereas our analysis collected results from many parts of the globe and considered four different climate types with associated effects on plant productivity; and 3) we separated soils into three texture groups (i.e., coarse, medium, and fine) following the Cornell Framework of Soil Health manual (Moebius-Clune et al., 2016), while Alvarez et al. (2017) grouped soils into two groups (i.e., coarse and fine) based on soil family information.

Our analysis also showed that SOC change under CCs was negatively correlated with total soil carbon content. This result may reflect an upper limit in the amount of carbon that can be stored in a soil matrix given the surrounding environmental conditions (e.g., soil temperature and soil moisture; Clark, 2008). SOC increases are often greatest in formerly degraded soils, e.g., soils that have experienced high erosion (Berhe et al., 2007). Therefore, condition of the physical substrate may be an important factor influencing SOC dynamics.

Runoff (adjusted $R^2 = 0.86$), mineralizable carbon (adjusted $R^2 = 0.48$), erosion (adjusted $R^2 = 0.47$), potassium (adjusted $R^2 = 0.29$), CEC (adjusted $R^2 = 0.28$), and mineralizable nitrogen (adjusted $R^2 = 0.22$) were indicators that correlated best with SOC change under CCs. SOC increases after CC introduction were associated with significant decreases in runoff and erosion (Fig. 4). Lower rates of runoff and erosion can reduce SOC losses from the field and thereby form a positive feedback (Berhe et al., 2007; Kaye and Quemada, 2017; Meyer et al., 1997). Likewise, root biomass, rhizo-deposits, and soil microbes are all important sources of SOC (Kutsch et al., 2009) and greater mass and activity of these groups under CCs may help to explain significant positive relationships seen with mineralizable carbon, mineralizable nitrogen, and potassium CCs (Araujo et al., 2012; Balakrishna et al., 2017). Enhanced soil nitrogen, phosphorus, and potassium content may have helped increase CC biomass by providing ample fertility from deeper within the soil profile. This process is especially important in coarse-textured or well-structured soils, where nutrients can experience rapid transport through the soil profile (Tremblay et al., 2012; Zhu et al., 2016).

The results in this study showed that soil carbon stock changes after CCs had significant positive correlations with annual temperature and precipitation (Fig. 5), matching observations reported in other studies. For example, in semiarid areas, SOC can increase when using CCs, but limited biomass production due to low precipitation means that accumulation can take longer than in wetter climates (Blanco-Canqui et al.,

2013). At the same time, temperature is a key factor controlling plant growth and is often positively correlated with plant productivity (Churkina and Running, 1998), yet higher temperatures also typically cause higher decomposition rates (Lloyd and Taylor, 1994). The positive relationship between annual temperature and SOC changes after CCs indicates that rate of carbon accumulation from higher plant productivity exceeded any increases in decomposition rates. Our analysis also established that latitude was negatively correlated to changes in SOC_{stock} after cover cropping. Higher latitude locations have short growing seasons, which can reduce CC growth rates and limit plant residue inputs into soils (Mirsky et al., 2017).

When regressed against SOC changes, CC biomass and C:N ratios had relatively high R^2 values, with CC biomass having a positive correlation with SOC and C:N ratio having a negative one. However, these relationships were not significant (Fig. 5). Previous work has found that using CCs during fallow seasons can increase carbon returned to the soil via residue (O'Dea et al., 2013), with the rate of residue return scaling with aboveground biomass (Kuo et al., 1997). Other studies have also established that greater aboveground CC biomass can translate to more root biomass, enhanced rhizo-deposition, and greater diversity of soil microbes (Araujo et al., 2012; Balakrishna et al., 2017). All of these factors can increase the pool of belowground carbon (Kutsch et al., 2009). We thus speculate that the non-significant relationships determined by our study were likely influenced by the limited number of observations reported for each factor ($N = 28$ for biomass and $N = 22$ for C:N ratio).

Our analysis did reveal that SOC changes varied between different types of CC species (Fig. 3), which may indirectly reflect differences in CC biomass and C:N ratios. Specifically, legume and mixed CCs both caused significant SOC increases, while grass species did not. One possible reason for this particular outcome is that grass CCs often have high C:N ratios, which can increase the amount of time needed for biomass to be converted into SOC (Jani et al., 2016; Kaye and Quemada, 2017; O'Dea et al., 2013). High C:N ratios can also cause more carbon to be lost as respiration versus stabilized in soil, due to lower carbon use efficiency by decomposer organisms (Manzoni et al., 2012; 2008).

Perhaps as a result of these tradeoffs between maximum biomass and optimum C:N ratios in single-species CCs, mixtures of CCs provided the greatest overall increases in SOC. Similar results were reported by other studies; for example, Faé et al. (2009) found that CC mixtures led to greater SOC increases compared to single species CCs. Zhou et al. (2019) showed that increased plant species richness can reduce the litter C:N ratio and thus promote SOC accumulation. Likewise, Stavi et al. (2012) found that CC mixtures led to greater SOC concentrations (19.4 g kg^{-1}) than single-species CCs ($15.9\text{--}17.6 \text{ g kg}^{-1}$) for farmland in Ohio. Using CC mixtures may therefore offer a reliable strategy for increasing SOC.

4.3. Comparison with other conservation agriculture practices

Cover crops represent only one conservation strategy for cropland management. It is well recognized that agro-forest systems usually have higher SOC compared with nearby cropland or pastures (Shi et al., 2018). Conservation tillage, including no-till, is another practice that can affect SOC concentrations in soil. No-till management often increases SOC near the soil surface ($\leq 30 \text{ cm}$) compared with conventional tillage systems (Cooper et al., 2016). However, the increase in SOC under no-till has been challenged by recent analyses (Baker et al., 2007; Luo et al., 2010), which showed that SOC increases in soils can be offset by decreases in the subsurface layer. Further, few studies have performed factorial comparisons of cover cropping and tillage practices, limiting our ability to analyze interactions between these management strategies. Other cropland conservation management techniques include alley cropping and inter-seeding, diverse crop rotations, forage and biomass planting, contour farming, mulching, riparian herbaceous cover, and contour buffer strips. The ability of these other cropland conservation management strategies to increase SOC and sequester

atmospheric carbon should be investigated in future studies.

4.4. Limitations and perspective

Most existing comparisons reported soil carbon concentration (i.e., SOC_{0%}) changes without reporting soil BD. Since BD is necessary to calculate SOC stocks, we had to estimate BD for many instances based on correlations developed using reported values (Fig. A5). As this process added additional uncertainty to the dataset, we recommend that soil carbon stock and BD should be reported in the future studies. Likewise, it is important that studies report SD values whenever possible.

Substantially fewer data were available for the subsurface layers (>30 cm) compared with the surface layers (≤30 cm). Our results suggested that CCs may not change SOC concentrations in subsurface soil layers; however, this conclusion may be due to the small sample size (only 38 experiments from 7 studies and only 5 experiments from 3 studies have SD information; Fig. 3). Because of this uncertainty, future experiments should strive to include samples from subsurface layers, which should help evaluate subsoil benefits of CCs in the future.

Comparisons collected in this study covered a wide time period (1960–2014) and included samples from various depths and sampling increments. We did not attempt to account for any sampling differences in this study. In addition, most comparisons were reported after less than 5 years of data collection, even though CC effects on SOC are often not detectable in the first few years after establishment, due to high spatial field variability or soil heterogeneity (Olson et al., 2014; Poelplau and Don, 2015). Future CC experiments should continue to collect data for mid- (e.g., 5–10 years) and long-term (e.g., >10 years) periods to the extent possible. Longer-term data are particularly important to understand maximum sequestration potentials in soils. The ~0.1–~1 Mg ha⁻¹ yr⁻¹ carbon sequestration rates found in this study (Fig. 3) and in others (e.g., Alvarez et al., 2017) suggest that soils can sequester 10–100 Mg ha⁻¹ century⁻¹. However, those values approach or exceed existing carbon stocks in many existing systems (Fig. 2), making it probable that sequestration rates will diminish through time (Blanco-Canqui et al., 2015).

Finally, our estimated potential carbon sequestration values relied on a single mean value for SOC change that translated to all land planted with CCs. These estimates therefore represent crude approximations, as our analysis showed that SOC changes from CCs vary depending on numerous environmental and management factors. Future investigations should therefore seek to refine the initial estimates provided here, for example by incorporating global datasets for climate (Kottek et al., 2006), soil texture (Nachtergaele et al., 2010; Reynolds et al., 2000) and cropping systems (Thenkabail et al., 2013). Even so, it is important to consider that our analysis showed that CCs increase SOC concentrations in nearly all conditions. This general finding emphasizes the importance of efforts that encourage farmers to adopt these practices more widely, despite uncertainties regarding the exact amount of carbon that can be sequestered as a result.

5. Conclusion

In this study, we collected 1195 SOC comparisons between CC treatments and NC controls from 131 studies. Using this data, we conducted a meta-analysis to explore SOC changes under CCs and the interactions between SOC changes, soil properties, and environmental factors. Altogether, cover cropping caused a 15.5% increase in SOC (95% confidence interval of 13.8%–17.3%) in near-surface soils (i.e., ≤30 cm depth), indicating that inclusion of CCs into agricultural rotations can potentially increase soil carbon sequestration. As an example, under the reasonable assumption that 15% of worldwide cropland was to be managed using CCs, approximately 0.16 ± 0.06 Pg of carbon could be sequestered per year. These values represent ~1–2% of yearly emissions from fossil fuel combustion.

Subsurface soils (i.e., >30 cm) showed no significant change in SOC,

possibly due to the limited number of samples reported for the subsurface. A regression analysis revealed that SOC increases were correlated with runoff, erosion, mineralizable carbon, mineralizable nitrogen, and soil nitrogen. Surrounding environmental conditions also affected SOC changes under CCs, but only explained a small amount of total variability. Similarly, CC biomass was positively correlated and C:N ratio was negatively correlated with changes in SOC, though these relationships were not significant. Based on sources of uncertainty identified in this study, we propose that future CC studies should: 1) sample both the near surface (e.g., 0–10 cm) and the subsurface (e.g., 40–50 cm) layers of the soil profile; 2) maintain experiments over mid- (e.g., 5–10 year) to long-term (>10 years) periods; and 3) always report soil BD, so that SOC stock can be appropriately estimated.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

This material is based upon work supported by the Natural Resources Conservation Service, U.S. Department of Agriculture, under Conservation Innovation Grant 69-3A75-14-260. Jinshi Jian was partially supported by the U.S. Department of Energy, Office of Science, Biological and Environmental Research as part of the Terrestrial Ecosystem Sciences Program. Funding for this work was also provided in part by the Virginia Agricultural Experiment Station and the Hatch program of the National Institute of Food and Agriculture, U.S. Department of Agriculture.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.soilbio.2020.107735>.

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Update

Soil Biology and Biochemistry

Volume 161, Issue , October 2021, Page

DOI: <https://doi.org/10.1016/j.soilbio.2021.108389>



Contents lists available at ScienceDirect

Soil Biology and Biochemistry

journal homepage: www.elsevier.com/locate/soilbio

Corrigendum to “A meta-analysis of global cropland soil carbon change from cover cropping” [Soil Biol. Biochem. 143 (2020) 107735]

Jinshi Jian^{a,b}, Xuan Du^c, Mark S. Reiter^{a,d}, Ryan D. Stewart^{a,*}

^a School of Plant and Environmental Sciences, Virginia Tech, Blacksburg, VA, USA

^b Pacific Northwest National Laboratory-University of Maryland Joint Global Change Research Institute, 5825 University Research Court, Suite, 3500, College Park, MD, USA

^c Department of Hydraulic Engineering, Yangling Vocational & Technical College, Yang Ling, Shaanxi, China

^d Eastern Shore Agricultural Research and Extension Center, Virginia Tech, Painter, VA, USA

The authors regret that Figure A5b (Supplementary Data) included an error. The originally published figure was labelled as presenting the relationship between bulk density and soil organic carbon concentration (%), but the figure actually showed bulk density versus soil organic carbon stocks (Mg/ha). Figure A5b has been revised to present bulk

density versus soil organic carbon concentrations (%), as this relationship was the basis for the analyses presented in the manuscript. The correct data have an $adjR^2$ value of 0.20, whereas $adjR^2 = 0.47$ was reported in the original text. All other analyses remain unchanged.

The authors would like to apologise for any inconvenience caused.

DOI of original article: <https://doi.org/10.1016/j.soilbio.2020.107735>.

* Corresponding author.

E-mail address: ryan.stewart@vt.edu (R.D. Stewart).

<https://doi.org/10.1016/j.soilbio.2021.108389>

Available online 12 August 2021

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Exhibit G

Agroforestry for biomass production and carbon sequestration: an overview

Shibu Jose · Sougata Bardhan

Received: 10 September 2012 / Accepted: 26 September 2012 / Published online: 4 October 2012
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Abstract Ever since the Kyoto Protocol, agroforestry has gained increased attention as a strategy to sequester carbon (C) and mitigate global climate change. Agroforestry has been recognized as having the greatest potential for C sequestration of all the land uses analyzed in the Land-Use, Land-Use Change and Forestry report of the IPCC; however, our understanding of C sequestration in specific agroforestry practices from around the world is rudimentary at best. Similarly, while agroforestry is well recognized as a land use practice capable of producing biomass for biopower and biofuels, very little information is available on this topic. This thematic issue is an attempt to bring together a collection of articles on C sequestration and biomass for energy, two topics that are inextricably interlinked and of great importance to the agroforestry community the world over. These papers not only address the aboveground C sequestration, but also the belowground C and the role of decomposition and nutrient cycling in determining the size of soil C pool using specific case studies. In addition to providing allometric methods for quantifying biomass production, the biological and economic realities of producing biomass in agroforestry practices are also discussed.

Keywords Soil carbon · Coffee agroforestry · Cacao agroforestry · Bioenergy · Biofuels · Allometric equations · Biomass crops

Introduction

Global climate change and energy security are two key issues that are at the forefront of environmental discussions the world over. Although they bring up unique challenges, global warming and energy security are inextricably interlinked. Increasing concentration of atmospheric carbon dioxide (CO₂) is considered the predominant cause of global climatic change. It is believed that agricultural and forestry practices can partially mitigate increasing CO₂ concentration by sequestering carbon (C). Similarly, alternative agricultural practices where biomass crops are cultivated can impact CO₂ levels not only by sequestering C, but also by replacing fossil fuel with the biomass produced. Agroforestry, like many other land use systems, offers great potential for sequestering C and producing biomass for biofuels.

Ever since the Kyoto Protocol, agroforestry has gained increased attention as a strategy to sequester C from both developed and developing nations. The available estimates of C stored in agroforestry range from 0.29 to 15.21 Mg C/ha/year above ground, and 30–300 Mg C/ha up to 1 m depth in the soil (Nair

S. Jose (✉) · S. Bardhan
The Center for Agroforestry, School of Natural Resources, University of Missouri, 203 Anheuser Busch Natural Resources Building, Columbia, MO 65211, USA
e-mail: joses@missouri.edu

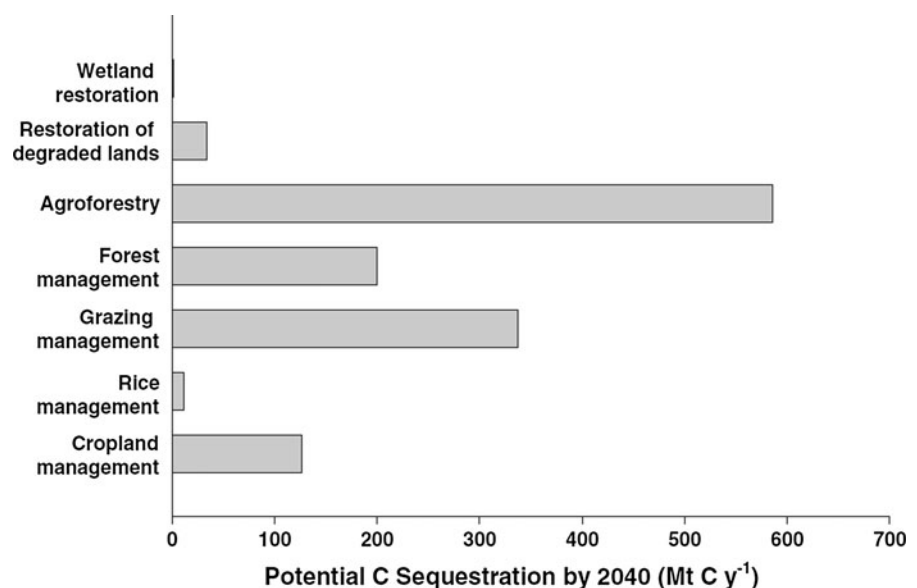
et al. 2010). Since the industrial revolution, atmospheric CO₂ has increased by more than 40 %, from 280 ppm in 1,750 to about 392 ppm in 2012 and at the current rate it is expected to surpass 400 ppm by 2015 (Hutchinson et al. 2007; Tans 2012). In the three years from 2010 to 2012, CO₂ emissions increased at an alarming rate of 2 ppm/year or 4.4 Pg C/year. While agroforestry has been recognized as having the greatest potential for C sequestration (Fig. 1) of all the land uses analyzed in the Land-Use, Land-Use Change and Forestry report of the IPCC (2000), our understanding of C sequestration in specific agroforestry practices from around the world is rudimentary at best.

The incorporation of trees or shrubs on farms or pastures can increase the amount of C sequestered compared to a monoculture field of crop plants or pasture (Sharrow and Ismail 2004; Kirby and Potvin 2007). In addition to the significant amount of C stored in aboveground biomass, agroforestry systems can also store C belowground. While most studies report aboveground C sequestration, belowground C and soil C are often not reported from agroforestry systems. The soil C pool, comprising about 2,500 Gt, is one of the largest C pools and is larger than the atmospheric pool (760 Gt) (Lal 2004). The extent of soil C is dependent on a delicate balance between litter and rhizodeposition and the release of C due to decomposition and mineralization. Several other factors such as quality of C input, climate, soil physical and chemical

properties further determine the rate of decomposition and thus stabilization of soil organic C in a particular ecosystem. Since modernization of agriculture in the 19th-century, soil carbon pool has gradually depleted because of several factors such as deforestation, intensive cropping and biomass removal, soil erosion, and unsustainable agricultural practices. Most of the decline in soil organic matter has been observed in regions under intensive crop production such as continuous row cropping or monocropping. Depletion of soil C has been documented to result in decreased productivity, poor soil physical and chemical properties, and negative secondary environmental impacts. It has been well documented that conversion of degraded agricultural soils into agroforestry systems can rebuild soil productivity.

One of the commodities agroforestry is well suited to producing is biomass for biopower and biofuels (Jose et al. 2012). Heavy reliance on foreign based fossil fuels has sparked an interest in domestic renewable energy sources in many countries. For example, in 2003 the Biomass Research and Development Technical Committee (BRDTC), established by US Congress in 2000, envisioned a goal of a 30 % replacement of US petroleum consumption with biofuels by 2030 (DOE; US Department of Energy 2003). Currently, petroleum products supply about 36 % of US energy consumption, while biomass and biofuels provide 4.3 % of total US energy consumption (EIA; Energy Information Administration 2011).

Fig. 1 Carbon sequestration potential of different land use systems by 2040 (adapted from IPCC 2000). Agroforestry offers the greatest potential because of the large extent of area (630×10^6 ha) available worldwide for agroforestry adoption



While a study conducted by the US Department of Energy concluded that achieving this goal is possible, the report stated significant expansion of perennial biofuel crop production would be necessary (DOE 2011). Although agroforestry offers a solution to avoid the food versus fuel debate by combining food production and biomass for energy on the same piece of land (Henderson and Jose 2010; Holzmüller and Jose 2012), very little information is available on this topic.

If agroforestry is to be used in C sequestration schemes such as the clean development mechanisms (CDM), better information is required about above and belowground C stocks, soil C, and areas under agroforestry practices. Although there have been a number of publications recently and in the past about the C sequestration potential of agroforestry systems, the information is widely dispersed (but, see Kumar and Nair 2011). The objective of this thematic issue is to compile several original research articles from North America, South America, and Africa that investigate C sequestration and biomass production potential of specific agroforestry practices.

Quantifying carbon sequestration

The first two papers examined C sequestration in silvopastoral systems. Dube et al. investigated the carbon (C) sequestration potentials of three predominant ecosystems in Patagonia in Chile: *Pinus ponderosa*-based silvopastoral systems (SPS), pine plantations (PPP) and natural pasture (PST). Silvopastoral systems are highly efficient in increasing productivity for both plants and animals as mutually optimum conditions for growth and development are created in a properly managed silvopastoral system. Plants gain benefits through nutrient cycling by addition of manure in the system and partial shade from the canopy while animals enjoy ideal temperature and humidity under the tree canopy. In their study, Dube et al. observed higher aboveground tree C, belowground tree C, and soil organic C stock in the silvopastoral system compared to the other systems. Silvopastoral systems also had more favorable air temperature and soil moisture parameters.

Ermsen et al. conducted a similar study in the southeastern US where they explored the effect of grazing and forage enhancement on total soil C (TSC),

soil nitrogen(N), and phosphorus (P) dynamics in a goat (*Capra aegagrus hircus*)—loblolly pine (*Pinus taeda* L.) silvopasture system on a Kipling silt loam soil (fine, smectitic, thermic, Typic Paleudalfs) in Alabama from 2006 to 2010. In this study however, silvopasture plots were characterized by low initial pH, low TSC, and the soils were deficient in N and P. Four years after tree thinning and 3 years of grazing in June 2010, the silvopasture treatment still exhibited low soil pH (<6) and TSC levels of less than 20 g/kg. The authors speculated that in the long-term, grazing without additional soil management practices may still improve soil fertility through nutrient recycling and C sequestration and thereby making the goat-loblolly silvopasture system both environmentally and economically sustainable.

The next two papers investigated C sequestration in coffee agroforests, one in Guatemala and another in Costa Rica. Schmitt-Harsh observed that coffee agroforests in Guatemala stored somewhere between 74.0 and 259.0 Mg C/ha with a mean of 127.6 Mg C/ha. The average carbon stocks of coffee agroforests were significantly lower than estimated for the mixed dry forests (198.7 Mg C/ha); however, individual tree and soil C pools were not significantly different suggesting that shade trees played an important role in facilitating C sequestration and soil conservation in these systems. This research demonstrates the importance of conservation-based production systems such as coffee agroforests in sequestering C alongside natural forest systems.

Häger attempted to unravel the relationship between species composition, diversity, and C storage in coffee agroforests of Costa Rica. Total C stocks were 43 % higher on organic farms than on conventional farms ($P < 0.05$) and although vegetation structure was different, there was no difference in species diversity between organic and conventional farms. Combined effect of farm type, species richness, species composition and slope explained 83 % of the variation in total C storage across all farms. Organic coffee agroforestry farms may contribute to GHG mitigation and biodiversity conservation in a synergistic manner which has implications for the effective allocation of resources for conservation and climate change mitigation strategies in the agricultural sector.

There are three papers included in this thematic issue that provide unique perspectives on soil C sequestration and its interrelationship with organic

matter decomposition and nutrient cycling. The first paper by Kim examined how an intercropping system with a nitrogen (N)-fixing tree (*Gliricidia*) and maize could help mitigate climate change through enhanced soil C sequestration in sub-Saharan Africa while dealing with GHG emissions from the soil. Using data from Makumba et al. (2007), the author estimated that 67.4 % of the sequestered soil C (76 Mg C/ha in 0–2 m soil depth) was lost from the system as CO₂ during the first 7 years of intercropping. An annual net gain 3.5 Mg C/ha/year was estimated from soil C sequestered and lost. The author further observed that if N₂O emission was reduced as well, the overall mitigation benefit achieved from the intercropping system would be larger. These results suggest that field measurements and modeling of CO₂, N₂O and CH₄ emissions should be taken into account while estimating C sequestration in agroforestry systems.

