## FIELD INSPECTION REFERENCE - 2017 NEC





The field inspection resource is used by NY-Sun's third-party QA Contractor to evaluate the quality of the solar electric installation. NY-Sun approved builders are encouraged to reference this resource throughout the installation process for each project to ensure compliance with the NY-Sun Program rules and requirements.

|                         |         | Requirement   | Defect<br>Category | Code<br>Reference                |
|-------------------------|---------|---|--------------------|----------------------------------|
| Overall<br>Observations | Program | Existing Service Panel is not a split bus (FPE Stab-Lok, Push-O-Matic etc.,).   | Critical           | NY-Sun Program                   |
|                         |         | Array Module Manufacturer must match application.   | Incidental         | NY-Sun Program                   |
|                         |         | Array Azimuth (degree) matches application.   | Incidental         | NY-Sun Program                   |
|                         |         | Array Module Number matches application.  | Incidental         | NY-Sun Program                   |
|                         |         | Array Module Quantity matches application.  | Incidental         | NY-Sun Program                   |
|                         |         | Array Tilt (degree) matches application.  | Incidental         | NY-Sun Program                   |
|                         |         | All Material and equipment must be new and undamaged, per NY Sun program requirements.  | Major              | NY-Sun Program                   |
|                         |         | Installed Battery manufacturer shall match Program records.   | Incidental         | NY-Sun Program                   |
|                         |         | Installed Battery model number shall match Program records.   | Incidental         | NY-Sun Program                   |
|                         |         | Installed Battery quantity shall match Program records.   | Incidental         | NY-Sun Program                   |
|                         |         | Installed Inverter manufacturer shall match Program records.  | Incidental         | NY-Sun Program                   |
|                         |         | Installed Inverter quantity shall match Program records.  | Incidental         | NY-Sun Program                   |
|                         |         | Installed Inverter model number shall match Program records.  | Incidental         | NY-Sun Program                   |
|                         |         | As per Program requirements, any roof damage must be repaired prior to installation.  | Minor              | NY-Sun Program                   |
|                         |         | Site address must match site address submitted.   | Critical           | NY-Sun Program                   |
|                         |         | Current Transformers are installed and meet Program requirements.   | Major              | NY-Sun Program                   |
|                         |         | Energy Storage System Discharge Test is required.   | Major              | Energy Storage<br>System Program |
|                         |         | Battery storage system includes a manual (system description, operating and safety instructions, maintenance requirements, safe battery handling requirements and recommendations). | Minor              | Program<br>Requirement           |

|             |            | Requirement   | Defect<br>Category | Code Reference  |
|-------------|------------|---|--------------------|---|
| AC Combiner | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|             |            | AC Combiner circuit conductors are properly sized for expected current load.                                      | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|             |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)  |
|             |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7   |
|             |            | Grounded conductor(s) are insulated from metal enclosure surfaces and the ground terminal inside combiner box.    | Major              | NEC Article 250.24(A)(5)  |
|             |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24  |
|             |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12   |
|             |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17  |
|             |            | In exposed work, conductors are protected from physical damage.   | Major              | NEC Article 334.15(B)   |
|             |            | The length of the free conductors within the enclosure shall meet or exceed 6" requirement.                       | Minor              | NEC Article 300.14  |
|             |            | The neutral conductor is connected at its own dedicated terminal isolated from metal enclosure.                   | Minor              | NEC Article 408.41  |
|             |            | Conductors are properly sized for rated terminals.  | Minor              | NEC Article 110.3(B)  |
|             | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|             |            | AC conduit is adequately supported.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|             |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)  |
|             |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)  |
|             |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|             |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|             | Electrical | AC Combiner is suitable for environment.  | Major              | NEC Articles 314.15 and 110.3(B)  |
|             |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                         | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|             |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.                       | Minor              | NEC Article 110.3(B)  |
|             |            | Equipment must be sufficiently rated for expected voltage and/or current.   | Critical           | NEC Article 110.3(B)  |
|             |            | Unused openings of electrical equipment shall be properly sealed.   | Minor              | NEC Articles 110.12(A) or 408.7   |