Gaiser et al. tested *Leucaena leucocephala* (L), *Senna siamea* (S) and maize (M) residue addition on soil organic matter accumulation under sub-humid tropical conditions in Benin, West Africa. On an *Imperata cylindrica* (I) dominated grass fallow, a total amount of 30 Mg/ha dry matter was applied within 18 months. Changes in the light and heavy soil organic C fraction (LF and HF) and in the total soil organic C content (LF + HF) in the topsoil were observed. All organic materials increased the proportion of the LF fraction in the soil significantly. The increase in HF was 39–51 % of the increase in total organic C, depending on the source of the organic material. The potential of the tested organic materials to increase total soil organic C content (including all soil organic C fractions) was in the order L > S > M > I, whereas the order of the HF fraction was L = S > I > M. Cation exchange capacity of the newly formed heavy soil organic C was highest with L and lowest with M. Ranking of the transformation efficiency of applied plant residues into the heavy soil organic C fraction was I > L = S > M. Transformation efficiency of the residues could neither be explained by lignin nor lignin/N ratio, but rather by extractable polyphenols. The results show that accumulation of the HF fraction in tropical soils is feasible through the application of large quantities of plant residues, but depends strongly on the quality of the organic matter added.

Zaia et al. evaluated the impact of plant litter deposition in cacao agroforestry systems on soil C, N,

P and microbial biomass in Bahia, Brazil. They studied five cacao agroforestry systems of different ages under two different soils (Oxisol and Inceptisol). Overall, the average stocks of organic C, total N and total organic P for 0–50 cm soil depth were 89072, 8838 and 790 kg/ha, respectively. At this soil depth the average stock of labile organic P was 55.5 kg/ha. Microbial biomass was mostly dominated in the 0–10 cm soil depth, with a mean of microbial biomass C of 286 kg/ha, microbial biomass N of 168 kg/ha and mineralizable N of 79 kg/ha. The dynamics of organic P in these cacao agroforestry systems were not directly associated with organic C dynamics in soils, in contrast to the N dynamics.

Ecosystem models that can estimate plant and soil C stocks can be an invaluable tool for estimating C sequestration potential of agroforestry systems at larger scales. The CO₂FIX model has been used to estimate the dynamics of C stocks and flows for a variety of ecosystems around the world (Schelhaas et al. 2004). However, this model has not been tested using empirical data from agroforestry systems. Kaonga et al. tested the validity of the CO₂FIX model in predicting the aboveground and soil C stock using empirical data from 7-year-old *Leucaena* woodlots at Msekera, Zambia. They also assessed the impact of converting a degraded agricultural land to woodlots on C stocks. Measured above and belowground tree C stocks and increment of aboveground biomass differed significantly among different species. Measured stem and total aboveground tree C stocks in the *Leucaena* woodlots ranged from 17.1 to 29.2 and from 24.5 to 55.9 Mg/ha, respectively. Measured soil organic carbon (SOC) stocks at 0–200 cm depth in *Leucaena* stands ranged from 106.9 (*L. diversifolia*) to 186.0 Mg/ha (*L. leucocephala*). Although, modeled stem and branch C stocks closely matched measured stocks, the soil module of CO₂FIX could not predict the soil C accurately. The authors concluded that inadequate long-term empirical data on climate, litter quality, litter quantity, and tree growth, and the transient nature of SOC stocks that were disturbed in recent decades were most likely reasons for the inconclusive results from the model.

Udawatta and Jose synthesized the available information to estimate C sequestration under agroforestry systems in the US. They estimated that 530 Tg/year could be sequestered by four major agroforestry practices which could help offset current US emission rate of

1,600 Tg C/year from burning fossil fuel (coal, oil, and gas) by 33 %. These authors estimated C sequestration potential for silvopasture, alley cropping, and windbreaks in the US as 464, 52.4, and 8.6 Tg C/year, respectively. According to them, riparian buffers could sequester an additional 4.7 Tg C/year while protecting water quality. While acknowledging the need for accurate area estimates under different agroforestry practices in the US, they also emphasized the need for long-term data, standardized protocols for C quantification and monitoring, predictive models to understand long-term C sequestration, and site-specific agroforestry design criteria to optimize C sequestration.

The paper by Nair elaborated on the need for rigorous and consistent procedures to measure the extent of C sequestration in agroforestry systems. The author accurately pointed out that the current methods of estimating C varied widely and the estimations were based on several assumptions. According to him, large-scale global models based on such measurements and estimations were more likely to result in serious under- or overestimations of C in agroforestry practices. The author reveals several erroneous assumptions, operational inadequacies and inaccuracies commonly found in the current literature. He provides several practical recommendations for researchers that include using accurate description of the methods and procedures among others. This would help other researchers to examine the datasets and incorporate them in larger databases and help agroforestry earn its deserving place in mainstream efforts.

Estimating biomass production

Allometric equations are commonly used in estimating biomass production by trees in agroforestry systems. However, these equations are most often derived from forest grown trees that are different in their growth form from those open-grown trees in agroforestry configurations. This can introduce errors in estimating not only biomass production potential, but C sequestration as well. It is imperative that species specific allometric equations for different agroforestry practices must be developed in order to overcome this serious weakness in agroforestry research. There are two papers in this thematic issue that provide allometric equations for estimating aboveground biomass for trees in agroforestry.

Tamang et al. conducted their study in Florida, USA, to develop biomass equations for cadaghi (*Corymbia torelliana*) trees in various aged windbreaks. Trees were destructively sampled based on diameter at breast height (DBH) and crown biomass was estimated using randomized branch sampling (RBS) while trunk biomass was measured by taking disks every 1.5 m along the stem. Separate nonlinear equations were developed for crown, trunk and whole tree biomass estimation using DBH and height as predictors. The study found that DBH alone was sufficient to predict aboveground biomass while the inclusion of height provided more accurate results. Using their equation the authors recorded a total biomass per 100 m windbreak length to be between 166 and 26,605 kg. They concluded that fast-growing cadaghi could provide landowners higher returns from biomass or carbon trade to offset the cost of land occupied by the windbreaks.

Kuyah et al., on the other hand, developed new allometric equations using remotely sensed crown area and/or tree height as predictor of aboveground biomass. These equations corresponded well with the data obtained from destructive sampling with about 85 % of the observed variation in aboveground biomass explained by crown area. Addition of height and wood density as second predictor variables improved model fit by 6 and 2 % and lowered the relative error by 7 and 2 %, respectively. Total estimated aboveground biomass carbon was measured at 20.8 t C/ha, which was about 19 % more than the amount estimated using DBH as predictor. These results confirm that the new allometric equations using crown area could be a better predictor of aboveground biomass and can be used as an important tool for predicting carbon stock in such systems.

The last two papers explore biomass production potential of two temperate alley cropping systems, one from Canada and another from the US. As pointed out by Holzmueller and Jose (2012), alley cropping is one of the most suitable agroforestry practices for growing biomass for biopower and biofuels. Cardinael et al. examined short rotation willow production in the alleys of 21-year-old trees on marginal land in Guelph, Canada. As a control, the same willow clones were established on an adjacent piece of land without established trees (conventional willow system). They quantified carbon pools, fine root and leaf biomass inputs, and clone yields in both the intercropping and

conventional monocropping systems. Willow biomass yield was significantly higher in the agroforestry field (4.86 odt ha⁻¹/year) compared to the conventional field (3.02 odt ha⁻¹/year). Clonal differences in biomass were also apparent with clones SV1 and SX67 with the highest yields and 9,882–41 with the lowest. Willow fine root biomass in the top 20 cm of soil was significantly higher in the intercropping system (3,062 kg/ha) than in the conventional system (2,536 kg/ha). Soil organic carbon was also significantly higher in the agroforestry field (1.94 %) than in the conventional field (1.82 %).

Susaeta et al. assessed the economics of loblolly pine (*Pinus taeda* L.)—switchgrass (*Panicum virgatum*) alley cropping in the southern US. Assuming a price range of switchgrass between \$15 and \$50 Mg⁻¹ and yield of 12 Mg ha⁻¹/year, loblolly pine monoculture would be the most profitable option for landowners instead of intercropping if the price of switchgrass was below \$30 Mg⁻¹. However, when switchgrass prices were \geq \$30 Mg⁻¹, landowners would be financially better off adopting intercropping if competitive interaction between crops were minimal. Various assumptions were used in their analysis ranging from no competition between species for resources and reduced loblolly pine productivity due to competition with switchgrass to reduced productivity of both species due to competition for nutrients, water and light. Findings also suggested that the optimal system would depend on the competitive interactions between switchgrass and loblolly pine crops, and the expected prices for each crop.

Conclusion

Research findings from around the world have clearly demonstrated that agroforestry offers unique opportunities to increase C stocks in the terrestrial biosphere. Agroforestry could play a substantial role in reducing atmospheric concentration of CO₂ by (1) storing C in above and belowground biomass and in soil, and (2) growing biomass for biopower and biofuels and thereby replacing fossil fuel. Agroforestry could also protect existing C stocks if improved fallows and similar agroforestry practices could provide food and fuelwood, thereby reducing the rate of deforestation. Despite widespread recognition of agroforestry's potential for C sequestration and biomass production,

our understanding of these topics is limited. There is still a lack of quantitative information from specific systems. This thematic issue is an attempt to bring together several original research articles from North America, South America, and Africa that investigate C sequestration and biomass production potential of specific agroforestry practices. While there are issues related to inconsistencies in methodologies, lack of soil C estimates and GHG emissions from soil, and reliable large-scale C estimates for different agroforestry practices, it is apparent that the research community is aggressively generating the much needed data at different scales. This will definitely help quantify agroforestry's role in C sequestration and biomass production and contribute meaningfully to global climate change mitigation efforts.

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Exhibit H

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2 MR. YAWEN HUANG (Orcid ID : 0000-0001-8854-6005)

3 PROF. WEI REN (Orcid ID : 0000-0003-0271-486X)

4 DR. DAFENG HUI (Orcid ID : 0000-0002-5284-2897)

5 DR. JIAN YANG (Orcid ID : 0000-0001-9121-3308)

6

7

8 Article type : Primary Research Articles

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11 Xiongxiang Bai (ORCID: 0000-0001-5104-2946)

12

13 Article type: Primary Research Articles

14

15 **Responses of soil carbon sequestration to climate smart agriculture**
16 **practices: A meta-analysis**

17

18 **Keywords**

19 Soil organic carbon, biochar, cover crop, conservation tillage, climate, meta-analysis

20 **Running title**

21 Climate-smart agriculture and C sequestration

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/GCB.14658](https://doi.org/10.1111/GCB.14658)

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22 **Xiongxiong Bai^{1,2#}, Yawen Huang^{1#}, Wei Ren^{1*}, Mark Coyne¹, Pierre-Andre Jacinthe³, Bo**
23 **Tao¹, Dafeng Hui⁴, Jian Yang⁵, and Chris Matocha¹**

24

25 ¹ Department of Plant and Soil Sciences, University of Kentucky, Lexington, KY 40546, USA.

26 ² College of Life Sciences, Henan Normal University, Xinxiang, Henan 453007, China.

27 ³ Indiana University Purdue University Indianapolis, Indianapolis, IN 46202, USA.

28 ⁴ Department of Biological Sciences, Tennessee State University, Nashville, TN 37209, USA.

29 ⁵ Department of Forestry and Natural Resources, University of Kentucky, Lexington, KY 40546,
30 USA.

31 # Xiongxiong Bai and Yawen Huang equally contribute to this work.

32 * Corresponding author: Wei Ren, wei.ren@uky.edu

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33 Abstract

34 Climate-smart agriculture (CSA) management practices (e.g., conservation tillage, cover crops,
35 and biochar applications) have been widely adopted to enhance soil organic carbon (SOC)
36 sequestration and to reduce greenhouse gas emissions while ensuring crop productivity. However,
37 current measurements regarding the influences of CSA management practices on SOC
38 sequestration diverge widely, making it difficult to derive conclusions about individual and
39 combined CSA management effects and bringing large uncertainties in quantifying the
40 potential of the agricultural sector to mitigate climate change. We conducted a meta-analysis of
41 3,049 paired measurements from 417 peer-reviewed articles to examine the effects of three
42 common CSA management practices on SOC sequestration as well as the environmental
43 controlling factors. We found that, on average, biochar applications represented the most
44 effective approach for increasing SOC content (39%), followed by cover crops (6%) and
45 conservation tillage (5%). Further analysis suggested that the effects of CSA management
46 practices were more pronounced in areas with relatively warmer climates or lower nitrogen
47 fertilizer inputs. Our meta-analysis demonstrated that, through adopting CSA practices, cropland
48 could be an improved carbon sink. We also highlight the importance of considering local
49 environmental factors (e.g., climate and soil conditions and their combination with other
50 management practices) in identifying appropriate CSA practices for mitigating greenhouse gas
51 emissions while ensuring crop productivity.

52

53 1. Introduction

54 Soil organic carbon (SOC) is a primary indicator of soil health and plays a critical role in food
55 production, greenhouse gas balance, and climate mitigation and adaptation (Lorenz & Lal, 2016).
56 The dynamic of agricultural SOC is regulated by the balance between carbon inputs (e.g., crop
57 residues and organic fertilizers) and outputs (e.g., decomposition and erosion) under long-term
58 constant environment and management conditions. However, this balance has been dramatically
59 altered by climate change, which is expected to enhance SOC decomposition and weaken the
60 capacity of soil to sequester carbon (Wiesmeier *et al.*, 2016). Generally, agricultural soils contain
61 considerably less SOC than soils under natural vegetation due to land conversion and cultivation
62 (Hassink, 1997; Poeplau & Don, 2015), with a potential to sequester carbon from the atmosphere

63 through proper management practices (Lal, 2018). Therefore, it is crucial to seek practical
64 approaches to enhance agricultural SOC sequestration without compromising the provision of
65 ecosystem services such as food, fiber or other agricultural products.

66 Climate-smart agriculture (CSA) has been promoted as a systematic approach for
67 developing agricultural strategies to ensure sustainable food security in the context of climate
68 change (FAO, 2013). One of the major objectives of CSA is to reduce greenhouse gas emissions
69 and enhance soil carbon sequestration and soil health (Campbell *et al.*, 2014; Lipper *et al.*, 2014).
70 The key for sequestering more carbon in soils lies in increasing carbon inputs and reducing
71 carbon outputs. Frequently recommended approaches for SOC sequestration include adding
72 cover crops into the crop rotation, applying biochar to soils, and minimizing soil tillage (i.e.,
73 conservation tillage). In recent decades, these management practices have been applied in major
74 agricultural regions globally, and a large number of observations/measurements have been
75 accumulated (e.g., Chen *et al.*, 2009; Spokas *et al.*, 2009; Clark *et al.*, 2017).

76 Several mechanisms have been proposed to explain the positive effects of CSA
77 management practices on SOC sequestration. For example, conservation tillage reduces soil
78 disturbance and the soil organic matter decomposition rate (Salinas-Garcia *et al.*, 1997) and
79 promotes fungal and earthworm biomass (Lavelle, 1999; Briones & Schmidt, 2017), thereby
80 improving SOC stabilization (Liang & Balsler, 2012). Cover crops provide additional biomass
81 inputs from above- and belowground (Blanco-Canqui *et al.*, 2011), increase carbon and nitrogen
82 inputs, and enhance the biodiversity of agroecosystems (Lal, 2004). Moreover, cover crops can
83 promote soil aggregation and structure (Sainju *et al.*, 2003), therefore indirectly reduce carbon
84 loss from soil erosion (De Baets *et al.*, 2011). Biochar amendments affect SOC dynamics
85 through two pathways: (1) improving soil aggregation and physical protection of aggregate-
86 associated SOC against microbial attack; (2) increasing the pool of recalcitrant organic substrates
87 resulting in a low SOC decomposition rate and substantial negative priming (Zhang *et al.*, 2012;
88 Du *et al.*, 2017a, Weng *et al.*, 2017).

89 Although these CSA management practices have been widely used to enhance soil health
90 (e.g., Thomsen & Christensen, 2004; Denef *et al.*, 2007; Fungo *et al.*, 2017; Weng *et al.*, 2017),
91 their effects on SOC sequestration are variable and highly dependent on experiment designs and
92 site-specific conditions such as climate and soil properties (Poeplau & Don, 2015; Abdalla *et al.*,

93 2016; Liu *et al.*, 2016; Paustian *et al.*, 2016). The potential to sequester soil carbon varies greatly
94 among CSA practices, which has not been well addressed. Some studies even suggested negative
95 effects of CSA management practices on SOC (e.g., Tian *et al.*, 2005; Liang *et al.*, 2007). Also,
96 most prior quantitative research focused on the effects of a single CSA practice on SOC (e.g.,
97 Poeplau & Don, 2015; Abdalla *et al.*, 2016; Liu *et al.*, 2016), very few studies estimated the
98 combined effects of diverse CSA and conventional management practices. Some recent studies
99 reported that a combination of cover crops and conservation tillage could significantly increase
100 SOC compared to a single management practice (Blanco-Canqui *et al.*, 2013; Ashworth *et al.*,
101 2014; Higashi *et al.*, 2014; Duval *et al.*, 2016). For example, Sainju *et al.* (2006) suggested that
102 soil carbon sequestration may increase 0.267 Mg C ha⁻¹ yr⁻¹ under a combination of no-till and
103 cover crop practices, where the latter was a mixed culture of hairy vetch (*Vicia villosa*) and rye
104 (*Secale cereale*); in contrast, a carbon loss of 0.967 Mg C ha⁻¹ yr⁻¹ occurred when only no-till
105 was used. Agegnehu *et al.* (2016) reported that 1.58% and 0.25% more SOC were sequestered in
106 the mid-season and end-season, respectively, under conservation tillage when biochar was also
107 applied. These findings highlight the importance of quantitatively evaluating the combined
108 effects of multiple CSA management practices (including the combination of CSA and
109 conventional management practices) on SOC sequestration under different climate and soil
110 conditions.

111 This study aims to fill the above-mentioned knowledge gap through a meta-analysis to
112 simultaneously examine the effects of three widely used CSA management practices (i.e.,
113 conservation tillage [no-till, NT; and reduced tillage, RT], cover crops, and biochar) on SOC
114 sequestration (Fig. 1). Our scientific objectives were to: (1) evaluate and compare the effects of
115 conservation tillage, cover crops, and biochar use on SOC; (2) examine how environmental
116 factors (e.g., soil properties and climate) and other agronomic practices (e.g., nitrogen
117 fertilization, residue management, irrigation, and crop rotation) influence SOC in these CSA
118 management environments.

119 ***[Insert Figure 1]***

120 2. Materials and methodology

121 2.1. Data collection

122 We extracted data from 417 peer-reviewed articles (297 for conservation tillage, 64 for cover
123 crops, and 56 for biochar) published from 1990 to May 2017 (Data S1). Among all publications,
124 113 for conservation tillage, 32 for cover crops, and 7 for biochar were conducted in the U.S. All
125 articles were identified from the Web of Science. The search keywords were “soil organic carbon”
126 and “tillage” for conservation tillage treatments; “soil organic carbon” and “cover crop” for
127 cover crop treatments; and “soil organic carbon” and “biochar” for biochar treatments. All
128 selected studies meet the following inclusion criteria: (1) SOC was measured in field
129 experiments (to estimate the potential of biochar to increase soil carbon, we also included soil
130 incubation and pot experiments with regard to biochar use); (2) observations were conducted on
131 croplands excluding orchards and pastures; (3) ancillary information was provided, such as
132 experiment duration, replication, and sampling depth; and (4) other agronomic management
133 practices were included besides the three target management practices in this study. We
134 considered conventional tillage as the control for NT and RT. Experiments that eliminated any
135 tillage operation were grouped into the NT category, and experiments using tillage with lower
136 frequency or shallower till-depth or less soil disturbance in comparison to the paired
137 conventional tillage (e.g., moldboard plow and chisel plow) were grouped into the RT category.
138 Likewise, “no cover crop” and “no biochar” were treated as control experiments relative to cover
139 crop and biochar treatments, respectively. We only considered studies that viewed cover crops as
140 treatments and fallow (or weeds) as controls.

141 Soil organic carbon data were either derived from tables or extracted from figures using
142 the GetData Graph Digitizer software v2.26 (<http://getdata-graph-digitizer.com/download.php>).
143 Other related information from the selected studies was also recorded, including location (i.e.,
144 longitude and latitude), experiment duration, climate (mean annual air temperature and
145 precipitation), soil properties (texture, depth, and pH), and other agronomic practices (crop
146 residues, nitrogen fertilization, irrigation, and crop rotation). The study durations were grouped
147 into three categories: short (≤ 5 years), medium (6-20 years), and long term (> 20 years). Climate
148 was grouped according to the aridity index published by UNEP (1997) as either arid (≤ 0.65) or
149 humid (> 0.65). Study sites were grouped into cool (temperate and Mediterranean climates) and

150 warm zones (semitropical and tropical climates) (Shi *et al.*, 2010). Soil texture was grouped as
151 silt loam, sandy loam, clay and clay loam, loam, silty clay and silty clay loam, and loamy sand
152 according to the USDA soil texture triangle. Soil depth was grouped as 0-10 cm, 10-20 cm, 20-
153 50 cm, and 50-100 cm. Soil pH was grouped as acidic (< 6.6), neutral (6.6-7.3), and alkaline (>
154 7.3). Crop residue management was grouped as “residue returned” and “residue removed.” We
155 only included those studies that used the same residue management in the control and treatment
156 groups. Similarly, nitrogen fertilization was grouped into no addition, low (1-100 kg N ha⁻¹),
157 medium (101-200), and high levels (> 200). Irrigation management was grouped as irrigated or
158 rainfed. Crop sequence was grouped as rotational or continuous crops (including crop-fallow
159 systems). We also estimated the response of SOC in the whole-soil profiles (from the soil surface
160 to 120 cm, with an interval of 10 cm) to CSA management practices.

161 The standard deviation (SD) of selected variables, an important input variable to the
162 meta-analysis, was computed as $SD = SE \times \sqrt{n}$, where SE is the standard error and n is the
163 number of observational replications. If the results of a study were reported without SD or SE,
164 SD was calculated based on the average coefficient of variation for the known data. Publication
165 bias was analyzed by the method of fail-safe number, which suggests that the meta-analysis can
166 be considered robust if the fail-safe number is larger than $5 \times k + 10$ (where k is the number of
167 observed studies) (Rothstein *et al.*, 2006).

168 2.2. Meta-analysis

169 A random-effect model of meta-analysis was used to explore environmental and management
170 variables that might explain the response of SOC to CSA management practices. The data
171 analysis was performed in R (R Development Core Team 2009). The response ratio (RR) was
172 defined as the ratio between the outcome of CSA management practices and that of the control
173 group. The logarithm of RR ($\ln RR$) was calculated as the effect size of each observation
174 (Hedges *et al.*, 1999, Equation (1)):

$$175 \quad \ln RR = \ln (\bar{X}_t / \bar{X}_c) = \ln \bar{X}_t - \ln \bar{X}_c \quad (1)$$

176 where \bar{X}_t and \bar{X}_c are SOC values in the treatment and control groups, respectively. The variance
177 (v) of $\ln RR$ was computed as:

178
$$v = \frac{S_t^2}{n_t X_t^2} + \frac{S_c^2}{n_c X_c^2} \quad (2)$$

179 where S_t and S_c are the standard deviations of the treatment and control groups, respectively,
180 while n_t and n_c are the sample sizes of the treatment and control, respectively.

181 The weighting factor (w), as the inverse of the variance, was computed for each
182 observation to obtain a final weighting factor (w'), which was then used to calculate the mean
183 effect size (RR_{++}). The equations were:

184
$$w = 1 / v \quad (3)$$

185
$$w' = w / n \quad (4)$$

186
$$RR_{++} = \frac{\sum_i \ln RR_i}{\sum_i w'_i} \quad (5)$$

187 where $\ln RR' = w \ln RR$ is the weighted effect size, n is the total number of observations per
188 study, and i is the i th observation.

189 The 95% confidence intervals (CI) of $\ln RR_{++}$ were computed to determine statistical
190 significance. The comparison between treatment and control was considered significant if the 95%
191 CIs did not overlap zero (vertical lines in the graphs). The percent change was transformed [
192 $(e^{RR_{++}} - 1) \times 100\%$] to explain the response of the estimated CSA management practices.

193 3. Results

194 3.1 SOC responses to conservation tillage, cover crops, and biochar

195 Biochar applications enhanced SOC storage by 39% (28% in the field and 57% in incubation and
196 pot experiments, Fig. S1), representing the most effective practice, followed by cover crops (6%)
197 and conservation tillage (5%) (Fig. 2). Cover crop species had a pronounced positive effect on
198 SOC sequestration (Fig. S1), ranging from 4% for non-leguminous cover crops to 9% for
199 leguminous cover crops. When investigating different types of conservation tillage, NT and RT
200 had similar effects on SOC (approximately 8% increase). All results were statistically significant
201 (Fig. 2). Theoretically, the combination of CSA management practices may result in greater or
202 lesser effects on soil sequestration compared to single CSA management practice. However, if
203 synergistic effects were the prevalent interactions, this combination might potentially enhance

204 carbon accumulation (e.g., over 50% increase in SOC), which is subject to further investigation
205 in field experiments. Across the whole dataset we compiled, the SOC varied widely in each CSA
206 treatment (Fig. S2). We calculated the distribution of the data points (the ratio of SOC of each
207 treatment to that of the corresponding control, i.e., NT/RT vs. conventional tillage, cover crops
208 vs. no cover crop, and biochar use vs. non-biochar; Fig. S2). Most of the studies used in this
209 meta-analysis reported positive responses of SOC to NT, RT, cover crops, and biochar treatment
210 (60%, 65%, 68%, and 91%, respectively). The SOC change rates were $0.38 \pm 0.71 \text{ Mg ha}^{-1} \text{ yr}^{-1}$
211 ($n=56$) and $-0.29 \pm 0.79 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ ($n=30$) in NT and RT systems, respectively (Fig. S3). We did
212 not calculate SOC sequestration rates for other treatments (i.e., cover crops and biochar) due to
213 the lack of some ancillary information (e.g., bulk density).

214 *[Insert Figure 2]*

215 **3.2 Effects of CSA management practices in different climate zones**

216 Overall, CSA management practices sequestered more SOC in arid areas than in humid areas
217 (Fig. 3a). Biochar and cover crops increased 12% (38% vs. 26%) and 3% (9% vs. 6%) more
218 SOC in arid areas, respectively, compared to humid areas. In comparison, the NT-induced SOC
219 uptake was slightly higher in arid areas than that in humid areas (9% and 8%, respectively).
220 However, the RT-induced SOC increment in arid areas was two times greater than that in humid
221 areas. Our further analysis suggested that CSA management practices significantly increased
222 SOC in both cool and warm climate zones with diverse responses (Fig. 3b). For example, in
223 warm areas, biochar applications only increased SOC by half of the enhancement observed in
224 cool areas. Cover crops increased SOC by 15% in warm areas, three times larger than that in
225 cool areas. In warm areas, NT increased SOC by 15% compared to 8% in cool areas. Reduced
226 tillage increased SOC by 7% and 6% in warm and cool areas, respectively.

227 *[Insert Figure 3]*

228 **3.3 Effects of CSA management practices with different soil properties**

229 The effects of CSA management practices on SOC were strongly influenced by soil texture (Fig.
230 4). Biochar applications increased SOC by 63, 62%, and 52% in silty clay and silty clay loam
231 soils, loam soils, and loamy sand soils, respectively. While relatively lower soil carbon uptakes
232 under biochar applications were found in clay loam and clay soils (32%), silt loam soils (35%),

233 and sandy loam soils (34%). Cover crops increased SOC by 4%, 6%, 7%, and 6% in clay loam
234 and clay soils, silt loam soils, loam soils, and sandy loam soils, respectively. No-till increased
235 SOC by 16% in silty clay and silty clay loam soils, compared to 12% in sandy loam soils and 7%
236 in loamy sand soils. Reduced tillage increased SOC by 21%, 7%, and 15% in silty clay and silty
237 clay loam soils, loam soils, and loamy sand soils, respectively. Overall, cover crops sequestered
238 more carbon in coarse-textured soils than in fine-textured soils. In contrast, NT and RT increased
239 SOC more in fine-textured soils than in coarse-textured soils. No obvious relationship was found
240 between biochar use and soil textures.

241 *[Insert Figure 4]*

242 The positive effects of CSA management practices on SOC decreased with soil depth
243 (Fig. 5). Biochar significantly increased SOC by 41% and 14% in the 0-10 cm and 0-30 cm soil
244 layers, respectively (Table S1). Cover crops significantly increased SOC by 9%, 3%, and 9% in
245 the 0-10 cm, 10-20 cm, and 20-50 cm depth ranges, respectively. Further analysis showed that
246 cover crops could increase SOC (5%) in the entire 0-70 cm soil profile (Table S1). Both NT and
247 RT could significantly increase SOC most at 0-10 cm depth (22% and 17%, respectively).
248 Although reduced SOC was observed in the 10-20 cm and 20-50 cm soil layers (-4% and -10%,
249 respectively), NT could still enhance SOC sequestration in the entire soil profile up to 120 cm
250 (Table S1). In comparison, RT could increase SOC in the 0-70 cm soil profile (Table S1)
251 although decreased soil carbon (not statistically significant) was observed in the 10-50 cm soil
252 layer (Fig. 5).

253 *[Insert Figure 5]*

254 All CSA management practices except RT positively influenced the SOC pool regardless
255 of soil pH. The management-induced SOC uptake was generally higher in alkaline soils than in
256 acid soils (Fig. 6). Biochar use increased SOC by 65%, 35%, and 28% in alkaline, neutral, and
257 acid soils, respectively. Cover crops increased SOC by 15% in neutral soils, followed by alkaline
258 (9%) and acid soils (6%). No-till increased SOC by 6% in acid soils and 13% in alkaline soils.
259 The SOC increased by RT was greater in alkaline soils (9%) than acid soils (6%), but RT had no
260 significant influence on SOC in neutral soils.

261 *[Insert Figure 6]*

262 3.4 Combined effects of experiment duration and other agronomic practices

263 The CSA management practices are generally applied together with other agronomic practices
264 such as residue return, nitrogen fertilizer use, and irrigation. These agronomic practices may
265 interact with the CSA management practices with positive or negative effects on the capacity of
266 soils to sequester carbon. In this study, we considered experiment duration and four other
267 agronomic practices, including residue return, nitrogen fertilization, irrigation, and crop sequence,
268 to quantify these effects.

269 Our results demonstrated that the influences of three CSA management practices on SOC
270 varied with experiment duration. Biochar amendments significantly increased SOC by 45% and
271 36% in short-term and medium-term experiments, respectively. Cover crops significantly
272 increased SOC by 5%, 11%, and 20% in the short-term, medium-term, and long-term
273 experiments, respectively (Fig. 7). No-till significantly increased SOC by 13% in the long-term
274 experiments, followed by medium-term (7%) and short-term (6%). Reduced tillage increased
275 SOC by 12% in long-term studies, followed by medium-term (9%) and short-term experiments
276 (3%). The average durations differed in each group (Table S2), which may influence the effect of
277 CSA management practices on SOC. When excluding short and medium experiment durations (\leq
278 20 years) and shallow sampling (< 20 cm), RT significantly increased SOC by 14%, while NT
279 had no significant effect on SOC (Fig. S4).

280 *[Insert Figure 7]*

281 When crop residues were returned, conservation tillage and cover crops significantly
282 increased SOC: 9% for NT, 6% for cover crops, and 5% for RT (Fig. 8). However, if crop
283 residues were removed, neither cover crops nor RT had a significant effect on SOC, although
284 there was a significant increase in SOC under NT (5%).

285 *[Insert Figure 8]*

286 Our results suggested that nitrogen fertilizer use could alter the magnitude of soil carbon
287 uptake induced by CSA management practices. Biochar boosted the most SOC among CSA
288 management practices regardless of nitrogen fertilizer levels, with the strongest effects under the
289 low-level nitrogen inputs, followed by the high-level (38%), medium-level (29%), and no
290 nitrogen fertilizer use (27%) (Fig. 9). Cover crops increased SOC by 6% under both low-level

291 and medium-level nitrogen inputs, slightly higher than that under the high-level nitrogen
292 fertilizer use (3%). No-till tended to sequester more soil carbon when nitrogen fertilizer input
293 was relatively lower (11%, 8%, and 6% for low-level, medium-level, and high-level nitrogen
294 fertilization, respectively). While RT increased SOC by 13% at the medium-level nitrogen
295 fertilizer rate, approximately two times larger than those under the low-level and high-level
296 nitrogen fertilizer use (Fig. 9).

297 *[Insert Figure 9]*

298 When investigating the irrigation effects, our results suggested that biochar markedly
299 stimulated SOC increases in irrigated croplands (49%), three times higher than those under
300 rainfed condition. Similarly, NT increased SOC by 15% in irrigated croplands, twice as much
301 soil carbon as that in rainfed croplands. Cover crops increased SOC by 7% and 4% in irrigated
302 and rainfed croplands, respectively. In contrast, the RT-induced SOC increase was 16% under
303 the rainfed condition, 5% higher than that in irrigated croplands (Fig. 10a).

304 The CSA management practices significantly promoted SOC uptakes in both rotational
305 and continuous cropping systems (Fig. 10b). Specifically, biochar amendments enhanced SOC
306 by 52% in rotational cropping systems, much higher than that in the continuous cropping system
307 (31%). While SOC uptakes induced by NT and RT showed no obvious differences in the
308 rotational and continuous cropping systems (9% and 8% vs. 8% and 7%). Cover crops increased
309 SOC by 4% in rotational cropping systems, lower than that in continuous cropping systems (8%).

310 *[Insert Figure 10]*

311 **3.5 Combinations of CSA management practices**

312 Our results demonstrated that combining different CSA management practices might
313 significantly enhance SOC sequestration. In warm regions, SOC increased by 13% with the
314 combination of conservation tillage and cover crops (Fig. 11). In loamy sand and sandy clay
315 loam soils, associated SOC uptakes increased to 31% and 21%, respectively. A similar effect
316 was also observed in medium-term experiments. However, in clay soils, the combination of
317 cover crops and conservation tillage significantly decreased SOC by 19%.

318 *[Insert Figure 11]*

319 4. Discussion

320 4.1 Effects of CSA management practices on SOC

321 Common approaches for enhancing SOC focus on increasing carbon inputs, decreasing losses, or
322 simultaneously affecting both inputs and losses. All CSA management practices discussed here,
323 i.e., biochar, cover crops, and conservation tillage, increase soil carbon sequestration to different
324 extents. For example, SOC enhancement by biochar applications can reach up to 40% (Liu *et al.*,
325 2016), while conservation tillage and cover crops increase SOC by only 3-10% (Luo *et al.*, 2010;
326 Abdalla *et al.*, 2016; Du *et al.*, 2017b; Zhao *et al.*, 2017) and ~10% (Aguilera *et al.*, 2013),
327 respectively. Our results agree with these earlier findings: biochar use increased SOC by 39%,
328 followed by cover crops (6%) and conservation tillage (5%). The discrepancies among various
329 CSA management practices in enhancing SOC fundamentally lie in their functional mechanisms.
330 Biochar addition, with a low turnover rate, contributes directly to soil carbon storage and
331 indirectly decreases native SOC decomposition rates by negative priming (Wang *et al.*, 2016).
332 Cover crops are green manure that increases carbon inputs to the soil and subsequent SOC
333 (Poeplau & Don, 2015). Conservation tillage practices may not necessarily add carbon; their
334 contribution is primarily accomplished by protecting SOC from decomposition and erosion (Six
335 *et al.*, 2000; Lal, 2005). Additionally, all three CSA management practices can potentially
336 improve soil properties, thereby stimulating more carbon inputs from residue return and
337 rhizodeposition due to promoted plant growth, and reducing carbon losses via decreasing
338 leaching and erosion. However, the effectiveness of these practices on SOC sequestration and the
339 mechanisms involved vary with environmental factors and other agronomic practices.

340 4.2 Environmental control in CSA management practices

341 Environmental factors such as climate and soil properties may influence carbon inputs to the soil
342 and affect the processes that regulate carbon loss, considering that all CSA practices are
343 implemented in site-specific climate and soil conditions. The effects of CSA management
344 practices on SOC could be biased by environmental factors.

345 4.2.1 Climate variability

346 Climate is one of the major driving forces that regulate SOC distribution. On average, SOC
347 accumulation is greater than decomposition in wet areas than in dry and warm regions (Jobbágy
348 & Jackson, 2000). Soil carbon is positively related to precipitation and negatively correlated with

349 temperature (Rusco *et al.*, 2001), with the former correlation tending to be stronger (Martin *et al.*,
350 2011; Meersmans *et al.*, 2011). High precipitation is usually associated with abundant growth
351 and high rates of carbon inputs to soils (Luo *et al.*, 2017), while low temperatures may
352 remarkably reduce microbial activity, resulting in low rates of organic matter decomposition and
353 measurable amounts of SOC accumulation (Castro *et al.*, 1995; Garcia *et al.*, 2018). Biochar
354 applications result in greater SOC accumulation in arid/cool areas than in humid/warm
355 environments (Fig. 3), probably due to the porous structure and the capacity of biochar to
356 promote greater soil water retention (Karhu *et al.*, 2011; Abel *et al.*, 2013). It is not clear why
357 biochar has a greater impact on SOC accrual in cool regions. A possible explanation is that high
358 soil temperatures may promote biochar decomposition and oxidation (Cheng *et al.*, 2008).

359 Cover crops and NT increased SOC with no significant difference between aridity
360 conditions (Table 1), although they performed better at storing SOC in arid areas (Fig. 3a). This
361 result suggests that arid-region soils have a high potential to store carbon when using proper
362 management practices (Tondoh *et al.*, 2016). In addition, cover crops and NT can enhance
363 carbon sequestration more in warm areas than in cool areas. Temperature could affect the
364 establishment and growth of cover crops (Akemo *et al.*, 2000). In warm areas, cover crops may
365 develop well and potentially capture more carbon dioxide (CO₂) from the atmosphere, thus
366 providing more carbon inputs into soils after they die (e.g., Bayer *et al.*, 2009).

367 Tillage results in the breakdown of macroaggregates and the release of aggregate-protected
368 SOC (Six *et al.*, 2000; Mikha & Rice, 2004). Tillage-induced SOC decomposition usually
369 proceeds at higher rates in warm than in cool areas. Implementing NT, with minimal soil
370 disturbance, protects SOC from decomposition. As a result, SOC increases can be more
371 significant in warm conditions considering the relatively higher baseline of the decomposition
372 rate compared to that in cool areas.

373 *[Insert Table 1]*

374 **4.2.2 Soil properties**

375 Soil organic carbon is strongly correlated with clay content, with an increasing trend toward
376 more SOC in fine-textured soils (Stronkhorst & Venter, 2008; Meersmans *et al.*, 2012). The SOC
377 mineralization rate probably diminishes as clay concentrations increase (Sainju *et al.*, 2002).
378 Clay minerals can stabilize SOC against microbial attack through absorption of organic

379 molecules (Ladd *et al.*, 1996). By binding organic matter, clay particles help form and stabilize
380 soil aggregates, imposing a physical barrier between decomposer microflora and organic
381 substrates and limiting water and oxygen available for decomposition (Dominy *et al.*, 2002).

382 Biochar use and cover crops promote carbon sequestration for all soil texture types. Such an
383 enhancement of SOC does not vary significantly with soil texture (Table 1). The ability of
384 conservation tillage to enhance SOC, however, differs with soil texture (Fig. 4). Conservation
385 tillage merely reduces soil disturbance and normally does not add extra materials to soils. It can
386 be inferred that the effect of conservation tillage on SOC is more texture-dependent than the
387 other two management practices. Biochar is a carbon-rich material with a charged surface,
388 organic functional groups, and a porous structure, which can potentially increase soil aggregation
389 and cation exchange capacity (Jien & Wang, 2013). Similarly, cover crops directly provide
390 carbon inputs to soils, and their root development and rhizodeposition can also benefit soil
391 structure. These benefits are embedded in the source of biochar and cover crops *per se*. Thus, the
392 effectiveness of biochar and cover crops in increasing SOC may depend on their properties other
393 than soil texture.

394 Soil depth may potentially influence the effects of the CSA practices on SOC (Baker *et al.*
395 *et al.*, 2007). The CSA practices were most beneficial to SOC accumulation in surface soils. For
396 example, NT increased SOC by 7% in the 0-3 cm soil layer (Abdalla *et al.*, 2016) and by 3% at
397 the 40 cm depth (Luo *et al.*, 2010). Our findings suggested that CSA practices can enhance SOC
398 sequestration in the entire soil profile, although the positive effects vary with soil depths (Table
399 S1). Conventional tillage breaks soil aggregates and increases aeration and thus enhances soil
400 organic matter mineralization (Cambardella & Elliott, 1993). Conventional tillage also
401 incorporates residues into deeper soil layers, resulting in a more uniform distribution of SOC
402 (albeit at lower concentrations) in the soil profile (Sainju *et al.*, 2006; Plaza-Bonilla *et al.*, 2010).
403 In contrast, conservation tillage keeps residues at the soil surface and reduces their degree of
404 incorporation into soil (Franzluebbers *et al.*, 1995). Nevertheless, positive effects of NT on SOC
405 have been found in a deep soil profile (0-60 cm, Liu *et al.*, 2014). As noted, in the 10-50 cm soil
406 layer, the effect of cover crops on SOC was found to be the greatest among all the CSA
407 management practices we discussed (Fig. 5). This is perhaps because much of the crop and cover
408 crop root growth occurs in the surface soil (e.g., Box & Ramsuer, 1993; Sainju *et al.*, 1998) and

409 the generally greater contribution of roots to SOC than aboveground biomass (Balesdent &
410 Balabane, 1996; Allmaras *et al.*, 2004).

411 Soil pH is recognized as a dominant factor governing the soil organic matter turnover rate,
412 although its mode of impact is still unclear (Van Bergen *et al.*, 1998). Soil pH affects selective
413 presentation or metabolic modification of specific components (e.g., lignin-cellulose, lipids)
414 during decomposition (Kemmitt *et al.*, 2006) and therefore abiotic factors (e.g., carbon and
415 nutrient availability) and biotic factors (e.g., the composition of the microbial community). Also,
416 soil pH can change the decomposition rate of crop residues and SOC via its effect on SOC
417 solubility and indirectly by altering microbial growth, activity, and community structure (Pietri
418 & Brookes, 2009; Wang *et al.*, 2017). The levels of soluble organic carbon may increase with
419 increasing acidity (Willett *et al.*, 2004; Kemmitt *et al.*, 2006). Motavalli *et al.* (1995) suggested
420 that increased soil acidity would cause greater soil organic matter accumulation due to reduced
421 microbial mineralization; however, this was challenged by Kemmitt *et al.* (2006) who found no
422 significant trend in SOC in response to pH changes. In this study, most CSA management
423 practices resulted in greater increases in SOC in neutral or alkaline soils compared to acid soils.

424 **4.3 CSA and other agronomic practices**

425 Crop residues provide substantial amounts of organic matter and may influence the effect of
426 CSA practices on SOC. Residue retention changes the formation of soil macroaggregates (Benbi
427 & Senapati, 2010), promoting SOC preservation and accumulation (Six *et al.*, 2002). Residue
428 cover protects the soil surface from direct impact by raindrops (Blanco-Canqui *et al.*, 2014). In
429 addition, crop residues provide organic substrates to soil microorganisms that can produce
430 binding agents and promote soil aggregation (Guggenberger *et al.*, 1999). Conversely, residue
431 removal reduces carbon input to the soil system and ultimately decreases SOC storage (Manna *et*
432 *al.*, 2005; Koga & Tsuji, 2009). This suggests that the amount of carbon inputs predominantly
433 controls changes in SOC stocks (Virto *et al.*, 2012). For the conditions of cover crops and NT,
434 enhancing SOC was significantly greater with residue return than with residue removal. Our
435 study suggests that changes in SOC did not differ with residue management in RT (Table 1),
436 although a slightly greater increase in SOC occurred with residue retention than with residue
437 removal (Fig. 8). This unexpected result is likely due to the limited number of observations with
438 residue removal. Another possible reason is that the interaction between residue management

439 and soil type may lead to various responses in SOC stocks. For example, residue removal
440 increased SOC by 3.6% while residue retention had no effect on SOC in clay and clay loam soils.
441 The decomposition of crop residues involves complex processes, which are controlled by
442 multiple biogeochemical and biophysical conditions.

443 Nitrogen fertilization noticeably increases SOC stock but with diminishing returns. For
444 example, Blanco-Canqui *et al.* (2014) indicate that nitrogen fertilizer increases SOC when the
445 nitrogen fertilization rate is below 80 kg N ha⁻¹, above which it reduces aggregation and then
446 decreases SOC stocks. Nitrogen fertilization can stimulate biological activity by altering
447 carbon/nitrogen ratios, thereby promoting soil respiration and decreasing SOC content
448 (Mulvaney *et al.*, 2009); however, excessive nitrogen addition may reduce soil fungi populations,
449 inhibit soil enzyme activity, and decrease CO₂ emissions (Wilson & Al Kazi, 2008). These
450 findings suggest that nitrogen fertilization enhances the positive effect of CSA management
451 practices on SOC, likely through increased plant biomass production (Gregorich *et al.*, 1996).
452 However, nitrogen addition complicates the effects of biochar on SOC (Fig. 9). Nitrogen
453 fertilizer may affect biochar stability and the response of native SOC decomposition to biochar
454 addition (Jiang *et al.*, 2016). Positive (Bebber *et al.*, 2011; Jiang *et al.*, 2014) and negative
455 (Pregitzer *et al.*, 2008) effects of nitrogen on SOC mineralization rates have been reported. These
456 contrasting effects could be an alleviation of microbial nitrogen limitations (Jiang *et al.*, 2016)
457 and changes in the microbial decomposer community toward more efficient carbon-users
458 (Janssens *et al.*, 2010). A possible explanation of the various responses of nitrogen rate in
459 biochar-modified soils is that either inadequate or excessive nitrogen addition may inhibit
460 microbial activity to some extent, whereas medium-level nitrogen fertilization rates benefit
461 microbes the most, which needs to be confirmed in future research.

462 Aridity can limit plant growth and crop residue return and ultimately compromise SOC
463 accumulation (Moreno *et al.*, 2006). Jien and Wang (2013) suggest that CSA management
464 practices can potentially enhance soil water retention by improving soil porosity and erosion
465 control. Irrigation ensures sufficient water for plant growth, resulting in more biomass
466 production than in rainfed conditions (Shipitalo *et al.*, 1990; Chan, 2004; Capowicz *et al.*, 2009;
467 Swanepoel *et al.*, 2016). The crop root density is much higher in irrigated conditions compared

468 to rainfed conditions (Jobbágy & Jackson, 2000), leading to higher organic matter input. Thus,
469 CSA management practices in combination with irrigation could further increase SOC content.

470 Rotational cropping potentially provides high carbon input to soils. Compared to
471 continuous cropping systems, crops in rotational cropping systems have a greater belowground
472 allocation of biomass (Van Eerd *et al.*, 2014), resulting in more inputs of crop residue to the soil
473 system. Enhancing rotation complexity can benefit carbon sequestration (West & Post, 2002).
474 The present analysis suggests that all CSA practices can prominently increase SOC sequestration
475 regardless of the crop rotation system. Biochar addition increased SOC more in rotational
476 cropping systems than in continuous cropping systems, while cover crops increased SOC more in
477 continuous systems (Fig. 10). This is likely because cover crops increased the diversity of the
478 original continuous systems, resulting in larger percentage changes in SOC content compared to
479 rotational systems. Cover crop species introduce large uncertainties because the quantity and
480 quality of cover crop residues may vary greatly with species. Residues with a high
481 carbon/nitrogen ratio probably increase the amount of SOC (Duong *et al.*, 2009). The growth
482 period of legume cover crops may be longer in continuous than in rotational cropping systems,
483 thus providing more organic matter and nitrogen input to the soil. Ultimately, these processes
484 would increase SOC stocks.