|                         |           | Requirement   | Defect<br>Category | Code Reference   |
|-------------------------|-----------|---|--------------------|--|
| AC Combiner (continued) | Grounding | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.  | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                         |           | Grounded conductor(s) terminal lug is properly installed.   | Major              | NEC Articles 110.3(B) and 250.4  |
|                         |           | Grounding electrode conductor is continuous.  | Major              | NEC Articles 250.64(C) and 690.47  |
|                         |           | Grounding electrode conductor is sufficiently sized.  | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                         |           | AC Combiner is properly grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                         | Labeling  | All interactive system points of interconnection with other sources shall be marked at an accessible location at the disconnecting means as the power source and with the rated AC output current and the nominal operating AC voltage.   | Incidental         | NEC Articles 110.21(B) and/or 690.54   |
|                         |           | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.   | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                         |           | Where all terminals of the disconnecting means may be energized in the open position, a warning label shall be mounted on or adjacent to the disconnecting means.   | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                         |           | The sum of the ampere ratings of all overcurrent devices on panel boards, both load and supply devices, excluding the rating of the overcurrent device protecting the busbar, shall not exceed the ampacity of the busbar. The rating of the overcurrent device protecting the busbar shall not exceed the rating of the busbar. Permanent warning labels shall be applied to distribution equipment. | Incidental         | [NEC Articles 110.21(B) and 705.12(B)(2)(3)(c)]  |
|                         |           | Every circuit and circuit modification shall be legibly identified as to it's clear, evident and specific purpose or use. The identification shall include an approved degree of detail that allows each circuit to be distinguished from all others.   | Incidental         | NEC Articles 110.21(B) and 408.4(A)  |
|                         |           | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved.  | Incidental         | NEC Article 110.21   |
|                         |           | Entrances to rooms or other guarded locations that contain live parts shall be marked with conspicuous warning signs forbidding unqualified persons to enter.   | Incidental         | NEC 110.21(B) and 110.27(C), OS-<br>HA1910.145(f)(7)   |

|             |            | Requirement  | Defect<br>Category | Code Reference                              |
|-------------|------------|--|--------------------|---|
| AC Combiner | OCPD       | AC Combiner Overcurrent protection is sufficient.  | Critical           | NEC Article 240.4 and 690.9                 |
| (continued) |            | PV Backfed breaker is properly sized at, or above 125% of inverter output current  | Major              | NEC Article 240.4 and 690.9                 |
|             |            | The AC OCPD is properly sized for the expected output current of the PV system.  | Major              | NEC Article 690.9                           |
|             |            | Circuit Breaker shall be installed and used in accordance with any instruction included in the listing or labeling.                                      | Major              | NEC Article 110.3(B)                        |
|             |            | PV source circuit, PV output circuit, inverter output circuit and storage battery circuit conductors and equipment shall be protected with an OCPD.      | Critical           | NEC Article 690.9                           |
|             | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling. | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|             |            | Combiner box is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)           |

|               |            | Requirement  | Defect<br>Category | Code Reference   |
|---------------|------------|--|--------------------|--|
| AC Disconnect | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)                        |
|               |            | PV system AC output conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|               |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)   |
|               |            | Ungrounded conductor properly identified.  | Incidental         | NEC Article 200.7  |
|               |            | Grounded conductors are isolated from enclosure and ground terminal.   | Major              | NEC Article 250.24(A)(5)   |
|               |            | The grounded conductor(s) shall be routed with the ungrounded conductors to each service disconnecting means and shall be connected to each disconnecting means grounded conductor(s) terminal or bus. | Major              | NEC Article 250.24(C)  |
|               |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24   |
|               |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.   | Minor              | NEC Article 110.3(B) and 110.12  |
|               |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17   |
|               |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)   |
|               |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)  |
|               |            | The length of the free conductors within the enclosure shall meet or exceed 6" requirement.  | Minor              | NEC Article 300.14   |
|               |            | The neutral conductor is connected at its own dedicated terminal insulated from metal enclosure.   | Minor              | NEC Article 408.41   |
|               |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)   |
|               | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6) |
|               |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)    |
|               |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)   |
|               |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment   | Incidental         | NEC Article 300.7(A)   |
|               |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44                       |
|               |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|               |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)  |
|               |            | The service entrance Flexible Metal Conduit (FMC) or Liquid tight Flexible Metal Conduit (LFMC) shall not exceed 6 feet.   | Minor              | NEC Article 230.43(15)   |

|                           |            | Requirement  | Defect<br>Category | Code Reference  |
|---------------------------|------------|--|--------------------|---|
| AC Disconnect (continued) | Electrical | AC Disconnect enclosure is suitable for environment.   | Major              | NEC Articles 314.15 and 110.3(B)  |
|                           |            | Disconnect terminals are properly wired.   | Minor              | NEC Article 110.3(B), (and 240.40 if fusible)   |
|                           |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                           |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                           |            | AC Disconnect is properly rated for expected current load.   | Critical           | NEC Articles 230.79, 690.13(E) and 110.3(B)   |
|                           |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                           |            | Means to disconnect equipment such as inverters, batteries and charge controllers from all ungrounded conductors of all sources is required.   | Major              | NEC Article 690.15  |
|                           |            | AC Disconnect is present.  | Minor              | NEC Article 690.13(A)   |
|                           |            | AC Disconnect Switch must break the ungrounded conductor and keeps the grounded conductor properly grounded and unenergized.   | Major              | NEC Article 690.13  |
|                           |            | Service disconnect is properly rated for the application.  | Major              | NEC Article 230.79(D)   |
|                           |            | Service Disconnects are properly grouped.  | Minor              | NEC Article 230.72  |
|                           | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97                          |
|                           |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                           |            | Grounding electrode conductor must be continuous.  | Major              | NEC Articles 250.64(C) and 690.47   |
|                           |            | Grounding electrode conductor is properly bonded to the main premises grounding electrode system.  | Major              | NEC Articles 250.64 and 690.47  |
|                           |            | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47  |
|                           |            | AC Disconnect is grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                           |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45   |

|                              |            | Requirement  | Defect<br>Category | Code Reference                              |
|------------------------------|------------|--|--------------------|---|
| AC Disconnect<br>(continued) | Labeling   | All interactive system points of interconnection with other sources shall be marked at an accessible location at the disconnecting means as the power source and with the rated AC output current and the nominal operating AC voltage.  | Incidental         | NEC Articles 110.21(B) and/or 690.54        |
|                              |            | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)        |
|                              |            | Where all terminals of the disconnecting means may be energized in the open position, a warning label shall be mounted on or adjacent to the disconnecting means.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)        |
|                              |            | A directory is required at each DC PV system disconnecting means, AC disconnecting means for mini- and micro-inverters, and service disconnecting means showing the location of all DC and AC PV system disconnecting means in the building/structure.   | Incidental         | NEC Article 110.21(B), 690.56(B) and 705.10 |
|                              |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21                          |
|                              | OCPD       | Conductors shall be protected against overcurrent in accordance with their ampacity.   | Critical           | NEC Article 240.4 and 690.9                 |
|                              |            | The AC OCPD is properly sized for the expected output current of the PV system.  | Major              | NEC Article 690.9                           |
|                              |            | Fused AC Disconnect shall be installed and used in accordance with any instruction included in the listing or labeling and Fuses are present.  | Major              | NEC Article 110.3(B)                        |
|                              |            | No overcurrent device shall be connected in series with any conductor that is intentionally grounded.  | Major              | NEC Articles 240.22 and 690.13              |
|                              |            | PV source circuit, PV output circuit, inverter output circuit and storage battery circuit conductors and equipment shall be protected with an OCPD.  | Critical           | NEC Article 690.9                           |
|                              |            | The OCPD is properly sized for the rating of the equipment.  | Major              | NEC Article 240.3                           |
|                              |            | Fuses are present and installed in accordance with any instruction included in the listing or labeling.  | Major              | NEC Article 110.3(B)                        |
|                              |            | Equipment intended to interrupt current at fault levels shall have an interrupting rating sufficient for the current that is available at the line terminals of the equipment.   | Major              | NEC Articles 110.9, 110.10 and 230.82       |
|                              |            | The service overcurrent device shall be an integral part of the service disconnecting means or shall be located immediately adjacent.  | Critical           | NEC Articles 230.91 and/ or 110.3(B)        |
|                              | Structural | AC disconnect is installed in accordance with its listing and installation instructions.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|                              |            | AC Disconnect is installed with the appropriate clearances and protection measures.  | Minor              | NEC Articles 110.26 and 110.27(A)           |

|           |            | Requirement   | Defect<br>Category | Code Reference  |
|-----------|------------|---|--------------------|---|
| AC Module | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.   | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|           |            | Inverter PV system AC output conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|           |            | Microinverter output conductor wire splice connectors are rated for environment.  | Major              | NEC Articles 110.3(B), 110.11, and 110.14   |
|           |            | Junction Box splices and connections are secure and of high integrity.  | Major              | NEC Article 110.14  |
|           |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)  |
|           |            | Ungrounded conductor(s) are properly identified.  | Incidental         | NEC Article 200.7   |
|           |            | All array conductors are properly connected.  | Critical           | NEC Articles 110.3(B) and 110.12  |
|           |            | Circuit conductors are properly supported and protected.  | Minor              | NEC Article 334.30  |
|           |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.  | Minor              | NEC Article 338.24  |
|           |            | Outdoor wire ties/clips are UV and outdoor rated.   | Minor              | NEC Article 110.3(B)  |
|           |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.  | Minor              | NEC Article 314.17  |
|           |            | In exposed work, conductors are protected from physical damage.   | Major              | NEC Article 334.15(B)   |
|           | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|           |            | Circuit conduit or raceway is properly supported and secured.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|           |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|           | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.   | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|           |            | Microinverter grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions. | Minor              | NEC Article 110.3(B)  |