485 The effect size of combined cover crops and conservation tillage was generally less than
486 11% (the sum of the effect size of cover crops and conservation tillage). However, in sandy clay
487 loam and loamy sand soils, the sum of the effect size was 21% and 31%, respectively. Coarse-
488 textured soils are not carbon-saturated and have great potential for carbon uptake. Cultivated
489 land tends to suffer from SOC degradation, and SOC accumulation could quickly increase upon
490 initiating farming practices due to high carbon inputs to the soil system (Vieira *et al.*, 2009). For
491 example, in sandy loam soils, Higashi *et al.* (2014) showed that SOC increased by 22% with a
492 combination of cover crops and NT. These results may be attributed to the stability of soil water-
493 stable aggregates when cover crops are grown in sandy clay loam soils (McVay *et al.*, 1989),
494 given that aggregate stability has been linked to protection of SOC from mineralization (Unger,
495 1997). The combination of cover crops and conservation tillage significantly decreased SOC in
496 clay soils. The reason for this unexpected result may be due to the limited number of study sites
497 where this combination of treatments was evaluated (few data points in our meta-analysis) but

498 also to the diverse methods (e.g., burning) by which the cover crop biomass was managed (Tian
499 *et al.*, 2005).

500 **4.4 Uncertainty analysis and prospects**

501 Our meta-analysis, based on 3,049-paired comparisons from 417 peer-reviewed articles,
502 quantitatively analyzed SOC changes as influenced by major CSA management practices and
503 associated environmental factors and other agronomic practices. The publication bias analysis
504 suggested that most results in this study are robust (Table S3). The accuracy and robustness of
505 metadata analysis depend highly on both the data quality and quantity. A detailed statement of
506 the experimental conditions will provide more information for in-depth analysis. Future CSA
507 research also requires standardized field management, for example, the definitions and names of
508 different conservation tillage methods should be uniform across studies to facilitate classification
509 research.

510 To the best of our knowledge, this study made the first attempt to examine synergistic
511 effects when two or more CSA management practices are used together. Although our results
512 present the positive effects of CSA management on soil carbon storage, especially when multiple
513 management practices are adopted collectively, each practice may have constraints regarding
514 enhancing soil carbon sequestration. The SOC benefit of CSA management practices strongly
515 depends on environmental factors and other agronomic practices. Therefore, the choice of proper
516 practices is potentially highly region-specific. Our results imply that CSA may have great
517 potential for climate change mitigation as the combination of conservation tillage, cover crops,
518 and biochar can theoretically enhance SOC by 50%. However, field experiments are still needed
519 to support this claim. In addition, some CSA management practices may promote nitrous oxide
520 or methane emissions (e.g., Six *et al.*, 2004; Spokas & Reicosky, 2009; Kessel *et al.*, 2013;
521 Huang *et al.*, 2018), which, to some extent, would offset their benefit on climate change
522 mitigation. Therefore, evaluating the CSA effects should also include non-CO₂ greenhouse gases
523 such as nitrous oxide and methane. We call for field experiments that can fully examine key
524 indicators (such as soil carbon and greenhouse gases) in response to single and combined CSA
525 management practices.

526 Additionally, incorporating cover crops into current cropping systems could potentially alter
527 conventional rotations. For example, cover crops in herbaceous crop rotations can substitute bare

528 fallows or commercial crops. We only considered studies that treated cover crops as treatments
529 and fallow (or weeds) as controls in this study. In comparison to bare fallows, cover crops can
530 enhance soil health and quality (Jarecki & Lal, 2003). The benefits of cover crops include
531 uptakes and stores of soil nutrients between seasons when they are susceptible to leaching
532 (Doran & Smith, 1987). However, the substitution of commercial crops could reduce the
533 productivity of the system, which has climatic implications related to the opportunity cost of the
534 extra land required (e.g., Balmford *et al.*, 2018; Searchinger *et al.*, 2018). Thus, future studies
535 should further address these potential side effects caused by land use change.

536 Materials producing biochar may have other uses or fates, and the biochar-making
537 processes may produce CO₂ (e.g., Llorach-Massana *et al.*, 2017), although biochar addition is an
538 effective way to sequester SOC. These uncertainties, to some extent, can offset the benefits of
539 biochar for climate change mitigation through SOC sequestration (Powlson *et al.*, 2008). The
540 carbon footprint of biochar production depends on production technology and the types of
541 feedstocks (Meyer *et al.*, 2017). Mukherjee and Lal (2014) found that “carbon dioxide emissions
542 from biochar-amended soils have been enhanced up to 61% compared with unamended soils.”
543 However, with a low carbon footprint, each ton of biochar could sequester 21 to 155 kg of
544 equivalent CO₂ (Llorach-Massana *et al.*, 2017). Matovic (2011) also suggested that 4.8 Gt C yr⁻¹
545 would be sequestered if 10% of the world’s net primary production were converted into biochar,
546 “at 50% yield and 30% energy from volatiles.” To fully understand the net impacts of biochar on
547 climate mitigation, future studies should stress the carbon footprint in the lifecycle of biochar.

548 It is essential to realistically examine the effects of CSA management practices on SOC and
549 greenhouse gases at multiple scales from plot and field levels to regional and global scales.
550 Therefore, future CSA research is expected to include varied climate and geographic conditions,
551 address more biogeochemical and hydrological processes, and apply diverse methods such as the
552 data-model fusion approach. For example, modeling studies have attempted to investigate
553 regional cropland SOC dynamics as influenced by multiple global environmental changes while
554 considering more traditional and less CSA practices (e.g., Molina *et al.*, 2017; Nash *et al.*, 2018;
555 Ren *et al.*, 2012, 2018). In the future, ecosystem models need to be improved to incorporate
556 multiple common CSA management practices. Additional model evaluations are needed to

557 quantify the potential of cropland carbon sequestration by adopting multiple CSA practices at
558 broad scales as new data become available from suggested field experiments and observations.

559

560 **Acknowledgements**

561 This work was supported by the National Institute of Food and Agriculture, U.S. Department of
562 Agriculture (NIFA-USDA Hatch project 2352437000) and the National Science Foundation
563 under Cooperative Agreement No.1355438. Bai acknowledges the support of the China
564 Scholarship Council. We thank Elisa D'Angelo for the comments and suggestions on the
565 manuscript. We also thank three anonymous reviewers and editor for comments that improved
566 this manuscript. The authors declare no conflict of interest.

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920

921 **Table 1.** Between-group variability (Q_M) of the variables controlling the effects of climate-smart
922 agriculture management practices on soil organic carbon.

Variables	No-till		Reduced tillage		Cover crop		Biochar	
	df	Q_M	df	Q_M	df	Q_M	df	Q_M
Duration	2	12.14**	2	13.69**	2	26.19***	1	0.04
Aridity index	1	0.13	1	10.99***	1	0.04	1	5.73*
Mean annual air temperature	1	16.32***	1	0.47	1	55.99***	1	6.48*
Soil texture	5	20.98***	5	32.15***	4	3.58	5	9.65
Soil depth	3	210.69***	3	73.38***	2	17.38***	-	-
Soil pH	2	9.8**	2	3.52	2	9.05*	2	28.64***
Residue	1	6.56*	1	0.04	1	4.07*	-	-
Nitrogen fertilization	3	7.62	3	11.43*	2	0.89	2	7.22*
Irrigation	1	9.61**	1	0.92	1	0.16	1	1.7
Crop rotation	1	1.72	1	0.26	1	19.43***	1	4.53*

923 Statistical significance of Q_M : * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

924 **Figure captions**

925 **Figure 1.** Relationship between climate-smart management practices and soil processes. “+”
926 means a positive feedback or promotion effect; “-” means a negative feedback or inhibition
927 function; and “?” means the effect is unclear. Blue, black, and red show the effect of cover crops,
928 conservation tillage, and biochar on the soil environment, processes, and pools, respectively.
929 SOC: soil organic carbon.

930 **Figure 2.** Comparison of climate-smart management vs. their controls for the entire dataset. The
931 number in parentheses represents the number of observations. Error bars represent 95%
932 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

933 **Figure 3.** Comparison of climate-smart management vs. their controls for subcategories of
934 climate zone (a: the climate zones were divided by aridity index; b: the climate zones were

935 divided by mean annual air temperature). The number in parentheses represents the number of
936 observations. Error bars represent 95% confidence intervals. SOC: soil organic carbon; NT: no-
937 till; RT: reduced tillage.

938 **Figure 4.** Comparison of climate-smart management vs. their controls for subcategories of soil
939 textures. The number in parentheses represents the number of observations. Error bars represent
940 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

941 **Figure 5.** Comparison of climate-smart management vs. their controls for subcategories of soil
942 depth. The number in parentheses represents the number of observations. Error bars represent 95%
943 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage. The average
944 depths of each categorical group were presented in supplementary files (Table S4-S7).

945 **Figure 6.** Comparison of climate-smart management vs. their controls for subcategories of soil
946 pH. The number in parentheses represents the number of observations. Error bars represent 95%
947 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

948 **Figure 7.** Comparison of climate-smart management vs. their controls for subcategories of
949 experiment duration. The number in parentheses represents the number of observations. Error
950 bars represent 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced
951 tillage.

952 **Figure 8.** Comparison of climate-smart management vs. their controls for subcategories of crop
953 residues. The number in parentheses represents the number of observations. Error bars represent
954 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

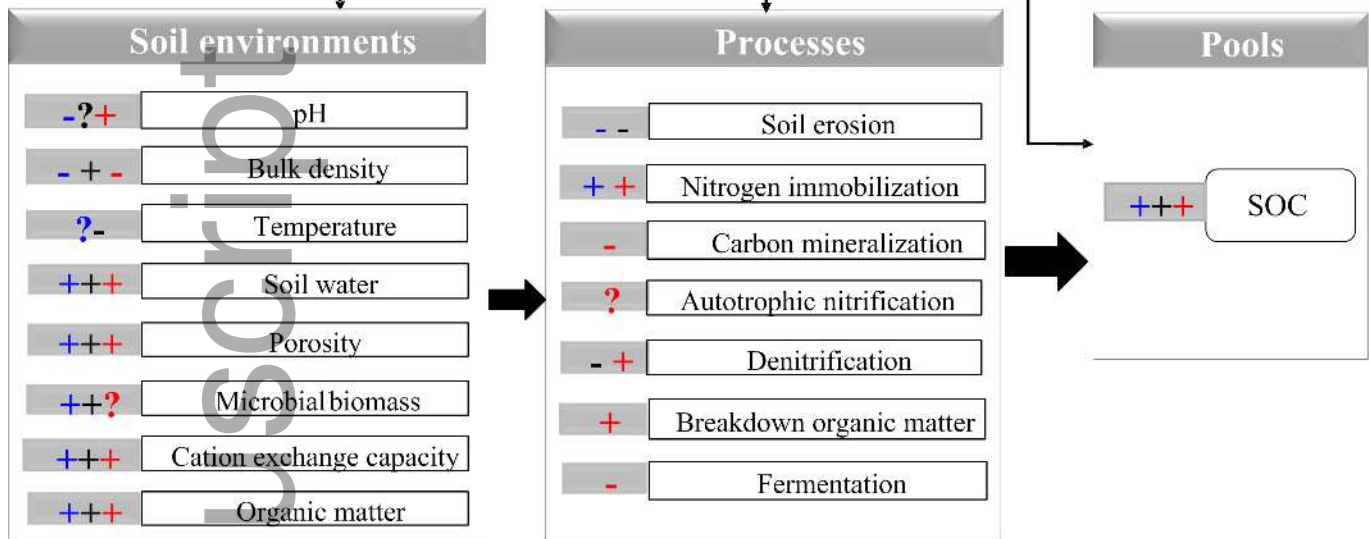
955 **Figure 9.** Comparison of climate-smart management vs. their controls for subcategories of
956 nitrogen fertilizer use. The number in parentheses represents the number of observations. Error
957 bars represent 95% confidence intervals. Low, medium, and high levels of nitrogen fertilizer use
958 represent 1-100, 101-200, and >200 kg N ha⁻¹, respectively. SOC: soil organic carbon; NT: no-
959 till; RT: reduced tillage.

960 **Figure 10.** Comparison of climate-smart management vs. their controls for subcategories of
961 water management (a) and cropping systems (b). The number in parentheses represents the

962 number of observations. Error bars represent 95% confidence intervals. SOC: soil organic carbon;
963 NT: no-till; RT: reduced tillage.

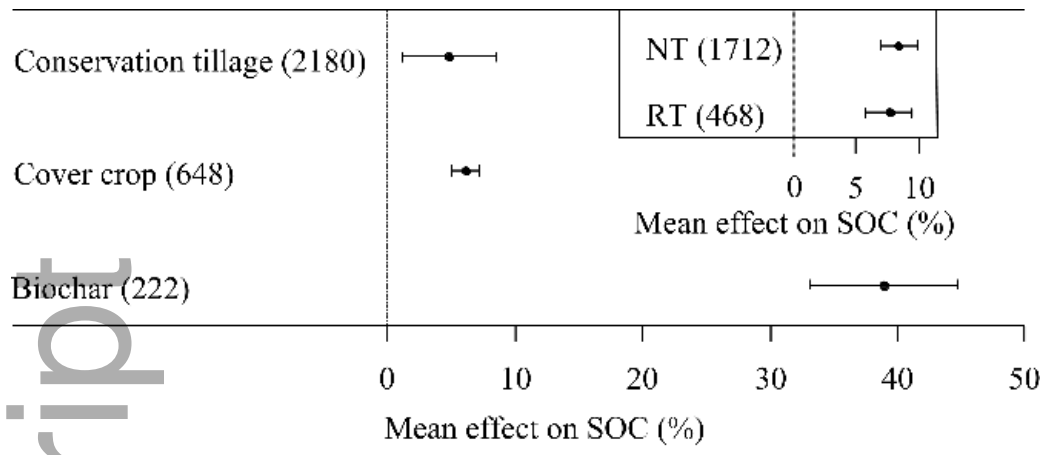
964 **Figure 11.** The effect size of combined conservation tillage and cover crops for different
965 subcategories. The number in parentheses represents the number of observations. Error bars
966 represent 95% confidence intervals. The vertical solid line represents 11%, which is the
967 theoretical sum of the effect sizes of conservation tillage and cover crops. SOC: soil organic
968 carbon.

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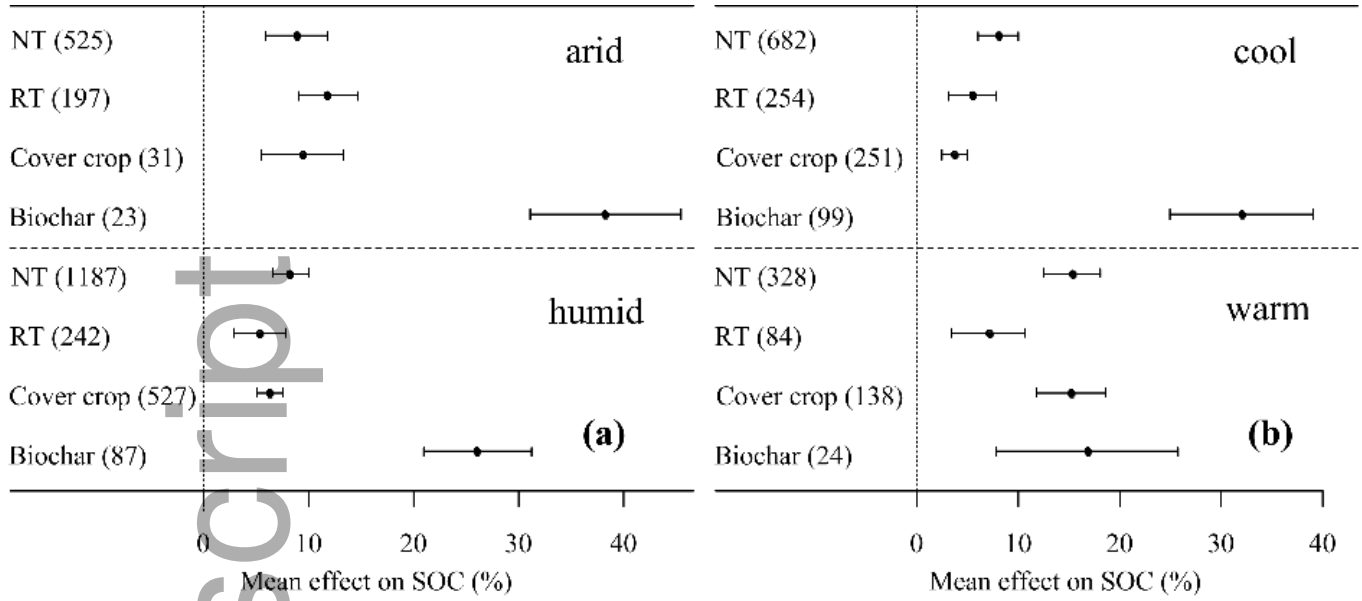


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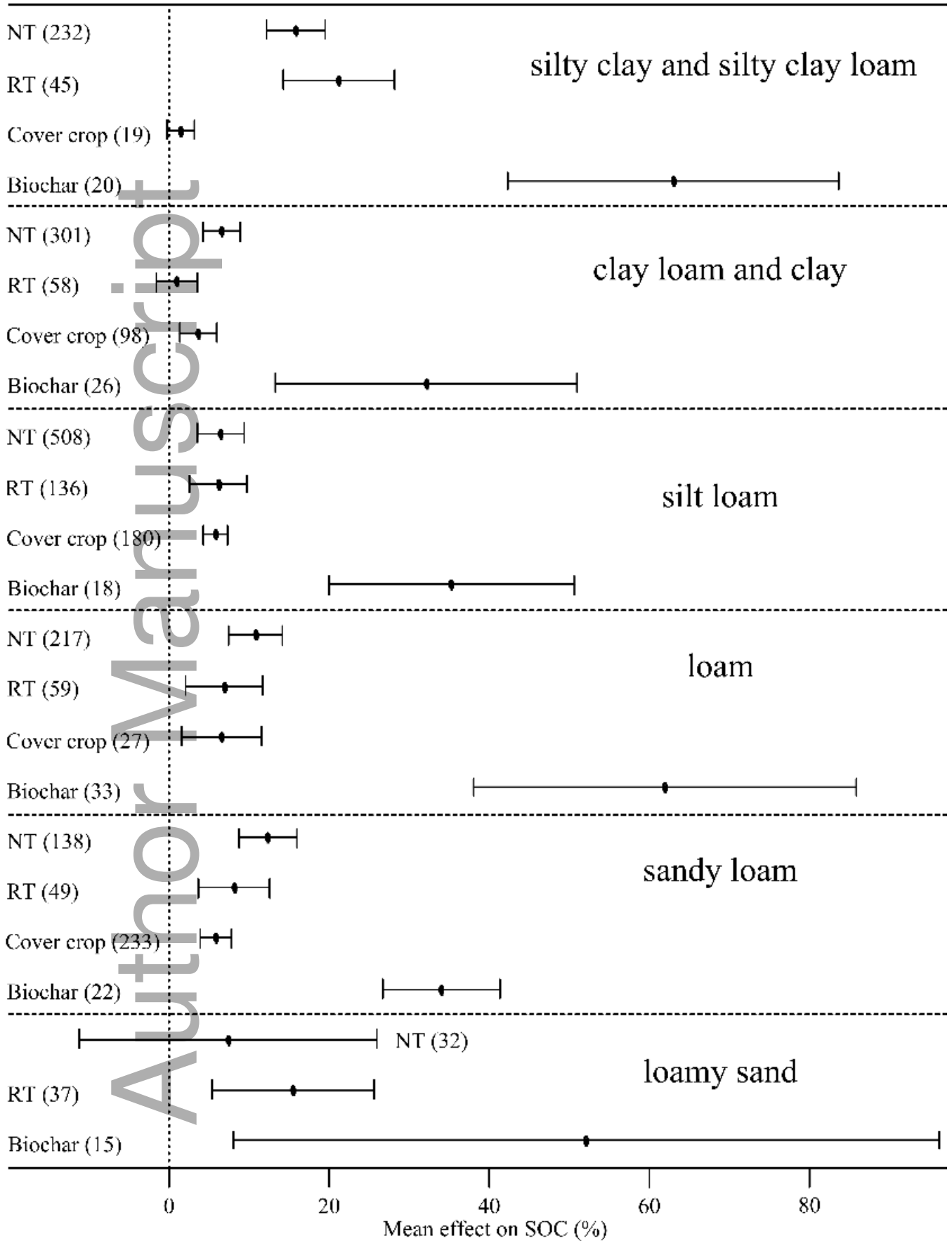


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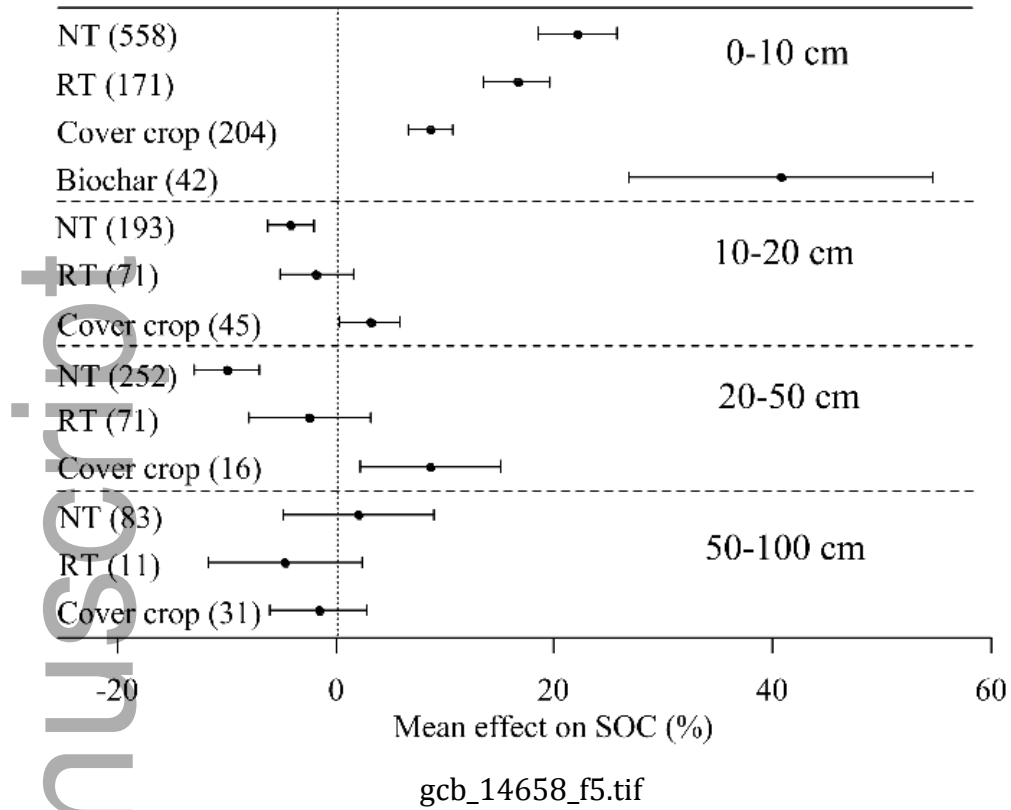


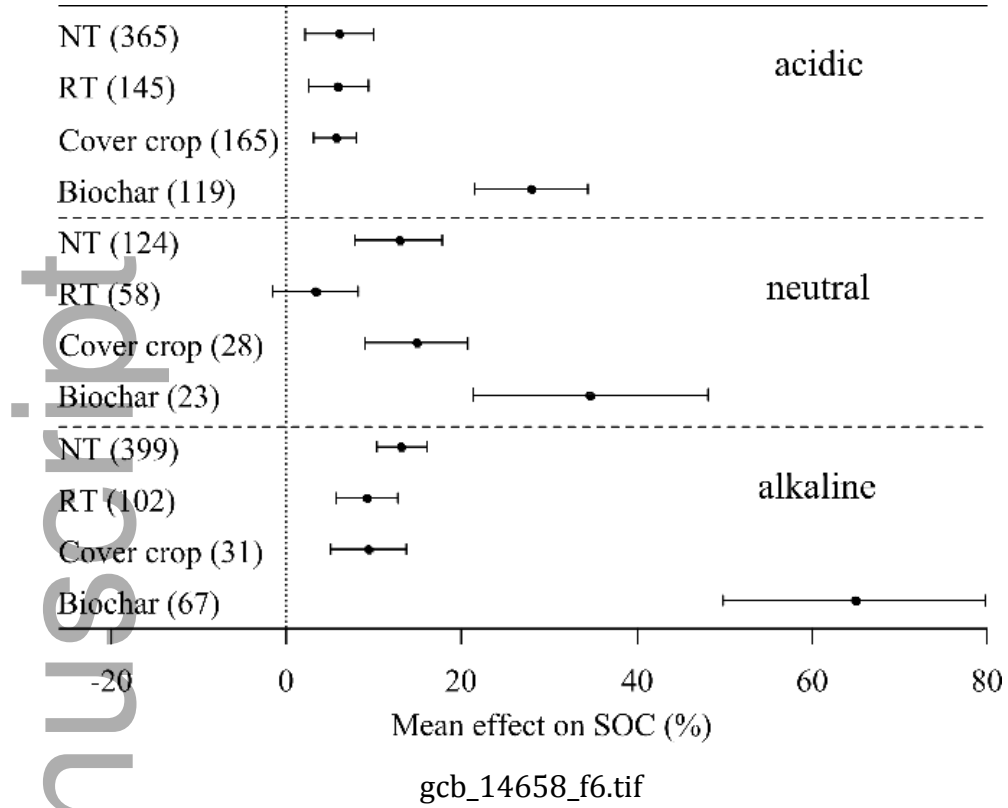
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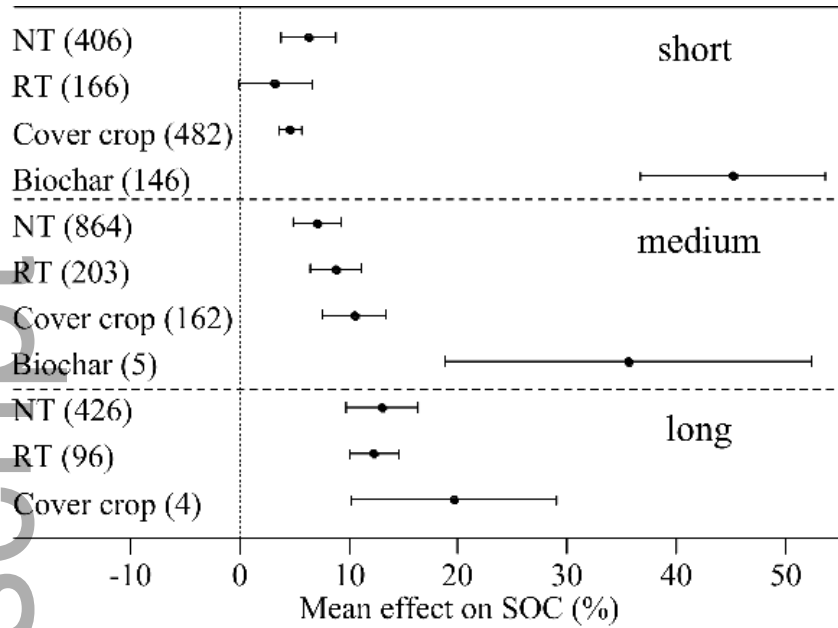
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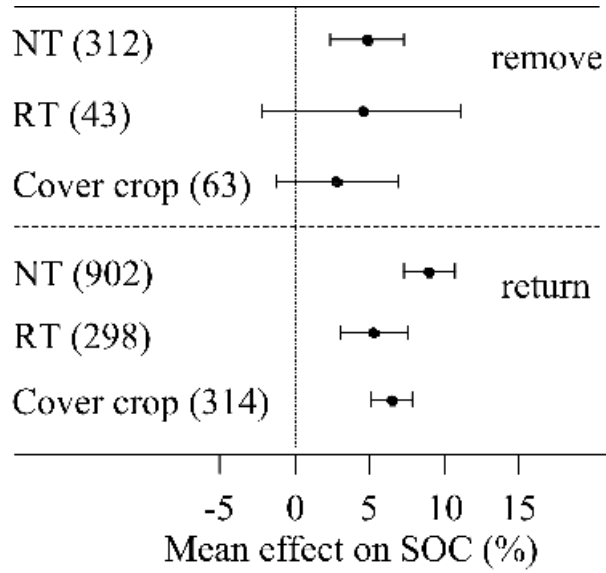
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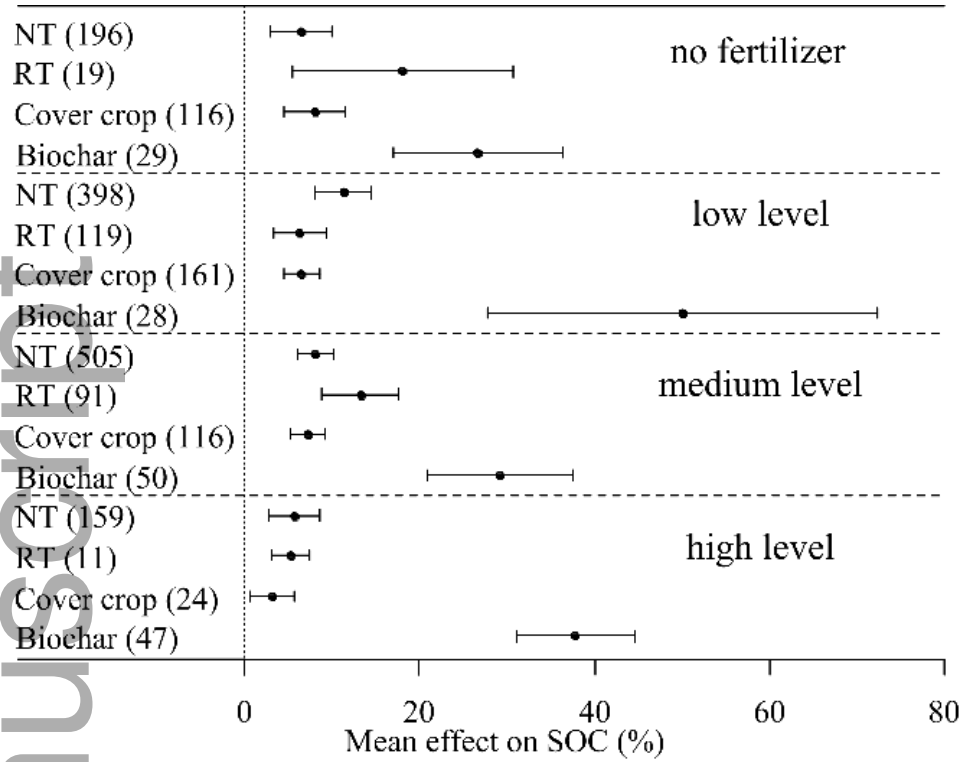




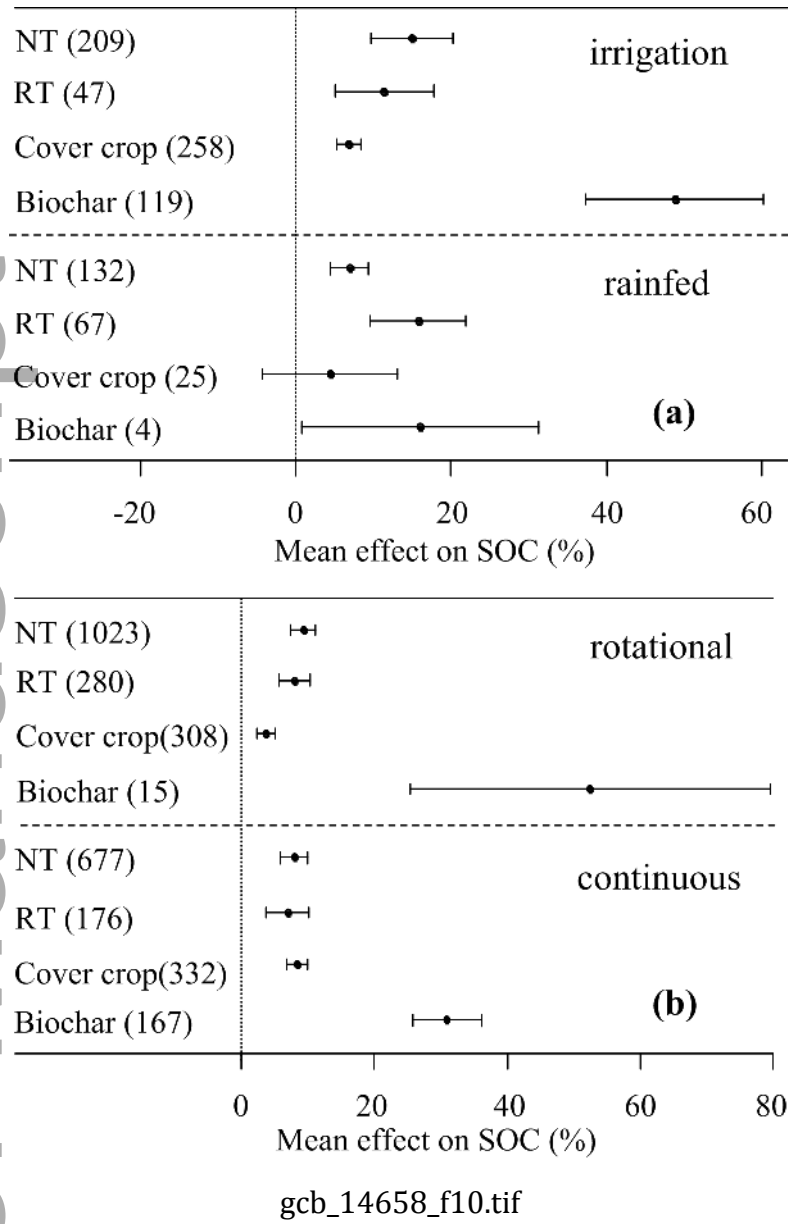
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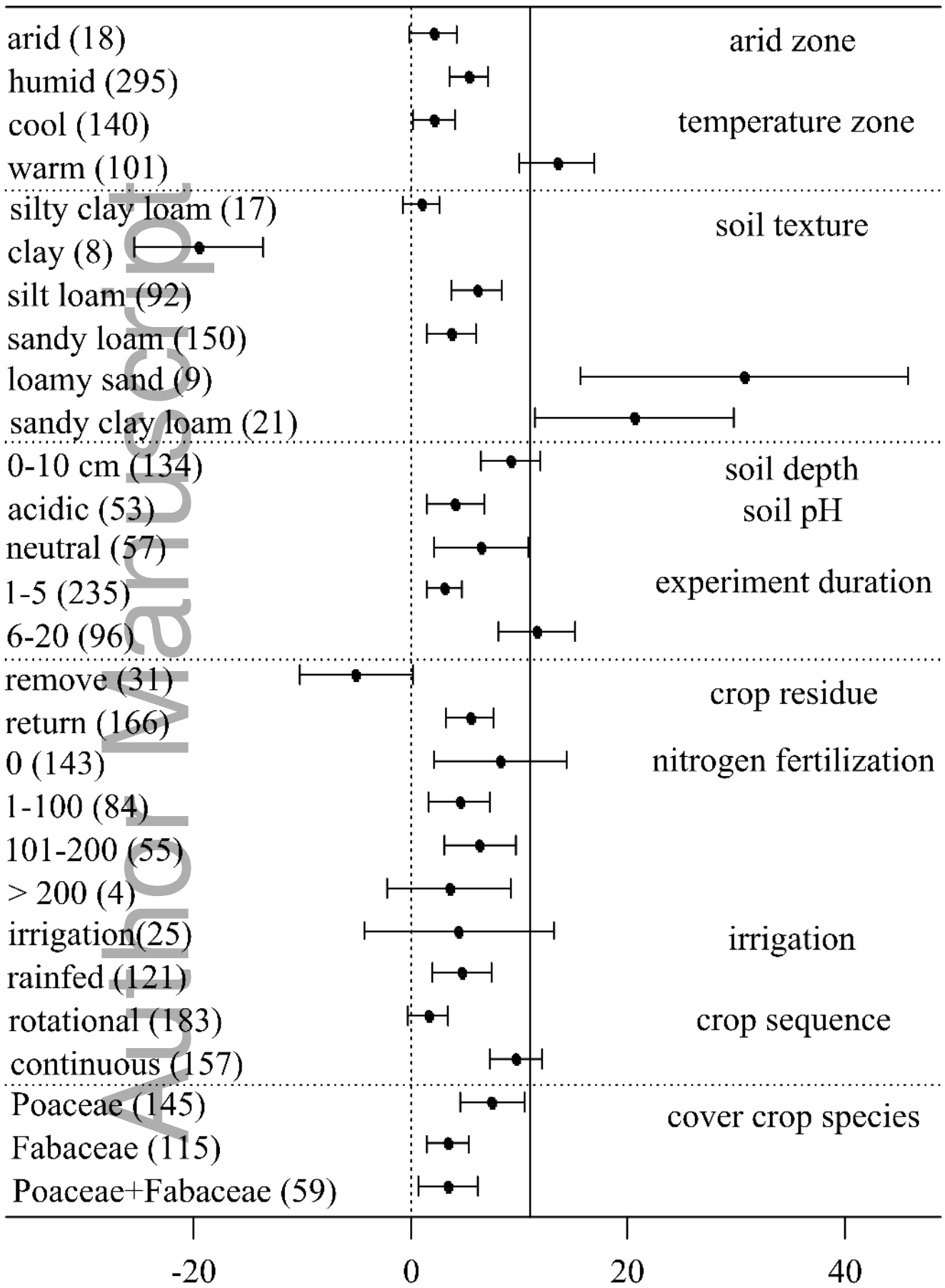


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Mean effect on SOC (%)

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Exhibit I



Nitrous oxide emissions from an irrigated soil as affected by fertilizer and straw management

X. Hao*, C. Chang, J.M. Carefoot, H.H. Janzen & B.H. Ellert

Lethbridge Research Centre, Agriculture and Agri-Food Canada, PO Box 3000, Lethbridge, AB Canada T1J 4B1

(*Corresponding author; e-mail: haoxy@em.agr.ca)

Key words: greenhouse gas, N₂O flux, straw and fertilizer management, tillage

Abstract

Nitrous oxide (N₂O) emission from farmland is a concern for both environmental quality and agricultural productivity. Field experiments were conducted in 1996–1997 to assess soil N₂O emissions as affected by timing of N fertilizer application and straw/tillage practices for crop production under irrigation in southern Alberta. The crops were soft wheat (*Triticum aestivum* L.) in 1996 and canola (*Brassica napus* L.) in 1997. Nitrous oxide flux from soil was measured using a vented chamber technique and calculated from the increase in concentration with time. Nitrous oxide fluxes for all treatments varied greatly during the year, with the greatest fluxes occurring in association with freeze-thaw events during March and April. Emissions were greater when N fertilizer (100 kg N ha⁻¹) was applied in the fall compared to spring application. Straw removal at harvest in the fall increased N₂O emissions when N fertilizer was applied in the fall, but decreased emissions when no fertilizer was applied. Fall plowing also increased N₂O emissions compared to spring plowing or direct seeding. The study showed that N₂O emissions may be minimized by applying N fertilizer in spring, retaining straw, and incorporating it in spring. The estimates of regional N₂O emissions based on a fixed proportion of applied N may be tenuous since N₂O emission varied widely depending on straw and fertilizer management practices.

Introduction

Agricultural soils are recognized as an important source of atmospheric nitrous oxide (N₂O), a gas contributing to the 'enhanced greenhouse effect' (Mosier and Schimel, 1991). Nitrous oxide also participates in reactions which destroy stratospheric ozone, resulting in a higher UV-B intensity (Cicerone, 1987). In addition to these environmental concerns, N₂O emission also affects crop production as it represents a loss of plant-available N which reduces fertilizer efficiency (Eichner, 1990).

Nitrous oxide is produced from plant-available soil N during nitrification and denitrification (Sahrawat and Keeney, 1986). The emission of N₂O from soil follows an irregular pattern during the year, often depending on agricultural practices, soil properties, and climatic conditions (Jarvis et al., 1991; Ramos, 1996; Henault et al., 1998; Lemke et al., 1998a).

Application of fertilizer N could potentially increase N₂O emissions by supplying more plant-available N for N₂O production (Loro et al., 1997; Mulvaney et al., 1997). However, emission of N₂O from N-fertilized cropland varies considerably, ranging from 0.001% to 6.84% of applied N (Eichner, 1990). Past research has concentrated primarily on the effects of various chemical forms of N fertilizer on N₂O emissions (e.g., Clayton et al., 1997; Mulvaney et al., 1997). Other studies considered how fertilizer rate, placement and application method affected N₂O emissions (Henault et al., 1998; Skiba et al., 1993; Mulvaney et al., 1997).

This study considers N₂O emissions from irrigated soils in a semi-arid region. Under irrigation, crop yields must be higher than dry land farming to cover the added costs. These yields are achieved through a combination of higher moisture levels and increased fertilization, both of which could affect N₂O emis-

Table 1. Treatments used in 1996 – 1997 study

Treatment # Nrate&season_straw_tillage	N Fertilizer		Straw-Tillage
	Rate	Time	
	(kg N ha ⁻¹)		
1 Fert0_NS_Fall	0		No straw ^b -Fall plow ^c
2 Fert0_NS_DS	0		No straw- Direct seed
3 Fert0_S_Fall	0		Straw-Fall plow
4 Fert100f_NS_Fall	100	Fall ^a	No straw-Fall plow
5 Fert100f_NS_DS	100	Fall	No straw-Direct seed
6 Fert100f_NS_Spring	100	Fall	No straw-Spring plow ^c
7 Fert100f_S_Fall	100	Fall	Straw-Fall plow
8 Fert100f_S_Spring	100	Fall	Straw-Spring plow
9 Fert100s_NS_Fall	100	Spring ^a	No straw-Fall plow
10 Fert100s_S_Fall	100	Spring	Straw-Fall plow

^a N fertilizer applied on 30 October 1996 and 5 May 1997, for fall and spring applications, respectively.

^b Straw was baled and removed from the field on 15 October 1996.

^c Field plowed on 31 October 1996 and 12 May 1997 for fall and spring plow, respectively.

sions. Higher yields under irrigation mean more crop residue is produced. Both the amount of residue and its management (fall or spring incorporation or direct seeding) could also affect N₂O emissions. Often management involves straw removal, and in some areas removal has increased to satisfy the demand for livestock bedding and raw material to produce fiber and energy. Although straw removal may adversely affect the quality and long-term sustainability of agricultural land (Dormaar and Carefoot, 1998), the effect of straw management on N₂O emissions from irrigated land has not been studied. Furthermore, recent trends toward increased use of conservation tillage may also have implications for N₂O emissions. For example, higher N₂O emissions have been reported with zero-till when compared to conventional tillage (Aulakh et al., 1984; MacKenzie et al., 1997; Palma et al., 1997).

The objectives of this study were to investigate the effects of the timing of N fertilizer application (either spring or fall), straw removal, and tillage practices (fall plow, spring plow or direct seed) on N₂O emission under irrigated conditions. Such information will be imperative to develop management practices that minimize N losses, including N₂O emissions, from agricultural land.

Materials and methods

Nitrous oxide emissions were measured in a long-term irrigated residue management experiment initiated in 1986 on a Dark Brown Chernozemic soil (Typic Haploboroll) at Lethbridge Alberta (49°42' N, 112°48' W) (Carefoot et al., 1994). The cropping sequence from 1986 to 1996 was wheat–wheat–oats, including soft white spring wheat (*Triticum aestivum* L.) and spring seeded oats (*Avena sativa* L.). To help manage an infestation of wild oats, canola (*Brassica napus* L.) was used instead of oats in 1997.

In the fall of 1986, a factorial experiment was set up with five straw-tillage treatments (straw-fall plow, straw-spring plow, no straw-fall plow, no straw-spring plow or no straw-direct seed), four N fertilizer application rates (0, 50, 100 or 200 kg N ha⁻¹) and two N fertilizer application times (fall or spring) using a randomized complete block design with four replications. 'No straw' indicates removal by baling of threshed straw but not the standing stubble (typically 15 to 17 cm tall) remaining after grain harvest. Nitrogen fertilizer in the form of NH₄NO₃ was broadcast onto the soil surface. Soil properties have been described in detail by Carefoot et al. (1994) and by Dormaar and Carefoot (1998).

The effects of fertilizer timing and tillage-straw management on N₂O emission were studied for selected treatments in 1996–1997 (Table 1). Soft wheat (cv. AC Reed) was harvested on 12 October 1996

Table 2. Average N₂O emission, water-filled porosity and temperature during 1996 – 1997

Treatment ^a	Daily flux ^b	Water-filled porosity ^b	Soil temperature ^b
	g N ha ⁻¹ d ⁻¹	m ³ m ⁻³	°C
1 Fert0_NS_Fall	1.18b ^c	0.353d	5.73ab
2 Fert0_NS_DS	1.60b	0.494a	5.09ab
3 Fert0_S_Fall	5.23ab	0.348d	5.90a
4 Fert100f_NS-Fall	15.64a	0.337d	5.10ab
5 Fert100f_NS_DS	5.74ab	0.486ab	4.56ab
6 Fert100f_NS_Spring	4.60b	0.441bc	4.69ab
7 Fert100f_S_Fall	8.55ab	0.333d	4.97ab
8 Fert100f_S_Spring	2.50b	0.427c	5.57ab
9 Fert100s_NS_Fall	9.47ab	0.345d	5.55ab
10 Fert100s_S_Fall	4.34b	0.351d	4.28b

^a Treatments are defined in Table 1.

^b Average over the entire experimental period.

^c Means followed by different letters indicate significant differences at the 0.05 probability level, according to the Tukey test (SAS Institute, 1990).

and straw was baled for the required treatments (treatments 1, 2, 4, 5, 6, and 9 in Table 1). Fertilizer rates of 0 and 100 kg N ha⁻¹ were selected. The 100 kg N ha⁻¹ represents the current recommended N fertilizer rate for irrigated fields in southern Alberta (McKenzie and Kryzanowski, 1993). For the fertilized treatments, NH₄NO₃ was broadcast on 30 October 1996 for fall-applied treatments or on 5 May 1997 for spring-applied treatments.

The direct-seeded treatments were not tilled. The other treatments were tilled with a moldboard plow (to 20 cm depth) followed by cultivation with a disc, either on 31 October 1996 for the fall tillage treatments or on 12 May 1997 for the spring tillage treatments. Canola (cv. Tobin) was seeded on 21 May, harvested on 7 August and the residue was baled on 12 August 1997. Nitrous oxide fluxes were measured from 6 November 1996 to 9 September 1997.

Nitrous oxide fluxes from soil were measured on four replicate plots for each treatment, using a vented chamber (Hutchinson and Mosier, 1981) modified to permit separation of the chamber cover from the base (Chang et al., 1998). One base collar was installed in each plot where it remained for the entire experimental period, except during tillage, seeding or harvesting operations. The volume of the chamber was 1604 cm³ (9.2 cm high by 14.9 cm in diam.) and its cross-sectional area was 174 cm². Fluxes were measured at weekly intervals (between 0700 and 0830 h) by attaching the chamber covers to the base collars and collecting air samples from the chamber head space. For each chamber, 5 ml of headspace air was drawn

through a septum into 10 ml polypropylene syringes at 0, 10, 20, 30, and 60 min after the soil was covered. Immediately after air sampling, the syringe needle was stuck into a rubber stopper to prevent gas exchange. On the same day, air samples were analyzed for N₂O using a gas chromatograph (Varian 3600, Varian Instruments, Walnut Creek, CA) equipped with an electron capture detector. During winter months, snow was brushed off the soil surface before the chamber cover was attached to the base.

For each chamber, the flux was calculated by fitting a second order polynomial equation (SAS Institute, 1990) to the five successive N₂O concentrations versus time. Fluxes estimated from single interval measurements may not be accurate (Anthony et al., 1995), because the pattern of N₂O accumulation during 60 min is usually curvilinear (Hutchinson and Mosier, 1981; Chang et al., 1998). This likely reflects the change in concentration gradient as N₂O accumulates in the chamber headspace. The flux of N₂O was calculated by taking derivatives of the second order polynomials and converting them into g ha⁻¹ d⁻¹. For each plot, cumulative N₂O emission was calculated by summing the products of the measurement interval (i.e., days between measurements) and the mean flux for that interval (i.e., arithmetic mean of fluxes measured at the start and the end of the interval). Soil temperatures (2.5 cm depth), estimated from thermocouples (Digital Omega HH-25C, Omega Technology, Stamford, CT), were recorded when the air samples were collected from the chambers. When the soil was not frozen, soil moisture content in the 0-15 cm layer was determ-

ined by time domain reflectometry using three-wire probes similar to the design of Zegelin et al. (1989) and a cable tester (1502C, Tektronix, Beaverton OR). The ratio of water- to air-filled porosity was calculated based on the volumetric moisture content and bulk density (Table 2). Since bulk density (BD) data were not collected during 1996-1997 cropping season, BD data collected in spring 1995 (Domaar and Carefoot, 1998) were used in the calculation. Climatic data were obtained from the Lethbridge Research Centre meteorological station located less than 300 m away from the experimental plots.