|                          |            | Requirement  | Defect<br>Category | Code Reference   |
|--------------------------|------------|--|--------------------|--|
| AC Module<br>(continued) | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                          |            | Microinverter grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions.  | Major              | NEC Articles 110.3(B) and 690.47   |
|                          |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                          |            | Microinverter grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                          |            | Listed means used to ground Microinverter chassis.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                          | Labeling   | AC modules shall be marked with identification terminals or leads with the ratings shown on the labels.  | Incidental         | NEC Articles 110.21(B) and 690.52  |
|                          | Structural | Power electronics are mounted/installed in accordance with its listing and manufacturer instructions.  | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |

|             |            | Requirement   | Defect<br>Category | Code Reference  |
|-------------|------------|---|--------------------|---|
| DC Combiner | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|             |            | DC Combiner (aggregated) output circuit conductors are properly sized for expected current load.                  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|             |            | DC Combiner splice components are rated for environment.  | Major              | NEC Articles 110.3(B), 110.11, and 110.14   |
|             |            | DC Combiner splices and connections are secure and of high integrity.   | Major              | NEC Article 110.14  |
|             |            | DC string conductors are sized properly.  | Critical           | NEC Articles 690.8 and/or 310.15  |
|             |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7   |
|             |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24  |
|             |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12   |
|             |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17  |
|             |            | The length of the free conductors within the enclosure shall meet or exceed 6" requirement.                       | Minor              | NEC Article 300.14  |
|             |            | Conductors are properly sized for rated terminals.  | Minor              | NEC Article 110.3(B)  |
|             | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|             |            | Circuit conduit or raceway is properly supported and secured.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|             |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)  |
|             |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)  |
|             |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)   |
|             |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|             |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|             |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|             | Electrical | Combiner box is suitable for environment.   | Major              | NEC Articles 314.15 and 110.3(B)  |
|             |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                         | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|             |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.                       | Minor              | NEC Article 110.3(B)  |
|             |            | Enclosure rating is sufficient for expected current load in accordance with its listing.                          | Critical           | NEC Article 110.3(B)  |
|             |            | DC Combiner is properly identified and listed.  | Major              | NEC Articles 110.3(B)   |
|             |            | Unused openings of electrical equipment shall be properly sealed.   | Minor              | NEC Articles 110.12(A) or 408.7   |

|                         |            | Requirement  | Defect<br>Category | Code Reference   |
|-------------------------|------------|--|--------------------|--|
| DC Combiner (continued) | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than 6AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)   |
|                         |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119  |
|                         |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                         |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                         |            | DC Combiner box is grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                         |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45  |
|                         | Labeling   | Interruption circuit - shall be a type that requires the use of a tool to open will be marked "Do Not Disconnect Under Load"   | Incidental         | NEC Articles 110.21(B) and 690.33(E)(2)  |
|                         |            | Where all terminals of the disconnecting means may be energized in the open position, a warning label shall be mounted on or adjacent to the disconnecting means.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                         |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |
|                         | OCPD       | Combiner string fuse is properly sized.  | Major              | NEC Article 690.9  |
|                         |            | Overcurrent devices used in any DC portion of the PV system shall have the appropriate voltage, current and interrupt ratings.   | Major              | NEC Article 690.9(B)   |
|                         |            | Inverter string fuses are 600 or 1000 VDC rated as required.   | Critical           | NEC Articles 110.3(B) and 690.9(B)   |
|                         |            | DC Combiner string fuse holder is DC rated.  | Critical           | NEC Article 110.3(B)   |
|                         |            | No overcurrent device shall be connected in series with any conductor that is intentionally grounded.  | Major              | NEC Articles 240.22 and 690.13   |
|                         |            | PV source circuit, PV output circuit, inverter output circuit and storage battery circuit conductors and equipment shall be protected with an OCPD.  | Critical           | NEC Article 690.9  |
|                         | Structural | Combiner box is properly secured in place.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                         |            | Combiner box is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)  |

|               |            | Requirement   | Defect<br>Category | Code Reference   |
|---------------|------------|---|--------------------|--|
| DC Disconnect | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)                        |
|               |            | DC circuit conductors are properly sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|               |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7  |
|               |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24   |
|               |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12  |
|               |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17   |
|               |            | All conductors of the same circuit shall be contained within the same raceway.                                    | Minor              | NEC Article 300.3(B)   |
|               |            | The length of the free conductors within the enclosure shall meet or exceed 6" requirement.                       | Minor              | NEC Article 300.14   |
|               |            | Conductors are properly sized for rated terminals.  | Minor              | NEC Article 110.3(B)   |
|               | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6) |
|               |            | Circuit conduit or raceway is properly supported and secured.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)    |
|               |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)   |
|               |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)   |
|               |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)  |
|               |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44                       |
|               |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|               |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)  |