ANOVA was conducted on the arithmetic mean daily N_2O fluxes for the entire 1996-1997 experimental period (SAS Institute, 1990). Since the ANOVA was significant at a probability level of 0.05, the multi-range Tukey test was performed to assess treatment differences. The contrast method (via the GLM procedure, SAS Institute, 1990) was also used to evaluate the influence of key management variables.

Results and discussion

Environmental conditions

During the study period (November 1996 through September 1997), the total precipitation (393 mm) was slightly above the long-term average (379 mm) for the 11-month period. Most precipitation occurred in May (96 mm) and June (101 mm) 1997 (Figure 1a). Air temperatures were highly variable during winter and spring when warm 'Chinook' winds blow in southern Alberta (Grace and Hobbs, 1986). For example, between 19 and 22 December 1996, mean daily air temperature changed from 1.2 to -27.2 °C (Figure 1a).

The average soil temperature (2.5 cm depth) for all treatments followed similar patterns to air temperature, but the variations were much smaller (Figure 1b). Among the different treatments, water-filled porosity was affected only by the tillage operations (Figure 1c and Tables 2 and 3). Fall plow had the lowest while direct seeding had the highest water-filled porosity throughout the experimental period.

Temporal trends

The average N_2O daily flux for unfertilized and 100 kg ha^{-1} N fertilized treatments fluctuated greatly, ranging from as low as -5 (uptake by soil) to 18 for the unfertilized and 63 g $N ha^{-1} d^{-1}$ for the N

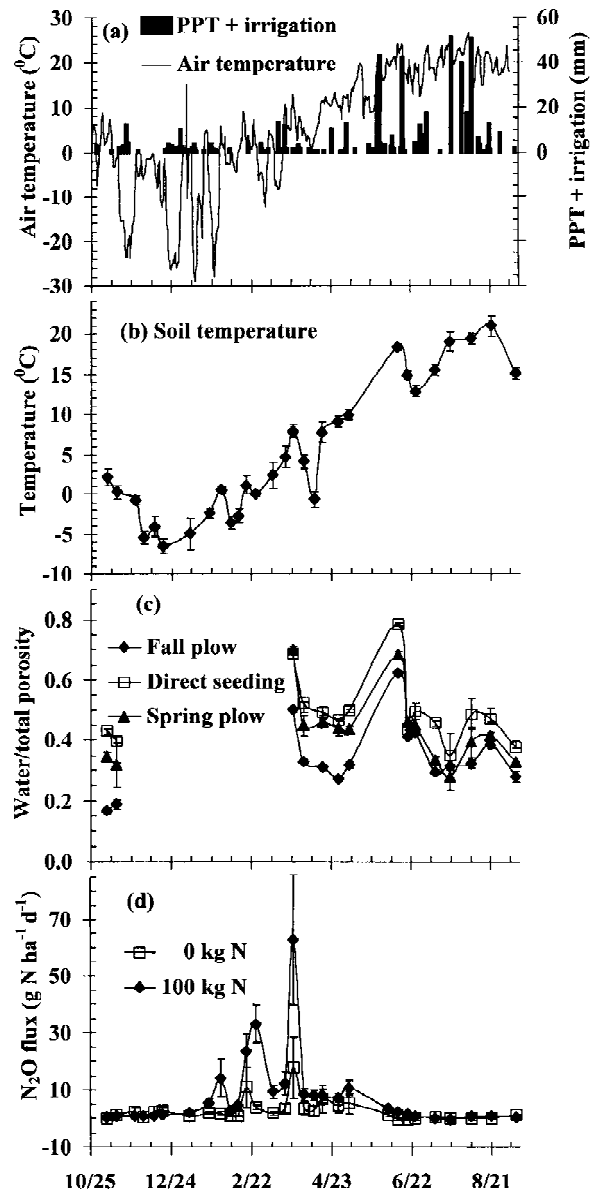


Figure 1. Mean N_2O fluxes in relation to environmental conditions during 1996 - 1997. (a) Daily air temperature and precipitation + irrigation, (b) Soil temperature at 2.5 cm, (c) water-filled porosity in the 0-15 cm soil layer and (d) mean daily N_2O flux patterns for unfertilized and fertilized treatments.

fertilized treatment during the year (Figure 1d). Maximum daily fluxes occurred in early spring (February to early April) as observed by other researchers in western Canada (Nyborg et al., 1997; Chang et al., 1998; Lemke et al., 1998b). The N_2O fluxes increased whenever the soil temperature increased (Figure 1b and 1d), perhaps associated with freeze-thaw events

Table 3. Effect of management practices on N₂O flux, soil moisture and surface temperature

Effect	Contrast	Mean difference ^b		
		N ₂ O flux (g ha ⁻¹ d ⁻¹)	Water-filled porosity m ³ m ⁻³	Soil temperature °C
N fertilizer				
Zero vs. 100 kg in fall	1+2+3 vs. 4+5+6+7+8+9+10 ^a	-4.47*	-0.0136	0.630**
100 kg in fall vs. spring	4+7 vs. 9+10	4.77*	0.0098	0.116
Straw vs. no straw				
Zero N fertilizer	3 vs. 1	4.14	0.0051	0.172
100 kg N fertilizer	7+8+10 vs. 4+5+6+9	-3.56 [§]	-0.0312**	-0.003
Plowing time				
Fall vs. spring/DS	4+7 vs. 5+6+8	8.66**	-0.1135**	0.279
DS vs. spring	5 vs. 6	2.24	0.0452**	-0.132

^a Treatment numbers are defined in Table 1.

^b Average over the entire experimental period.

[§], *, ** Significant at 0.1, 0.05 and 0.01 probability levels, respectively.

during this time of year (Chen et al., 1995). The daily N₂O flux was very low from late July to the end of September. This flux decline was probably related to the decrease in available N content caused by crop uptake and leaching over the growing season (Xu et al., 1998). Relatively high water-filled porosity in late June (due to above normal precipitation) and in late July and early August (due to irrigation on 17 July, 25 July, and 1 August 1997) failed to produce appreciable N₂O fluxes.

Influence of fertilizer timing

Nitrous oxide emission was affected by the timing of N fertilizer application (Figure 2). Using contrast comparison, fall fertilizer application produced significantly greater N₂O emissions than spring fertilizer application (Table 3). Fall application provided a longer period with available N and moisture conditions favorable for denitrification and N₂O production during the freeze-thaw cycles in the early spring. Carefoot et al. (1994) and Nyborg et al. (1990) attributed the low recovery of fall-applied N fertilizer to denitrification during winter and spring. The N₂O fluxes from spring fertilized plots were lower than fall fertilized plots because the fertilizer was applied in early May after the maximum N₂O flux had occurred. Previous research seldom considered fertilizer timing (fall vs. spring application), but this study clearly demonstrates a significant influence on N₂O emissions. Despite the reduced frequency of N₂O flux measurements during late May to early June, previously published

work suggests that large fluxes do not typically occur during this time of year in this region (Chang et al., 1998; Lemke et al., 1998a). While N₂O emissions from spring fertilized plots were greater than emissions from unfertilized plots (Figure 2a and 2c), the differences were not statistically significant.

Effect of straw removal

The effect of straw removal on N₂O emission depended on fertilizer treatment (Figures 2 and 3). When no fertilizer was applied and plots were plowed in the fall, N₂O emission from the 'straw-removed' treatment was about 25% that of the treatment where straw was incorporated into the soil (Figure 3a and 3c). The lower N₂O emission associated with straw removal, also observed by Zhengping et al. (1991), could be linked to several factors. Annual straw removal eventually depletes soil organic C and N (Dormaar and Carefoot, 1998), and decreases the amount of N potentially available for nitrification and denitrification. The availability of organic C as an electron donor for denitrification also influences N₂O production in soil (Sahrawat and Keeney, 1986). On one hand, the annual addition of fresh crop residue to soil stimulates denitrification (Burford and Bremner, 1975; Groffman, 1985) by providing readily available C for denitrifying bacteria (with adequate NO₃⁻ and moisture). Decomposition of crop residue consumes oxygen, which also stimulates denitrification and N₂O emission. On the other hand, addition of fresh wheat residue with a high C:N ratio could cause N immobilization and reduce

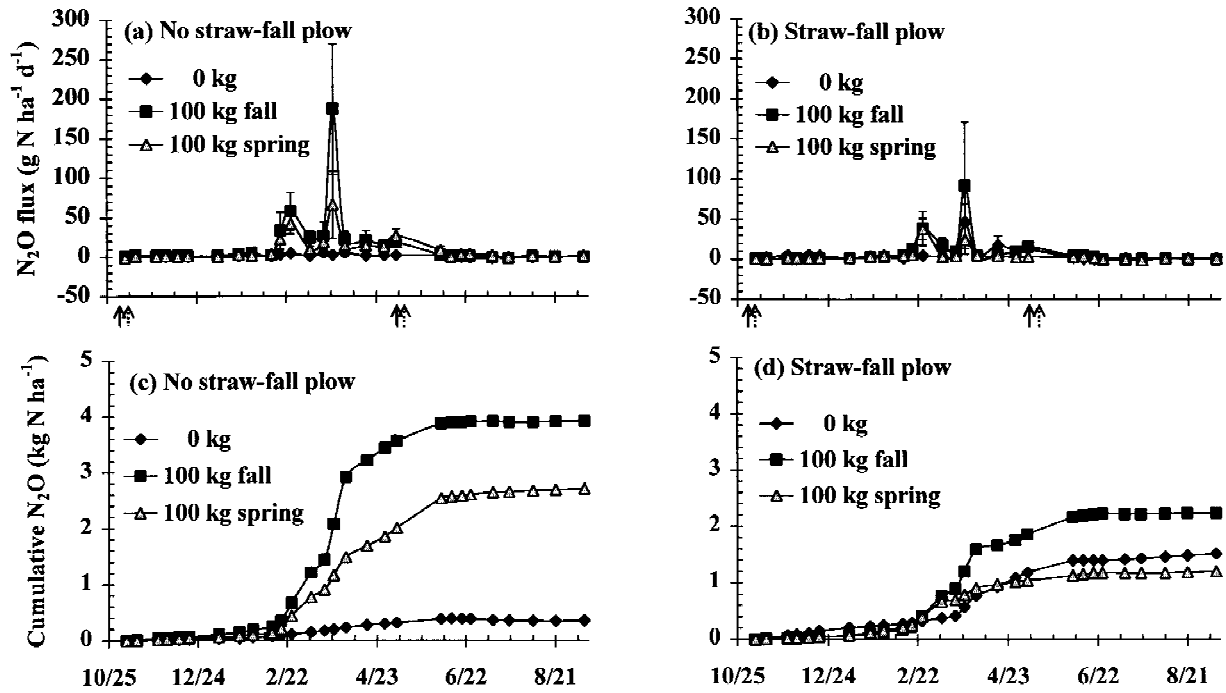


Figure 2. Effect of N fertilizer application timing during 1996–1997 on mean daily N_2O flux (a and b) and on cumulative N_2O emissions (c and d) measured on the fall plow treatments where straw was removed (a and c) and not removed (b and d). (Solid arrows point to the dates of fertilizer application and dotted arrows point to the dates of tillage operation.)

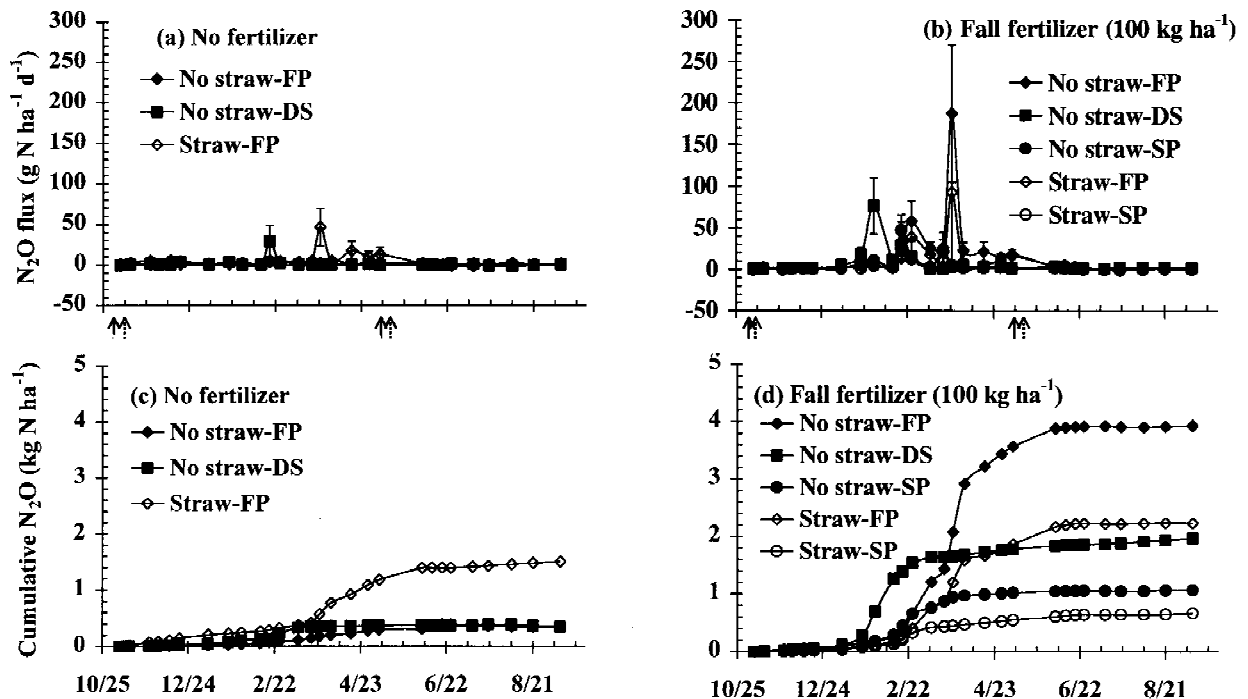


Figure 3. Effect of straw-tillage practices during 1996–1997 on mean daily N_2O flux (a and b) and cumulative N_2O emissions (c and d) measured on treatments with zero (a and c) and 100 kg N fertilizer applied in the fall (b and d). (Solid arrows point to the dates of fertilizer application and dotted arrows point to the dates of tillage operation.)

the N available for denitrification and nitrification, thus reducing N₂O production in the short term. The amount of N₂O produced reflects the combined effect of all these processes.

When 100 kg N ha⁻¹ fertilizer was applied, however, the treatments with straw incorporated into the soil for both fall and spring tillage had about half the N₂O emission of those plots where straw was removed (Figures 2 and 3 and Table 3). In contrast to unfertilized treatments, straw incorporation caused much lower N₂O emissions compared to straw removed treatments. If a fixed proportion of straw N is converted to N₂O after incorporation into the soil (IPCC: Intergovernmental Panel on Climate Change, 1997), then more N₂O should be emitted from treatments with straw retained (especially at higher rates of N fertilization where straw N inputs are more appreciable). Since this was not observed, even in an experiment which had been in place for over 10 years, it appears that the proportion of straw N converted to N₂O is not the same as, and may be considerably less than, the proportion of fertilizer N converted to N₂O.

Effect of tillage

The effects of tillage were only studied for plots where fertilizer was applied in the fall. Spring plow produced the lowest average daily N₂O flux followed by direct seeding (but the difference was not significant). However, fall plow did produce significantly higher N₂O emissions (Figure 3 and Table 3) than the other two tillage treatments.

Several factors contribute to the higher N₂O emission from fall plow. First, tilling the soil in the fall incorporates the applied N fertilizer with the topsoil and thereby increases the amount of N available in soil for nitrification and denitrification in the winter and early spring. Fall plow also stimulates N mineralization, which further increases the amount of N available in soil as reported earlier for this field by Carefoot and Janzen (1997). Second, tilling the soil in the fall incorporates straw residue into soil and its decomposition consumes oxygen and makes the soil more anaerobic. Although the water-filled porosity was lower for fall plow, localized anaerobic sites could occur due to the decomposition of fresh straw residue. This is possible even for plots with straw removed, since baling does not remove all residue (especially standing stubble, leaves and chaff). Third, soil temperature was also higher for fall plow than spring plow or direct seeding treatments over the winter and spring months. Higher

temperature increases denitrification and nitrification because biological activity is temperature-dependent.

The slightly higher N₂O emission from direct seeding over spring plowing probably reflects the greater water-filled porosity in these soils during spring. Although some researchers found that direct seeding produced higher N₂O emissions than conventional tillage (Aulakh et al., 1984; Hilton et al., 1994; MacKenzie et al., 1997; Palma et al., 1997), their N₂O emission data were collected during the growing season. The timing of conventional tillage operations in these experiments was not studied. Our study suggests that the timing of tillage operations (fall or spring) may have a greater influence on N₂O emissions than the tillage method (e.g., spring soil disturbance by direct seeding or pre-seeding tillage).

Implications

Nitrogen fertilizer is essential to grain production throughout the Canadian Prairies, especially on irrigated cropland. Many farmers in this area apply N fertilizer in the fall after harvest, when there is less demand on labor and machinery and better fertilizer prices. But this study shows that fall N fertilization can lead to higher N₂O emissions compared to those from spring fertilization or from unfertilized soil. Thus, N fertilizer should be applied in the spring.

The removal of straw as a raw material for production of fiber and energy reduces the amount of organic matter and nutrients returned back to soil. The results of this study provide an additional argument for limiting this practice. Removal of straw from fertilized soil increased N₂O emissions.

Tillage also had an impact on N₂O emission. Tillage effects are not only related to the method of tillage, but also when the tillage operation was conducted. Spring plow resulted in the lowest N₂O emission among the three tillage practices studied.

The N₂O emission from agricultural land has been estimated based upon the amount of N added to the soil without giving consideration to management practices (IPCC: Intergovernmental Panel on Climate Change, 1997). The IPCC assumes 1.25% of applied N (from fertilizer application or straw N addition) is lost as N₂O, implying a linear relationship between N₂O loss and the amount of N returned to the soil. As demonstrated in this study, N₂O emissions from agricultural land also depend on the timing of fertilizer application (fall vs. spring) and the tillage/straw man-

agement practices. Thus estimates of N₂O emissions based on the 'fraction of applied N' approach may be tenuous.

Acknowledgments

The authors gratefully acknowledge the dedicated technical assistance of Greg Travis, Elaine Nakonechny, Tony Curtis and Brett Hill in carrying out the field and laboratory work for this study. We also thank the AARI for their financial support.

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Exhibit J



Nitrous oxide emissions from agricultural fields during winter and spring thaw as affected by management practices

C. Wagner-Riddle & G.W. Thurtell

Department of Land Resource Science, University of Guelph, Guelph, Ontario, Canada, N1G 2W1

Key words: flux-gradient method, gaseous losses from manure applications, spring thaw N₂O emissions, nitrogen cycle

Abstract

Highest rates of N₂O emissions from fertilized as well as natural ecosystems have often been measured at spring thaw. But, it is not clear if management practices have an effect on winter and spring thaw emissions, or if measurements conducted over several years would reveal different emission patterns depending on winter conditions. In this study, we present N₂O fluxes obtained using the flux-gradient approach over four winter and spring thaw periods, spanning from 1993 to 1996, at two locations in Ontario, Canada. Several agricultural fields (bare soil, barley, soybean, canola, grass, corn) subjected to various management practices (manure and nitrogen fertilizer addition, alfalfa ploughing, fallowing) were monitored. Nitrous oxide emissions from these fields from January to April over four years ranged between 0 and 4.8 kg N ha⁻¹. These thaw emissions are substantial and should be considered in the nitrous oxide budgets in regions where thaw periods occur. Our study indicates that agricultural management can play a role in mitigating these emissions. Our data show that fallowing, manure application and alfalfa incorporation in the fall lead to high spring emissions, while the presence of plants (as in the case of alfalfa or grass) can result in negligible emissions during thaw. This presents an opportunity for mitigation of N₂O emissions through the use of over-wintering cover crops.

Introduction

Uncertainties in the global nitrous oxide budget (Watson et al., 1990) have not only been related to the lack of N₂O flux measurement programmes, but also to the high spatial and temporal variability of fluxes. Because agriculture is estimated to contribute 80% of the anthropogenic N₂O emissions to the atmosphere (Isermann, 1994), a need for continuous N₂O flux measurements under various agricultural management practices has been identified (Mosier et al., 1996).

Highest rates of N₂O emissions from agricultural and natural ecosystems have often been measured at spring thaw (Goodroad and Keeney, 1984a; Goodroad et al., 1984; Cates and Keeney, 1987; Flessa and Dörsch, 1995; Wagner-Riddle et al., 1997). Flessa and Dörsch (1995) observed frost-induced releases at four agricultural sites, and suggested that this could be a general phenomenon for soils in temperate and

boreal climates. No significant difference in the thaw-induced emission from extensively and intensively managed plots was observed during that study. In a study of two maize fields managed at two nitrogen levels, Goodroad et al. (1984) also did not observe a significant effect of management on spring thaw N₂O emission.

Field and laboratory studies have demonstrated that freeze/thaw cycles induce high N₂O production in soils (Goodroad and Keeney, 1984b; Christensen and Tiedje, 1990). With the intention of simulating typical emissions from freeze/thaw cycles for regions of continental climate, Chen et al. (1995) observed increased emissions with increased number of freeze/thaw cycles applied to soil cores. Because field N₂O fluxes have not been monitored over several winter and spring thaws, it is unclear if field conditions would always be conducive to increased emissions with increased number of freeze/thaw cycles.

Among the methods available for N₂O flux monitoring, micrometeorological methods are ideally suited for continuous monitoring, providing spatially integrated fluxes from large areas with minimum disturbance of atmospheric conditions (Denmead and Raupach, 1993). In addition, the ability to provide hourly N₂O fluxes over periods when diurnal soil temperatures cycle between freezing and thawing is important in measurement programmes aimed at quantifying N₂O emissions due to winter and spring thaw.

In this study, we present N₂O fluxes obtained using the flux-gradient method over four winter and spring thaw periods, spanning from 1993 to 1996. Our objective was to quantify N₂O emissions from several agricultural fields, and identify management practices (manure and nitrogen fertilizer addition, alfalfa ploughing, and fallowing) that contribute to increased emissions. The effect of winter conditions (snow cover, freeze/thaw cycles), and soil conditions (nitrate and ammonium concentration, and temperature) on winter and spring thaw N₂O emissions are discussed.

Material and methods

Nitrous oxide fluxes were measured at the Elora Research Station (43°49'N, 80°35'W, Conestogo silt loam), Ontario, Canada, from the end of March 1993, until the end of April 1996. In addition, N₂O fluxes were measured at the Arkell Research Station (43°30'N, 80°15'W, Burford loam), Ontario, Canada, from October 1995 to the end of April 1996. The soil characteristics for Elora were 32% sand, 52% silt, 16% clay, pH (H₂O)=7, 3.7% total organic C, and 0.3% total organic N. At Arkell, the soil was composed of 38% sand, 47% silt, 16% clay, pH (H₂O)=7.4, 2.1% total organic C and 0.2% total organic N.

Four 1-ha plots were monitored starting in 1993 at Elora: Plot (1) a bare soil fallowed for the second consecutive year to which fertilizer had last been added in September 1991, followed by a barley (*Hordeum vulgare* L.) crop fertilized with 75 kg N ha⁻¹ of ammonium nitrate during each of May 1994 and May 1995; Plot (2) a bare soil fallowed for the second consecutive year to which liquid dairy cattle manure was applied in August 1992 (60 kg N ha⁻¹) and May 1993 (90 kg N ha⁻¹), followed by a soybean (*Glycine max* L.) crop during each of 1994 and 1995; (3) an alfalfa (*Medicago sativa* L.) plot established in May 1992, cut

twice in the summers of 1992 and 1993 and ploughed down in October 1993, followed by a canola (*Brassica napus*) crop fertilized with 100 kg N ha⁻¹ of ammonium nitrate during each of May 1994 and May 1995; and (4) an established 20-year old stand of Kentucky bluegrass (*Poa pratensis* L.) fertilized with 50 kg N ha⁻¹ in May 1992 and May 1995. Two additional 2-ha plots were monitored at Elora starting in January 1994: Plot (5) a spring-ploughed corn crop receiving 100 Kg N ha⁻¹ in ammonium nitrate in May 1994, followed by a no-till corn crop receiving 100 kg N ha⁻¹ as anhydrous ammonia in June 1995; Plot (6) a fall-ploughed corn plot receiving 100 Kg N ha⁻¹ in ammonium nitrate during each of May 1994 and May 1995. Crop residues were left on the soil surface after harvest, except for the barley plot where part of the straw was removed, and for fall-ploughing of corn and alfalfa. A summary of plots and management treatments is given in Table 1.

At Arkell, we measured N₂O fluxes from four 1-ha plots previously cropped with wheat (*Triticum aestivum* L.), consisting of: Plot (1) wheat stubble; Plot (2) oats no-till planted through wheat stubble; Plot (3) red clover no-till planted through wheat stubble; and Plot (4) control plot (wheat stubble ploughed-down). Plots 1, 2 and 3 received a fall application of liquid swine manure (75 kg N ha⁻¹), while plot 4 did not receive any treatments. Cover crop establishment was poor in plots 2 and 3, so that results from these plots were treated as replicates of plot 1, that is, as wheat stubble plots.

Nitrous oxide fluxes from each plot were calculated using the flux-gradient method:

$$\text{Flux} = -K \frac{\partial C}{\partial z}$$

where K (m² s⁻¹) is the eddy diffusivity of N₂O, and $\partial C/\partial z$ is the concentration gradient. Concentration gradients were estimated using a finite concentration difference, ΔC (ng N₂O m⁻³), occurring over a vertical distance Δz (m). The eddy diffusivity compatible with Δz was estimated for each plot using a wind profile method as described by Wagner-Riddle et al. (1996). Cup anemometers were placed at four heights above each plot, and only hourly wind speeds larger than 1.5 m s⁻¹ were considered for the calculation of eddy diffusivity.

The hourly concentration difference (ΔC in parts per trillion) over a height difference Δz (m) was measured using a Tunable Diode Laser Trace Gas Analyzer, TDLTGA (Edwards et al., 1994). Three

Table 1. Summary of plots and management practices monitored for N₂O flux at the locations of Elora and Arkell

Plot	Year	Description	Management practices
<i>Elora</i>			
1	1993	fallow, bare soil	last N fertilization September 1991
2	1994, 1995	barley	75 kg N ha ⁻¹ NH ₄ NO ₃ in May
	1993	fallow + manure	liquid dairy cattle manure in August 1992 (60 kg N ha ⁻¹) and May 1993 (90 kg N ha ⁻¹)
3	1994, 1995	soybeans	-
	1993	alfalfa	plough-down in September
4	1994, 1995	canola	100 kg N ha ⁻¹ NH ₄ NO ₃ in May
	1993	grass	50 kg N ha ⁻¹ NH ₄ NO ₃ in May
5	1994	grass	-
	1995	grass	50 kg N ha ⁻¹ NH ₄ NO ₃ in May
	1994	spring-ploughed corn	100 kg N ha ⁻¹ anhydrous ammonia in May
6	1995	no-till corn	100 kg N ha ⁻¹ NH ₄ NO ₃ in May
	1994, 1995	fall-ploughed corn	100 kg N ha ⁻¹ NH ₄ NO ₃ in May
<i>Arkell</i>			
1	1995, 1996	wheat stubble	liquid swine manure (75 kg N ha ⁻¹) in Oct
2	1995, 1996	oats no-till on wheat stubble	liquid swine manure (75 kg N ha ⁻¹) in Oct
3	1995, 1996	red clover no-till on wheat stubble	liquid swine manure (75 kg N ha ⁻¹) in Oct
4	1995, 1996	fall-ploughed wheat stubble	-

TDLTGA units were used during this study, one for each of: plots 1 to 4 at Elora, plots 5 and 6 at Elora, and plots 1 to 4 at Arkell. The wavenumber for the N₂O absorption line for both units used at Elora was 2233.333 cm⁻¹, and for the unit used at Arkell was 2236.2235 cm⁻¹. Air was drawn alternately every 5 s from two heights, typically spaced at 0.40 m above the plot surface, and then directed to a centrally located TDLTGA via approximately 70 m of tubing. The height of the lower air intake was 0.25 m for the bare soil plots, and a height within the range of 1.3 to 3 times the crop height for the vegetated plots. A site valve was used to select the plot to be sampled during each consecutive hour. The setup of sample air intakes, tubing, valves and pump are described in detail by Wagner-Riddle et al. (1997). During each measurement hour, an average N₂O concentration difference between intake heights was obtained for the monitored plot. With four 1-ha plots sampled sequentially (plots 1 to 4, at Elora and Arkell), this sampling scheme resulted in six hourly concentration differences for each plot during each measurement day. For the larger 2-ha plots cropped with corn, sequential sampling involved

switching between 2 plots (plots 5 and 6 at Elora) resulting in twelve hourly concentration differences for each plot during each measurement day. Only concentration gradients measured when the wind direction at the adjacent weather station allowed for a fetch-to-height ratio of at least 50:1 (horizontal distance to height of measurement ratio) were used in the flux calculations. Due to the variable positioning of the sample intakes in the various plots this criteria resulted in a different number of total hourly or daily flux measurements in each plot.

Hourly N₂O fluxes were calculated using the concentration gradient and the eddy diffusivity as described above. For hourly fluxes, the TDLTGA has a resolution of approximately ±10 ppt for the N₂O concentration gradient, which combined with an average eddy diffusivity of 0.05 m² s⁻¹ would result in an error of approximately ±2 ng m⁻² s⁻¹. The resolution was further improved by averaging hourly fluxes to obtain daily mean fluxes.

Snow depth accumulated on each plot was measured with a ruler once every week, or as necessary after snowfalls. Hourly soil temperatures at 1, 10

and 20 cm were measured in each plot, except for 1996, using copper-constantan thermocouples encased in epoxy filled 20 cm-long copper tubing following procedure by Berard and Thurtell (1990). Rainfall and air temperatures were measured at the weather station located at the Elora research station. For 1996, hourly soil temperatures at 5, 10 and 20 cm were recorded at a weather station located at approximately 15 km from the Elora site and 2 km from the Arkell site.

Soil samples were collected from the Ap horizon during the fall of each year. Five soil cores (5 cm i.d. by 5 cm) were collected weekly at randomly selected locations at 2.5 cm below the soil surface within each plot. Moisture content was determined gravimetrically with 15 g moist soil. Moist soil (25 g) was extracted with 50 mL 0.5 M K_2SO_4 solution. Extract solutions were filtered, then frozen until analyzed for NH_4^+ and $(NO_3^- + NO_2^-)$ (Tel and Heseltine, 1990).

Results and discussion

Several freeze/thaw periods occurred during January to April in 1994 and 1996, while 1995 presented one freeze/thaw cycle in January, followed by an extended cold period and a short thaw in March (Figures 1A, 2A and 3A). In 1993, the measurement period only started at the end of March, being limited to one final thaw period, and therefore, not discussed here in detail. During the freeze periods from 1994 to 1996, when the soil temperature was below 0 °C and the surface was covered by snow, daily N_2O fluxes from all plots, except the ploughed alfalfa, were small (<10 $ng\ m^{-2}\ s^{-1}$) (Figures 1, 2 and 3). Similar small fluxes were observed before the January freeze, that is during October to December in 1993, 1994 and 1995 (data not shown).

For the ploughed-down alfalfa, emissions were high throughout the fall of 1993 (data not shown), and the winter of 1994 (Figure 1B), increasing when air temperature increased, even though both air and soil temperatures were still below 0 °C. For example, during the freeze period from day 1 to 49 in 1994, daily fluxes averaged 38.8 $ng\ m^{-2}\ s^{-1}$ (Table 2), with notable increases (>100 $ng\ m^{-2}\ s^{-1}$) during day 48 and 49, when daily mean air temperature was still averaging below 0 °C. Emissions from ploughed alfalfa continued high during the first thaw period in 1994 (day 50–53), presenting the highest average of all plots (Table 2) for that period. While N_2O emissions from alpine and subalpine snowpacks were less than 1 ng

$m^{-2}\ s^{-1}$ (Sommerfeld et al., 1993), Van Bochove et al. (1996) have estimated winter emissions of the order of 50 $ng\ m^{-2}\ s^{-1}$ from a field under 60 cm of snow that had previously been cropped with barley and fertilized with 25 kg N ha^{-1} . Although we observed comparable emissions from the ploughed alfalfa plot, the other fields monitored showed fluxes of only 1 to 10 $ng\ m^{-2}\ s^{-1}$.

For most plots monitored, daily N_2O fluxes averaged less than 20 $ng\ m^{-2}\ s^{-1}$ during the first thaw in 1994, 1995 and 1996 (Figures 1–3, Tables 2–4), with the exceptions of ploughed-down alfalfa in 1994, ploughed corn stubble plot in 1994 and 1995, and the ploughed-down wheat stubble and the wheat stubble that had received an application of liquid swine manure in the fall of 1995. The latter emissions at 90 $ng\ m^{-2}\ s^{-1}$ for day 17 to 20 in 1996 were comparable to the ploughed alfalfa in 1994 (71.6 $ng\ m^{-2}\ s^{-1}$).

As subsequent freeze/thaw cycles occurred after the first thaw during all years, the soil temperature at 1 and 10 cm depth sequentially decreased and increased (Table 2–4). Average temperatures for the thaw periods did not always increase above 0 °C, but N_2O flux averages clearly increased during these periods. The exception was the over-wintering alfalfa (not ploughed) in 1993 (data not shown) and the grass plot during all years, which did not show a clear N_2O emission increase during any thaw periods.

The timing of emission peaks was coincidental for all plots presenting emission episodes, particularly in 1994 for fallow, manured fallow, ploughed alfalfa, standing and ploughed corn stubble (Figure 1B and C). Note that data are missing for the corn plots for most of March 1994 (days 60–90). The effect of the May 1993 manure addition to the fallow plot was still evident in the spring of 1994 with larger amplitude in the daily fluxes of the manured plot (up to 614 $ng\ m^{-2}\ s^{-1}$) when compared to bare soil (up to 370 $ng\ m^{-2}\ s^{-1}$). An exception to the timing pattern was the earlier decrease in emissions from the ploughed alfalfa and ploughed corn stubble, on day 105 when compared to day 115 for the other plots. This is evident when averages for the final thaw period (day 98–120) are compared among plots (Table 2).

In contrast to 1994, only two freeze/thaw periods were observed in 1995. As well, the final thaw period in 1995 was characterized by an initial sharp increase in temperature followed by a relatively cool period when the soil temperatures did not drop below 0 °C, and rainfall was low. Nitrous oxide emissions then decreased sharply after only 5 days during the final thaw

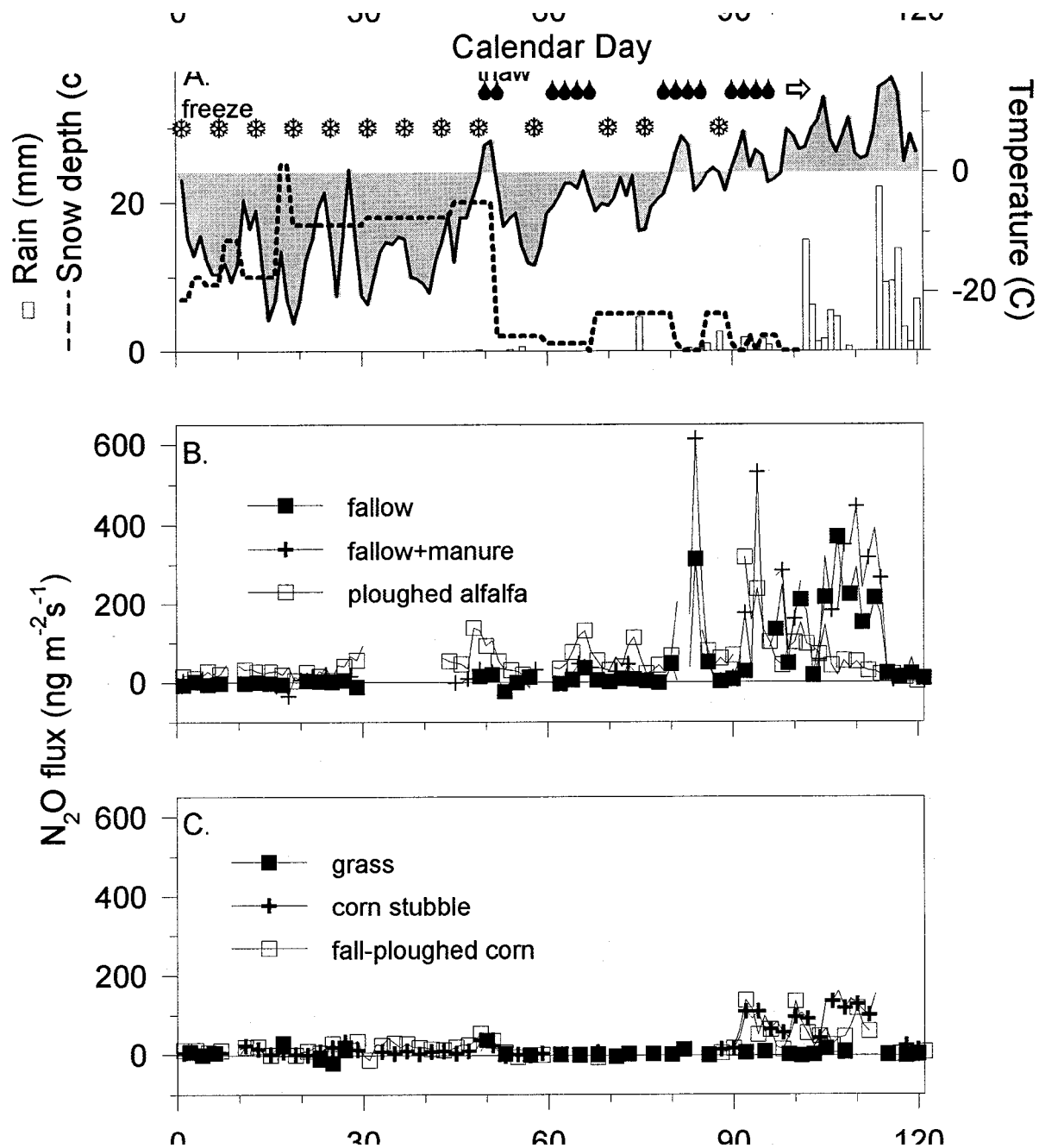


Figure 1. A. Snow depth on the ground, daily rainfall and daily air temperature recorded at Elora during January to April 1994. Freeze periods with air temperature below 0 °C and significant snow cover are indicated with the symbol ⊗, and thaw periods with air temperature above 0 °C (including hourly values) and decreasing snow cover due to melting are indicated with the symbol ◐. The start of the final thawing period is indicated by the symbol ⇒. Daily N_2O flux values measured for the same period over B. fallow soil, fallow soil that received liquid dairy cattle manure in 1992 and 1993, and an alfalfa crop ploughed down in 1993, and C. bluegrass last fertilized in 1992, corn stubble, and corn stubble ploughed-down in 1993. Only every second data point measured is shown. Note that N_2O flux data are missing from day 70 to 85 for corn plots due to equipment failure.

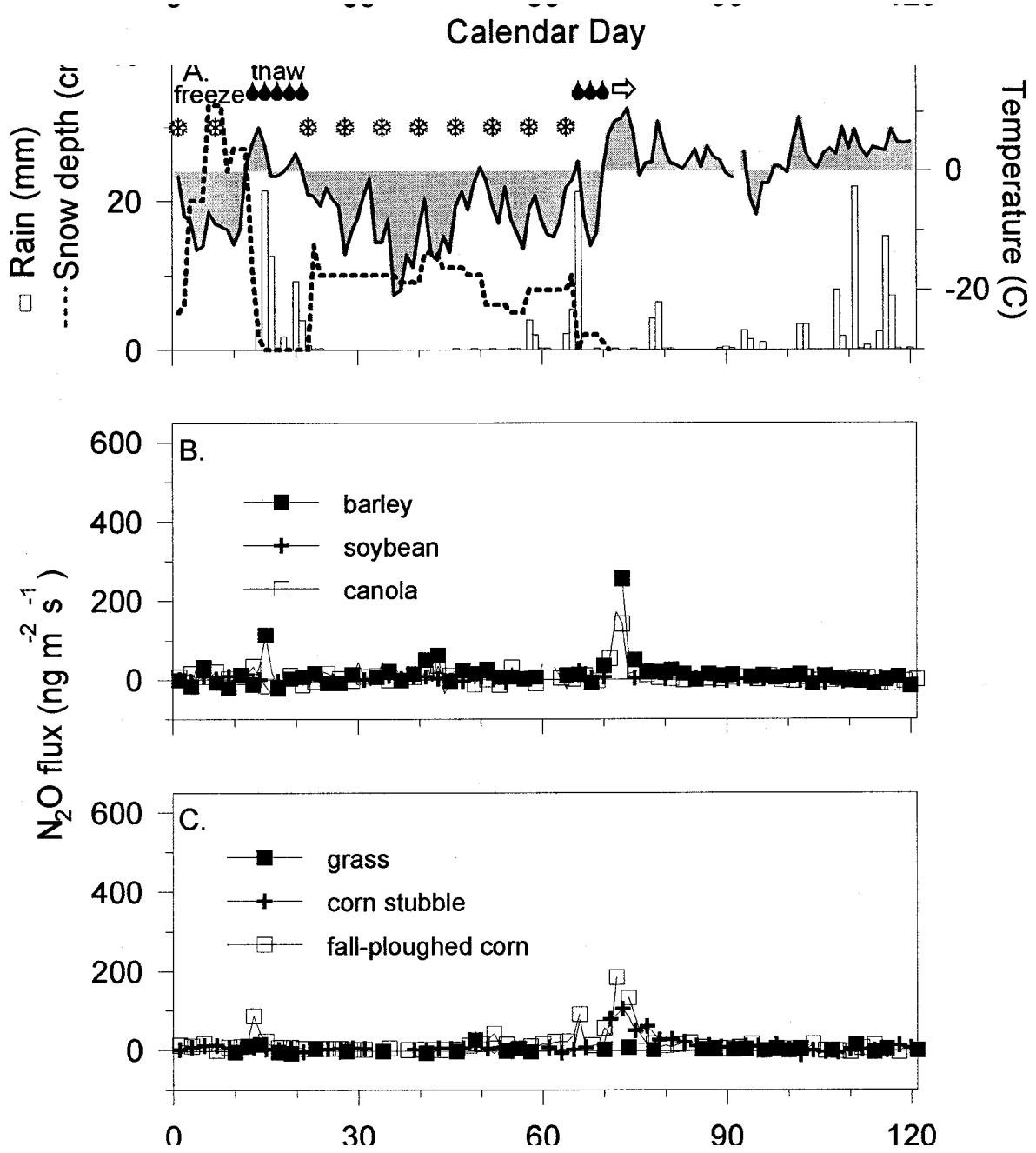


Figure 2. A. Snow depth on the ground, daily rainfall and daily air temperature recorded at Elora during January to April 1995. Freeze periods with air temperature below 0 °C and significant snow cover are indicated with the symbol ⊗, and thaw periods with air temperature above 0 °C (including hourly values) and decreasing snow cover due to melting are indicated with the symbol ♠. The start of the final thawing period is indicated by the symbol ⇒. Daily N_2O flux values measured for the same period over B. plots cropped with barley, soybeans, and canola in 1994, and C. bluegrass last fertilized in 1994, corn stubble, and corn stubble ploughed-down in 1994. Only every second data point measured is shown.

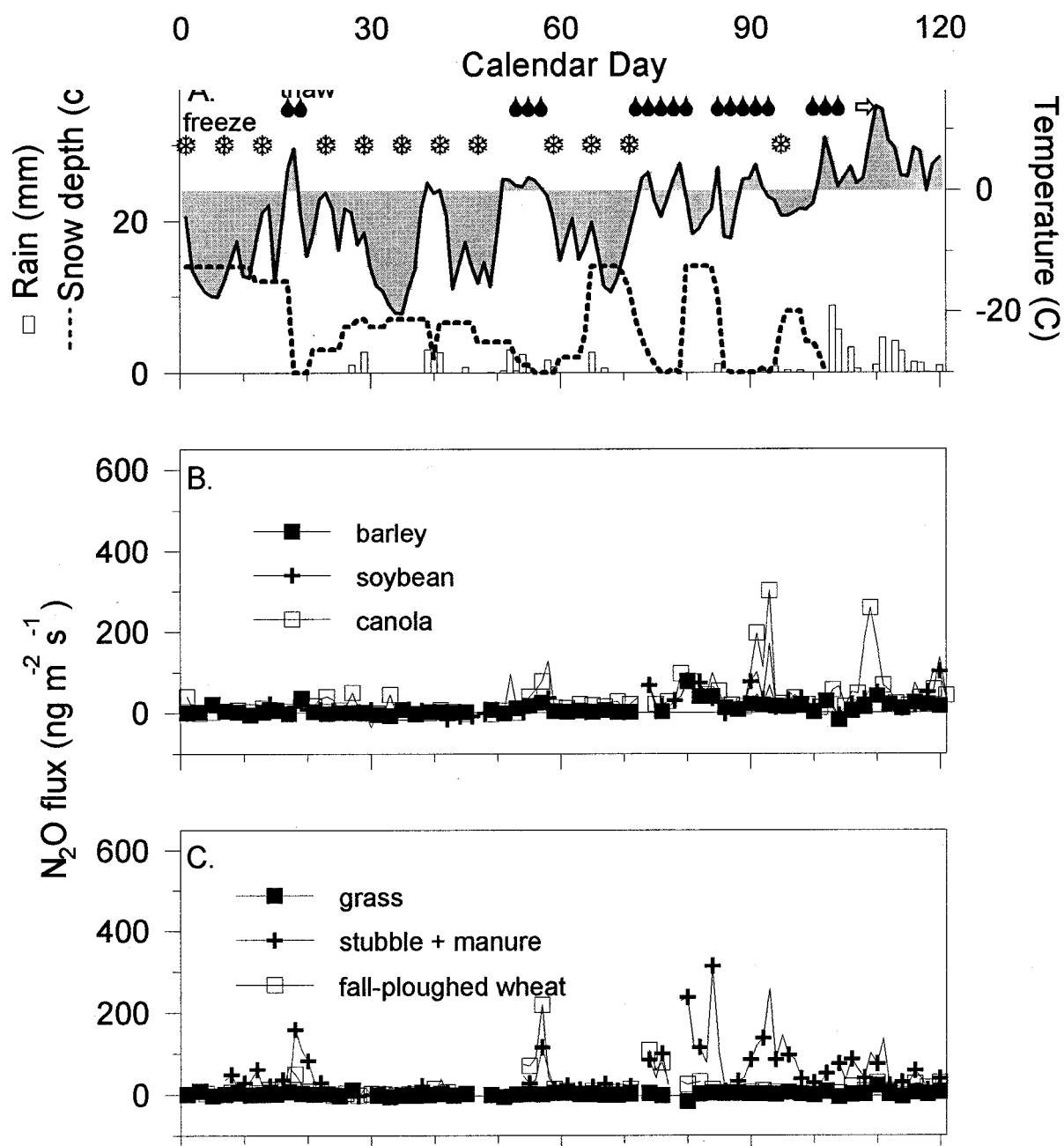


Figure 3. A. Snow depth on the ground, daily rainfall and daily air temperature recorded at Elora during January to April 1996. Freeze periods with air temperature below 0 °C and significant snow cover are indicated with the symbol ⊗, and thaw periods with air temperature above 0 °C (including hourly values) and decreasing snow cover due to melting are indicated with the symbol ◐. The start of the final thawing period is indicated by the symbol ⇒. Daily N_2O flux values measured for the same period over B. plots cropped with barley, soybeans, and canola in 1995, and C. bluegrass last fertilized in 1994, wheat stubble that received liquid swine manure in 1995, and wheat stubble ploughed-down in 1995. Only every second data point measured is shown.