|                              |            | Requirement  | Defect<br>Category | Code Reference  |
|------------------------------|------------|--|--------------------|---|
| DC Disconnect<br>(continued) | Electrical | DC Disconnect enclosure is suitable for environment.   | Major              | NEC Articles 314.15 and 110.3(B)  |
|                              |            | Disconnect is properly wired to ensure that fuses can be de-energized for service.   | Minor              | NEC Article 110.3(B), (and 240.40 if fusible)   |
|                              |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                              |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                              |            | Equipment must be sufficiently rated for expected voltage and/or current.  | Critical           | NEC Article 110.3(B)  |
|                              |            | Disconnect is listed for DC use.   | Critical           | NEC Article 110.3(B) and 690.15   |
|                              |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                              |            | Means to disconnect equipment such as inverters, batteries and charge controllers from all ungrounded conductors of all sources is required.   | Major              | NEC Article 690.15  |
|                              |            | Means shall be provided to disconnect all ungrounded DC conductors of a PV system from all other conductors in a building or other structure.  | Minor              | NEC Article 690.13(A)   |
|                              |            | The PV disconnect means shall disconnect all ungrounded conductors.  | Major              | NEC Article 690.13  |
|                              | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than 6AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)  |
|                              |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119   |
|                              |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97                          |
|                              |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                              |            | DC Disconnect is properly grounded.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                              |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45   |

|                              |            | Requirement  | Defect<br>Category | Code Reference                              |
|------------------------------|------------|--|--------------------|---|
| DC Disconnect<br>(continued) | Labeling   | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)        |
|                              |            | Where all terminals of the disconnecting means may be energized in the open position, a warning label shall be mounted on or adjacent to the disconnecting means.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)        |
|                              |            | A permanent label for the direct-current PV power source shall be provided by the installer at the PV disconnecting means.   | Incidental         | NEC Articles 110.21(B) and/or 690.53        |
|                              |            | A directory is required at each DC PV system disconnecting means, AC disconnecting means for mini- and micro-inverters, and service disconnecting means showing the location of all DC and AC PV system disconnecting means in the building/structure.   | Incidental         | NEC Article 110.21(B), 690.56(B) and 705.10 |
|                              |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21                          |
|                              | OCPD       | Disconnect is rated for nominal voltage and current.   | Critical           | NEC Article 110.3(B) and 690.15             |
|                              |            | Disconnect fuses are DC rated and properly sized for system voltage.   | Critical           | NEC Articles 110.3(B) and 690.9(B)          |
|                              | Structural | Disconnect is properly secured in place.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|                              |            | Disconnect is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)           |

|                |            | Requirement   | Defect<br>Category | Code Reference  |
|----------------|------------|---|--------------------|---|
| Energy Storage | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.   | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7   |
|                |            | Battery DC conductors are protected from accidental contact.  | Major              | NEC Articles 110.27 and 706.10(B)   |
|                |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.  | Minor              | NEC Article 338.24  |
|                |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.  | Minor              | NEC Article 314.17  |
|                |            | Correct flexible cables are used for battery interconnections.  | Major              | NEC Article 706.32  |
|                |            | Battery DC conductors are properly sized for expected current load.   | Major              | NEC Article 706.32  |
|                |            | Installed DC Battery cables are properly terminated.  | Major              | NEC Article 706.32  |
|                | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                |            | Conduit is adequately supported.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)   |
|                |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|                | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.   | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.   | Minor              | NEC Article 110.3(B)  |
|                |            | Means to disconnect equipment such as inverters, batteries and charge controllers from all ungrounded conductors of all sources is required.  | Major              | NEC Article 690.15  |
|                |            | Working clearances around battery bank shall be maintained.   | Minor              | NEC Articles 110.26 and 480.10(C)   |
|                |            | Batteries are properly ventilated.  | Critical           | NEC Article 480.10(A)   |
|                |            | Batteries must be installed on non-conductive supports.   | Minor              | NEC Article 480.9   |
|                |            | Battery backup system charge controller(s) properly regulate the battery charging process.  | Major              | NEC Article 706.23  |
|                |            | DC Disconnect is present for ungrounded conductors of battery systems over 60 volts DC.   | Major              | NEC Articles 480.7(A)   |
|                |            | Where battery connections are mating dissimilar metals, antioxidant material specified by the battery manufacturers installation instructions shall be used to prevent galvanic reaction/corrosion. | Major              | NEC Article 110.3(B) and 480.4(A)   |
|                |            | Electrical connections do not put mechanical strain on battery.   | Major              | NEC Articles 706.31(C) and 110.14(A)  |
|                |            | Charge Controller shall be compatible with the Energy Storage manufacturer's electrical ratings and charging specifications.  | Major              | NEC article 110.3(B) and IFC 2018, 1206.2.4   |