Table 2. Mean N₂O flux values during freezing and thawing periods from January to April 1994 measured over fallow, fallow that received manure in 1993, fall-ploughed alfalfa, grass, corn stubble and fall-ploughed corn plot. All plots except grass refer to residues from the previous year's crop. Standard deviation of N₂O flux means, and number of hourly flux values averaged over each period are given in brackets. Thawing periods are characterized as temporary, and as a final thawing period. Mean temperature is given for each riod for air and for soil depths of 1 and 10 cm under fallow soil. Total N₂O–N loss from January to April 1994 is given in kg N ha⁻¹

	Period									Total loss (kg N ha ⁻¹)
	First freeze	First thaw	Second freeze	Second thaw	Third freeze	Third thaw	Fourth freeze	Fourth thaw	Final Thaw	
Day of year	1–49	50–53	54–60	61–67	68–78	79–85	86–89	90–97	98–120	
Temperature (°C)										
air	-13.2	-0.3	-10.9	-2.8	-5.2	0.7	-0.7	1.9	6.8	
1 cm	-2.0	-1.4	-5.2	-1.1	-1.9	0.1	0.2	1.7	6.6	
10cm	-1.4	-1.1	-4.7	-1.3	-1.7	-0.3	0.1	1.1	6.1	
	N ₂ O Flux (ng m ² s ⁻¹)									
Plots										
fallow	0.9 (10.4, 113)	2.1 (22.2, 14)	7.9 (5.73, 20)	14.2 (13.3, 26)	5.6 (5.1, 43)	78.3 (118.1, 25)	17.8 (22.3, 14)	57.5 (46.8, 27)	149.0 (104.9, 96)	2.627
fallow+manure	8.2 (13.6, 80)	19.6 (16.2, 16)	9.5 (18.6, 13)	36.1 (18.3, 19)	16.3 (14.6, 37)	265.8 (247.8, 18)	20.1 (22.7, 12)	164.8 (185.4, 23)	198.2 (144.1, 85)	4.837
ploughed alfalfa	38.8 (30.3, 156)	71.6 (33.7, 20)	16.6 (20.7, 21)	75.1 (38.2, 29)	53.4 (28.4, 53)	107.7 (77.0, 17)	57.8 (16.6, 14)	153.6 (94.7, 32)	55.0 (40.3, 117)	3.792
grass	3.4 (11.8, 67)	18.5 (25.3, 6)	-2.1 (1.6, 11)	-0.9 (0.4, 7)	-0.8 (2.6, 15)	4.1 (5.4, 13)	-1.8 (-, 3)	4.4 (2.7, 12)	4.8 (7.1, 41)	0.209
corn stubble	7.7 (8.8, 363)	11.3 (12.9, 37)	-1.2 (2.2, 56)		4.5 (-, 7)		9.7 (3.6, 10)	71.3 (32.6, 69)	85.1 (51.6, 167)	1.666
ploughed corn stubble	15.4 (12.0, 378)	25.4 (18.6, 42)	-2.5 (2.3, 55)		-7.4 (-, 8)		6.0 (2.6, 15)	69.8 (43.8, 70)	47.2 (38.2, 167)	1.334

period, that is, on day 75 N₂O fluxes had decreased to values smaller than 50 ng m⁻² s⁻¹ (Figures 2B and C). Consequently, N₂O flux averages during the final thaw period were lower in 1995, when compared to 1994 and 1996, with total loss of N₂O–N during the January to April period lower for most plots during 1995 (Table 3). A direct comparison of the effect of years is possible for the corn stubble and ploughed corn stubble plots. While in 1994 total N₂O–N losses from January to April for these plots were, respectively, 1.666 and 1.334 kg N ha⁻¹ (Table 2), the losses over the same period in 1995 were 0.523 and 0.924 kg N ha⁻¹. Note also that flux data for corn are missing for the third and second thaw in 1994, due to equipment problems. If average N₂O fluxes from the fourth thaw period are assumed for these periods, N₂O–N total losses for 1994 are underestimated by approximately 0.27 kg N ha⁻¹. Therefore, an increased number of freeze/thaw cycles in 1994 when compared to 1995

(four versus two) resulted in an approximate doubling of N₂O emissions.

The 1996 winter and spring seasons presented a total of five cycles between freezing and thawing temperatures (Figure 3A and Table 4). While emissions increased and decreased during each consecutive freeze/thaw cycle up to day 80, emissions continued high during the fourth freeze when air temperature decreased to -5.3 °C, snow depth was larger than 10 cm, but soil temperatures stayed around the 0 °C level (Table 4). Similar results were observed for the fifth freeze cycle that occurred between day 95 and 99. Daily N₂O fluxes from all plots in 1996 were not as high as recorded for the two fallow plots in 1994, but total N₂O–N losses for the wheat stubble that received liquid swine manure in the fall of 1995 were comparable (Tables 2 and 4). In addition, soybean and canola plots also recorded higher N₂O emissions in 1996 when compared to 1995.

Table 3. Same as for Table 2 but for January to April 1995 over barley, soybean, canola, grass, corn, and ploughed corn stubble plots. Mean soil temperatures at 1 and 10 cm measured under the barley plot

	Period					Total loss (kg N ha ⁻¹)
	First freeze	First thaw	Second freeze	Second thaw	Final Thaw	
Day of year	1–12	13–21	22–65	66–70	71–120	
Temperature (°C)						
air	-8.2	2.2	-8.1	-5.9	3.0	
1 cm	0.0	2.1	-1.0	-1.3	3.3	
10 cm	0.2	2.2	-0.5	-1.0	3.2	
	N ₂ O Flux (ng m ² s ⁻¹)					
Plots						
barley	8.0 (20.9, 37)	18.7 (39.3, 39)	8.7 (20.3, 149)	11.1 (16.5, 17)	16.1 (39.3, 212)	0.828
soybean	4.2 (6.0, 45)	1.3 (8.3, 18)	2.5 (6.0, 118)	11.4 (7.7, 17)	2.6 (8.1, 129)	0.197
canola	9.8 (6.3, 60)	-3.3 (21.2, 40)	8.9 (15.4, 183)	8.2 (12.0, 22)	10.9 (31.7, 229)	0.585
grass	0.0 (8.0, 13)	1.8 (8.4, 28)	-1.2 (8.8, 60)	6.4 (7.7, 8)	1.0 (3.9, 105)	0.026
corn	7.0 (3.5, 111)	5.5 (5.5, 49)	2.5 (4.9, 295)	22.8 (28.8, 23)	11.9 (23.1, 357)	0.523
ploughed corn stubble	9.4 (6.3, 104)	21.5 (27.3, 64)	10.1 (11.8, 241)	42.1 (38.1, 21)	14.4 (32.5, 323)	0.924

Table 4. Same as for Table 2 but for January to April 1996 over barley, soybean, canola, grass, wheat stubble with fall-manure, and ploughed wheat stubble plots. Mean soil temperature at 5 and 10 cm measured under grass at the weather station

	Period											Total loss (kg N ha ⁻¹)
	First freeze	First thaw	Second freeze	Second thaw	Third freeze	Third thaw	Fourth freeze	Fourth thaw	Fifth freeze	Fifth thaw	Final Thaw	
Day of year	1–16	17–20	21–51	52–58	59–71	72–80	81–84	85–94	95–99	100–104	105–120	
Temperature (°C)												
air	-11.1	-0.5	-8.6	0.7	-9.2	0.1	-5.3	-0.7	-3.1	2.9	5.4	
5 cm	-5.2	-0.4	-5.0	-0.4	-3.1	-1.1	-0.2	0.0	-0.2	1.5	4.6	
10 cm	-4.5	-0.5	-4.5	-0.4	-2.6	-1.0	-0.1	0.0	0.0	1.0	3.9	
	N ₂ O Flux (ng m ² s ⁻¹)											
Plots												
barley	6.5 (6.9, 71)	11.5 (18.1, 21)	1.1 (4.4, 143)	30.3 (29.9, 30)	6.7 (3.5, 61)	34.3 (33.5, 16)	40.9 (9.5, 22)	22.5 (19.9, 51)	18.1 (3.4, 22)	9.1 (17.4, 21)	19.6 (11.3, 75)	0.897
soybean	7.8 (6.8, 50)	13.2 (6.2, 18)	1.4 (6.4, 137)	16.0 (14.3, 24)	5.4 (3.2, 49)	37.6 (27.7, 25)	56.0 (21.1, 20)	46.0 (54.3, 40)	27.2 (13.7, 21)	6.0 (8.9, 15)	36.6 (31.3, 75)	1.196
canola	9.6 (10.4, 50)	17.8 (12.9, 21)	8.0 (17.9, 147)	45.7 (46.4, 30)	19.2 (5.8, 66)	47.3 (29.4, 29)	74.9 (21.9, 22)	86.4 (95.8, 48)	33.4 (13.0, 17)	41.7 (24.5, 16)	78.3 (71.0, 81)	2.343
grass	1.5 (2.7, 67)	3.3 (2.9, 22)	0.0 (3.7, 129)	0.6 (1.5, 23)	1.1 (2.2, 59)	-0.6 (8.1, 22)	3.8 (2.8, 21)	2.8 (2.9, 51)	-0.2 (5.9, 22)	0.1 (4.7, 23)	3.7 (6.1, 76)	0.084
stubble + manure	28.4 (15.9, 52)	90.0 (61.6, 18)	8.7 (11.6, 102)	56.3 (34.8, 18)	18.0 (5.9, 58)	119.3 (92.7, 24)	156.1 (107.1, 15)	90.1 (72.1, 47)	80.0 (45.9, 21)	45.7 (22.3, 11)	50.0 (34.5, 79)	3.156
ploughed wheat stubble	6.6 (3.2, 41)	36.9 (25.7, 21)	3.1 (4.0, 101)	99.0 (69.0, 18)	9.4 (4.9, 61)	55.6 (32.6, 21)	24.4 (10.3, 13)	9.1 (7.0, 45)	3.9 (1.4, 19)	6.2 (2.3, 15)	18.9 (8.6, 75)	1.163

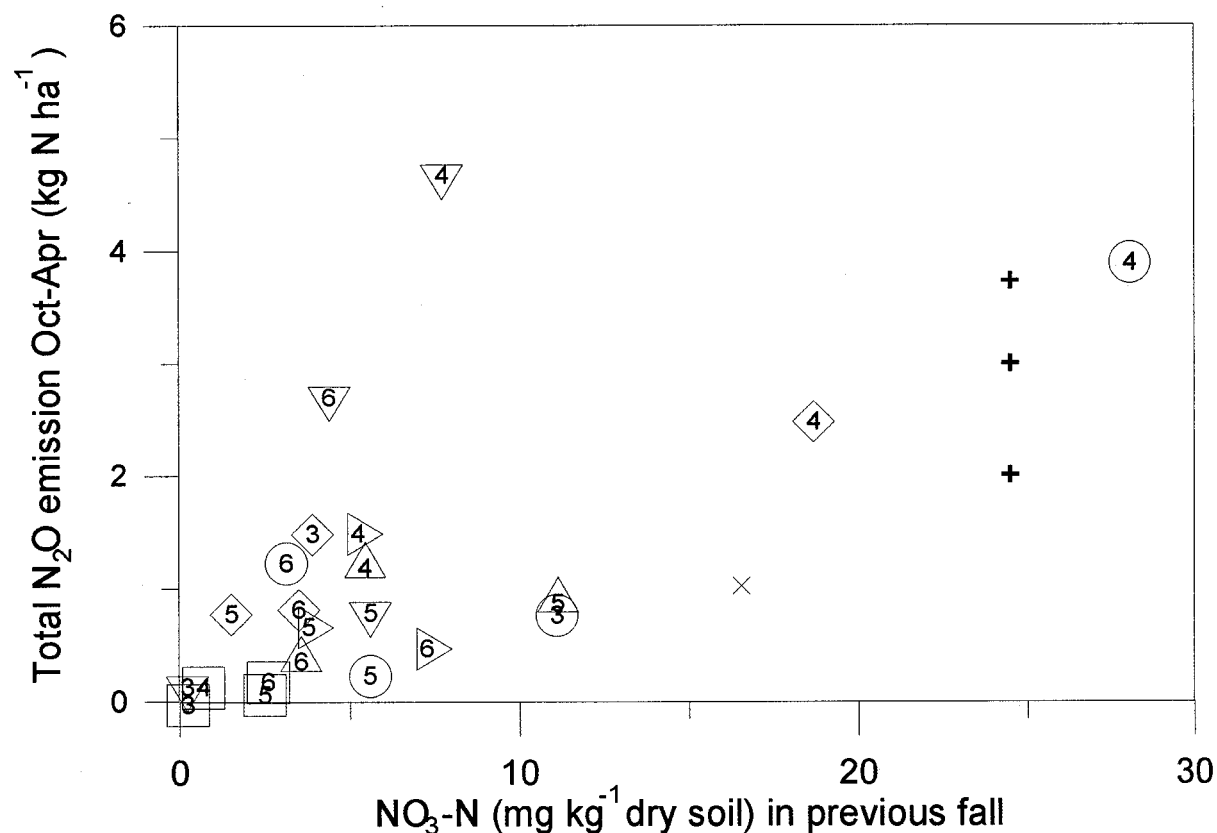


Figure 4. Total N_2O emission accumulated between January and April of each studied year for all plots studied as a function of the soil nitrate concentration in the previous fall. Soil sampling dates varied between September and November. Data points for Elora are shown with: \diamond plot 1 (fallow/barley), \circ plot 2 (manure/soybean), ∇ plot 3 (ploughed alfalfa/canola), \square plot 4 (grass), \triangleright plot 5 (spring-ploughed corn/no-till), and \triangle plot 6 (fall-ploughed corn). Data points for Arkell 1996 are shown with: + plot 1, 2, 3 (wheat stubble + manure) and \times plot 4 (ploughed stubble). Labels on each data point indicate the winter and spring thaw year at Elora (3=93, 4=94, 5=95 and 6=96).

From our results it is clear that both freeze/thaw cycles and management practices play a role in the magnitude of winter and spring emissions. The total N_2O -N emissions from October to April in each studied year were correlated to the nitrate concentration in the soil measured during the previous fall ($r=0.70$, Figure 4). For vegetated plots, such as grass, or alfalfa before ploughing in 1993, soil nitrate concentrations in the fall were less than 5 mg kg^{-1} dry soil and total N_2O -N emissions less than 0.2 kg N ha^{-1} . In the other extreme, the fallow and manured plots presented $\text{NO}_3\text{-N}$ soil concentrations in the fall that were higher than 20 mg kg^{-1} dry soil, contributing to the larger than 2 kg N ha^{-1} of N_2O -N loss between January and April of the following year. The only plot that did not fit this pattern very well was plot 3, where an alfalfa crop was ploughed down in the fall of 1993. Although $\text{NO}_3\text{-N}$ soil concentrations were less than

10 mg kg^{-1} dry soil, N_2O -N losses during October to April in 1994 and 1996 exceeded 2 kg N ha^{-1} . Rapid cycling between nitrogen in the organic form and NO_3 could explain the low NO_3 detected in the soil solution. Surprisingly, organic N from alfalfa ploughing in 1993 still seemed to be affecting N_2O emissions two years after incorporation into the soil.

It is believed that increased emissions due to freeze/thaw cycles are a combination of physical release of N_2O , and N_2O production in the surface soil, and N_2O diffusion from the subsurface soils (Goodroad and Keeney, 1984b). Christensen and Christensen (1991) showed that organic matter becomes available for denitrification when microbes are subjected to a killing freeze and aggregates are disintegrated due to freeze/thaw cycles. Flessa and Dörsch (1995) concluded that the marked increase in N_2O emissions was very likely due to increased denitri-

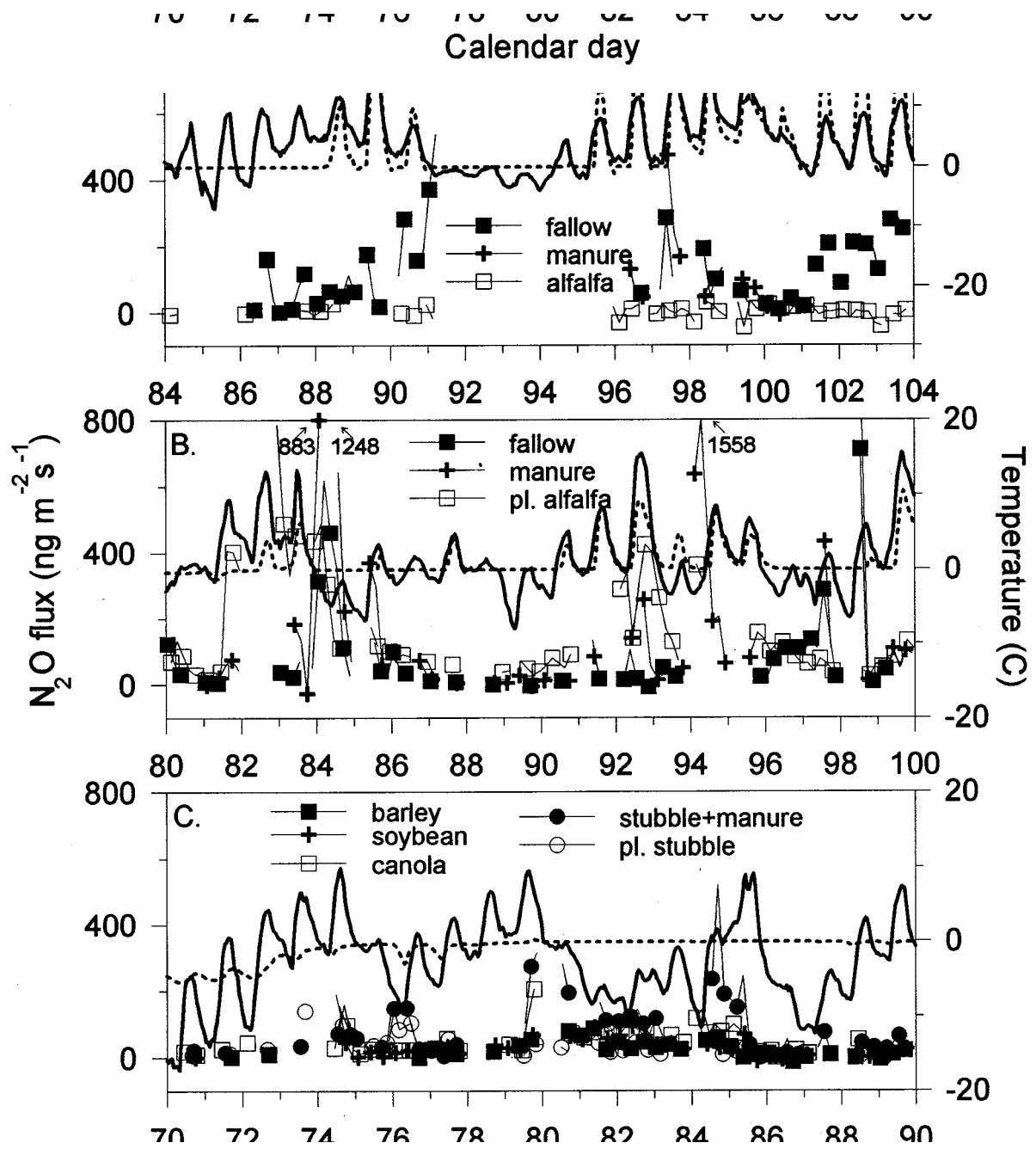


Figure 5. Hourly air (solid line) and soil temperatures at 1 cm depth (or 5 cm for 1996) for the fallow or barley residue plot (or weather station for 1996), and hourly N_2O fluxes for A. fallow, manured fallow, and alfalfa crop in 1993; B. fallow, manured fallow, and ploughed alfalfa in 1994; and C. plots previously cropped with barley, soybeans, canola, and wheat (including manure stubble and ploughed-down stubble), in 1996. Labels for C. indicate values that are off-scale. Only every second data point measured is shown.

fication activity in the uppermost thawed soil layer. During their study year, peak emissions were observed during the first freeze/thaw cycle, and citing Melin and Nommik (1983) they suggested that the suppression of nitrous oxide reductase at low temperatures could explain the initial peak observed.

In contrast, we observed N_2O fluxes peaking at the third or fourth freeze/thaw cycle, during some years, respectively in 1994 and 1996. Upon close examination of the hourly N_2O fluxes and air and soil temperatures, it can be seen that maximum N_2O fluxes occurred frequently during periods of cooling or minimum temperatures (Figure 5). Typically, a three to four-day period of increasing air temperatures, with soil temperatures increasing above freezing, followed by a cold period when soil temperatures returned to 0 °C, resulted in increased fluxes. Peaks occurred during the cooling phase of the diurnal temperature wave, as on day 91 (5:00 h) in 1993 (Figure 5A), days 84 (6:00 h) and 94 (7:00 h) in 1994 (Figure 5B), and days 76 (9:00 h) and 79 (21:00 h) in 1996 (Figure 5C). But also on the warming phase of the diurnal temperature wave, as on days 97 (10:00 h) in 1993, days 85 (10:00 h), 94 (7:00 h), 97 (13:00 h) and 98 (13:00 h) in 1994, and day 84 (17:00 h) in 1996. Two possible mechanisms might be playing a role for this lack of coupling between the soil temperature and the occurrence of N_2O emission episodes: (1) a shortage of substrate for denitrification (carbon and/or nitrate) may be limiting the production of N_2O as temperatures increase on the ascending phase of the temperature wave; and (2) a suppression of nitrous oxide reductase at low temperatures (Melin and Nommik, 1983) may be delaying the reduction of N_2O produced during the ascending phase of the temperature wave. This second mechanism may be also allowing for the accumulation of N_2O once temperatures decrease and the reduction of N_2O to N_2 stops on the descending part of the temperature wave. It is also obvious that level of nitrate in soils was limiting N_2O production by denitrification, since plots with low nitrate levels, such as alfalfa in 1993 (Figure 5A) did not present any increased fluxes. In addition, Figure 5 clearly shows that emissions occur after a change in hourly temperature from above freezing to below freezing, or vice-versa, but that these cycles do not always result in high emissions even in plots with high nitrate levels.

Conclusions

Nitrous oxide emissions from agricultural fields from January to April over four years in Ontario, Canada, ranged between 0 and 4.8 kg N ha⁻¹. Compared to yearly estimates ranging between 0.5 and 4.1 kg N ha⁻¹ based on fertilizer applications (Wagner-Riddle et al., 1997), these thaw emissions are substantial and should be considered in the nitrous oxide budgets in regions where thaw periods occur.

While other studies have measured high emissions during soil thaw, our study indicates that agricultural management can play a role in mitigating these emissions. Our data show that fallowing, manure application and alfalfa incorporation in the fall lead to high spring emissions, while the presence of plants (as in the case of alfalfa and grass) can result in negligible emissions during thaw. This presents an opportunity for mitigation of N_2O emissions through the use of over-wintering cover crops.

Emissions from plots cultivated with crops that received nitrogen fertilizer additions at planting time were much smaller than those resulting from fall applications of manure or alfalfa on uncropped plots, but it is not clear from our results if a fall nitrogen application on a vegetated plot would also result in high thaw emissions.

Hourly fluxes monitored in this study indicated a lack of coupling between the N_2O emission peaks and soil temperature maxima. In addition, the temporal variability of N_2O fluxes on an hourly basis highlighted the importance of continuous monitoring for the quantification of seasonal emission totals.

Acknowledgements

This research project was funded by grants from the Environmental Research Program of the Ontario Ministry of Environment and Energy, the Natural Sciences and Engineering Research Council of Canada, the Ontario Ministry of Agriculture, Food and Rural Affairs, and by research contracts through the Green Plan Initiative/Agriculture Canada. The technical assistance of Mr. R. Sweetman was invaluable for the conduction of the field experiments. The assistance of Ms. Adriana Furon in data analysis was greatly appreciated.

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