|                               |            | Requirement   | Defect<br>Category | Code Reference   |
|-------------------------------|------------|---|--------------------|--|
| Energy Storage<br>(continued) | Grounding  | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).  | Incidental         | NEC Article 250.119  |
|                               |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.  | Major              | NEC Articles 250.4(A)(5) and 250.64(E). Ground fault path cannot include eccentric or concentric knockouts, per NEC Article 250.97 |
|                               |            | Grounded conductor(s) terminal lug is properly installed.   | Major              | NEC Articles 110.3(B) and 250.4  |
|                               |            | Battery enclosure is properly grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                               | Labeling   | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved.                                      | Incidental         | NEC Article 110.21   |
|                               |            | The disconnecting means shall be legibly marked in the field and shall include Nominal Energy Storage System Voltage and Maximum Available Short Circuit Current.   | Incidental         | NEC Articles 110.21(B) and 480.7(D)  |
|                               | OCPD       | A listed, current-limiting, overcurrent device shall be installed in each circuit adjacent to the batteries where the available short circuit from a battery or battery bank exceeds the interrupting or withstand rating of other equipment in that circuit.   | Major              | NEC Article 690.15   |
|                               | Structural | Charge controllers and related components mounted/installed in accordance with its listing and manufacturer instructions.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                               |            | Battery Bank is mounted in accordance with its listing and manufacturer instructions.   | Major              | NEC Article 110.3(B)   |
|                               |            | Verify that the attachment of the Energy Storage unit to the wall or floor is per the approved plans. If the wall or floor construction differs from the approved plans a revision is required prior to inspection.   | Major              | Program requirement  |
|                               |            | Rooms or spaces containing Energy Storage<br>Systems shall be separated from other areas of<br>the building by fire barriers with a minimum fire<br>resistance rating of two hours and horizontal<br>assemblies with a minimum fire resistance rating<br>of two hours constructed IAW NY State Uniform<br>Building Code, local laws and ordinances. | Major              | IFC 2018 1206.2.8.2, NFP 855<br>Section 4.3.6  |
|                               | Program    | Battery storage system includes a manual (system description, operating and safety instructions, maintenance requirements, safe battery handling requirements and recommendations).   | Minor              | Program requirement  |

|            |            | Requirement  | Defect<br>Category | Code Reference   |
|------------|------------|--|--------------------|--|
| Feeder Tap | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)                        |
|            |            | Feeder conductors are properly sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|            |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)   |
|            |            | Conductors are properly spliced.   | Major              | NEC Articles 110.3(B) and 110.14   |
|            |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7  |
|            |            | The grounded conductor(s) shall be routed with the ungrounded conductors to each service disconnecting means and shall be connected to each disconnecting means grounded conductor(s) terminal or bus. | Major              | NEC Article 250.24(C)  |
|            |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24   |
|            |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.   | Minor              | NEC Article 110.3(B) and 110.12  |
|            |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17   |
|            |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)   |
|            |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)  |
|            |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)   |
|            | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6) |
|            |            | AC conduit is adequately supported.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)    |
|            |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)   |
|            |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.  | Incidental         | NEC Article 300.7(A)   |
|            |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44                       |
|            |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|            |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)  |
|            |            | The service entrance Flexible Metal Conduit (FMC) or Liquid tight Flexible Metal Conduit (LFMC) shall not exceed 6 feet.   | Minor              | NEC Article 230.43(15)   |

|                           |            | Requirement  | Defect<br>Category | Code Reference  |
|---------------------------|------------|--|--------------------|---|
| Feeder Tap<br>(continued) | Electrical | Boxes, conduit bodies and fittings installed in wet locations shall be listed for use in wet locations.  | Major              | NEC Articles 314.15 and 110.3(B)  |
|                           |            | Disconnect is properly wired to ensure that fuses can be de-energized for service.   | Minor              | NEC Article 110.3(B), (and 240.40 if fusible)   |
|                           |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                           |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                           |            | Equipment must be sufficiently rated for expected voltage and/or current.  | Critical           | NEC Article 110.3(B)  |
|                           |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                           |            | PV Disconnect is readily accessible.   | Minor              | NEC Article 690.13(A)   |
|                           | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97                          |
|                           |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                           |            | Grounding electrode conductor is properly bonded to the main premises grounding electrode system.  | Major              | NEC Articles 250.64 and 690.47  |
|                           |            | Grounding electrode conductor is present and sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47  |
|                           |            | Enclosure is properly grounded.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                           |            | When a metal water pipe is used as a grounding electrode, there must be a ground jumper present across water meter/filter.   | Major              | NEC Article 250.53(D)(1)  |
|                           |            | The ground rod (electrode) is protected from physical damage or is below/flush with the ground (8ft in contact with the soil).   | Minor              | NEC Article 250.53(G)   |
|                           |            | A metal underground water pipe shall be supplemented by an additional electrode.   | Major              | NEC Article 250.53(D)(2)  |
|                           |            | Water pipe electrode supplemented by other electrode.  | Major              | NEC Article 250.53(D)(2)  |

|                           |            | Requirement  | Defect<br>Category | Code Reference                                       |
|---------------------------|------------|--|--------------------|--|
| Feeder Tap<br>(continued) | Labeling   | Equipment containing overcurrent devices in circuits supplying power to a busbar or conductor supplied from multiple sources shall be marked to indicate the presence of all sources.  | Incidental         | NEC Articles 110.21(B), 690.59<br>and 705.12(B)(3-4) |
|                           |            | A directory is required at each DC PV system disconnecting means, AC disconnecting means for mini- and micro-inverters, and service disconnecting means showing the location of all DC and AC PV system disconnecting means in the building/structure.   | Incidental         | NEC Article 110.21(B), 690.56(B) and 705.10          |
|                           |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21                                   |
|                           |            | Entrances to rooms or other guarded locations that contain live parts shall be marked with conspicuous warning signs forbidding unqualified persons to enter.  | Incidental         | NEC 110.21(B) and 110.27(C), OS-<br>HA1910.145(f)(7) |
|                           | Structural | Feeder connection is installed with the appropriate clearances.  | Minor              | NEC Articles 110.26 and 110.27(A)                    |

|              |            | Requirement   | Defect<br>Category | Code Reference   |
|--------------|------------|---|--------------------|--|
| Ground Array | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)                        |
|              |            | Conductors are appropriately sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|              |            | DC circuit conductors are properly sized for expected current load. (1.25 x sum of parallel module lsc)           | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|              |            | Splice components must be rated for the environment they are installed.   | Major              | NEC Articles 110.3(B), 110.11, and 110.14  |
|              |            | Splices and/ or connectors must be properly secured.  | Major              | NEC Article 110.14   |
|              |            | DC string conductors meet or exceed ampacity requirements.  | Critical           | NEC Articles 690.8 and/or 310.15   |
|              |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)   |
|              |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7  |
|              |            | All array conductors are properly connected.  | Critical           | NEC Articles 110.3(B) and 110.12   |
|              |            | Circuit conductors are properly supported and protected.  | Minor              | NEC Article 334.30   |
|              |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24   |
|              |            | Outdoor wire ties/clips are UV and outdoor rated.   | Minor              | NEC Article 110.3(B)   |
|              |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12  |
|              |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17   |
|              |            | All conductors of the same circuit shall be contained within the same raceway.                                    | Minor              | NEC Article 300.3(B)   |
|              | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6) |
|              |            | DC PV source circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)    |
|              |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)   |
|              |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)   |
|              |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)  |
|              |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44                       |
|              |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|              |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)  |

|                             |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------------------------|------------|--|--------------------|---|
| Ground Array<br>(continued) | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                             |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                             |            | Means to disconnect equipment such as inverters, batteries and charge controllers from all ungrounded conductors of all sources is required. | Major              | NEC Article 690.15  |
|                             |            | A Ground Fault Circuit Interrupting (GFCI) Wet Rated (WR) receptacle is required to be installed in a wet/damp location.                     | Minor              | NEC Articles 110.3(B), 210.8(A)(3) and 406.9(B)   |
|                             | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than #6 AWG shall be protected from physical damage.        | Minor              | NEC Articles 690.46 and 250.120(C)  |
|                             |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).                                       | Incidental         | NEC Article 250.119   |
|                             |            | Grounding hardware is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                             |            | Manufacturer instructions for grounding hardware quantity must be followed.  | Minor              | NEC Article 110.3(B)  |
|                             |            | Racking system and support structure are properly grounded.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                             |            | Module frames must be grounded. WEEBs and other grounding devices must be installed correctly.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                             |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45   |
|                             |            | Module grounding hardware must be listed for the purpose.  | Major              | NEC Articles 110.3(B) and 690.43  |
|                             | Labeling   | Interruption circuit - shall be a type that requires the use of a tool to open will be marked "Do Not Disconnect Under Load"                 | Incidental         | NEC Articles 110.21(B) and 690.33(E)(2)   |
|                             | OCPD       | Overcurrent protective device present between parallel spliced DC string conductors.   | Major              | NEC Article 690.9(A)  |
|                             | Structural | PV Module shall be installed and used in accordance with any instruction included in the listing or labeling.                                | Major              | NEC Article 110.3(B)  |
|                             |            | Ground/pole mount support structure, anchor system, and or footings are installed and used according to manufacturer instructions.           | Major              | NEC Article 110.3(B)  |

|              |            | Requirement   | Defect<br>Category | Code Reference   |
|--------------|------------|---|--------------------|--|
| Junction Box | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)                        |
|              |            | Junction Box circuit conductors are properly sized for expected current load.                                     | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|              |            | Junction Box splice components are rated for environment.   | Major              | NEC Articles 110.3(B), 110.11, and 110.14  |
|              |            | Junction Box splices and connections are secure and of high integrity.  | Major              | NEC Article 110.14   |
|              |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)   |
|              |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7  |
|              |            | Grounded conductor(s) are insulated from metal enclosure surfaces and the ground terminal inside Junction Box.    | Minor              | NEC Article 250.24(A)(5)   |
|              |            | Circuit conductors are properly supported and protected.  | Minor              | NEC Article 334.30   |
|              |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24   |
|              |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17   |
|              |            | In exposed work, conductors are protected from physical damage.   | Major              | NEC Article 334.15(B)  |
|              |            | The length of the free conductors within the enclosure shall meet or exceed 6" requirement.                       | Minor              | NEC Article 300.14   |
|              | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6) |
|              |            | Conduit is adequately supported.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)    |
|              |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)   |
|              |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)   |
|              |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)  |
|              |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44                       |
|              |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|              |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)  |

|                             |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------------------------|------------|--|--------------------|---|
| Junction Box<br>(continued) | Electrical | Junction Box is suitable for environment.  | Major              | NEC Articles 314.15 and 110.3(B)  |
|                             |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                             |            | Junction Box is properly identified and listed.  | Major              | NEC Articles 110.3(B)   |
|                             |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                             |            | Junction box must be accessible.   | Minor              | NEC Article 690.34  |
|                             | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than #6 AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)  |
|                             |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119   |
|                             |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97                          |
|                             |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                             |            | Grounding electrode conductor is continuous.   | Major              | NEC Articles 250.64(C) and 690.47   |
|                             |            | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47  |
|                             |            | Listed means used to ground enclosure.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                             |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45   |
|                             | Labeling   | Where all terminals of the disconnecting means may be energized in the open position, a warning label shall be mounted on or adjacent to the disconnecting means.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)  |
|                             |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21  |
|                             | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)   |
|                             |            | Junction Box is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)   |
|                             |            | Roof penetrations are properly sealed and flashed.   | Major              | NYS Uniform Building Code and NEC Article 110.3(B)  |

|                         |            | Requirement  | Defect<br>Category | Code Reference  |
|-------------------------|------------|--|--------------------|---|
| Load Side<br>Connection | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                         |            | Conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                         |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|                         |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|                         |            | The grounded conductor(s) shall be routed with the ungrounded conductors to each service disconnecting means and shall be connected to each disconnecting means grounded conductor(s) terminal or bus. | Major              | NEC Article 250.24(C)   |
|                         |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|                         |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.   | Minor              | NEC Article 110.3(B) and 110.12   |
|                         |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17  |
|                         |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)  |
|                         |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)   |
|                         |            | The neutral conductor is connected at its own dedicated terminal insulated from metal enclosure.   | Minor              | NEC Article 408.41  |
|                         |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)  |
|                         | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                         |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                         |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)  |
|                         |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.  | Incidental         | NEC Article 300.7(A)  |
|                         |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|                         |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|                         | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                         |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |

|  |           | Requirement  | Defect<br>Category | Code Reference   |
|--|-----------|--|--------------------|--|
| Load Side<br>Connection<br>(continued) | Grounding | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|  |           | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|  |           | GEC is continuous/irreversibly spliced.  | Major              | NEC Articles 250.64(C) and 690.47  |
|  |           | Grounding electrode conductor is properly bonded to the main premises grounding electrode system.  | Major              | NEC Articles 250.64 and 690.47   |
|  |           | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|  |           | Enclosure is properly grounded using a listed grounding method.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|  |           | When a metal water pipe is used as a grounding electrode, there must be a ground jumper present across water meter/filter.   | Major              | NEC Article 250.53(D)(1)   |
|  |           | The ground rod (electrode) is protected from physical damage or is below/flush with the ground (8ft in contact with the soil).   | Minor              | NEC Article 250.53(G)  |
|  |           | A metal underground water pipe shall be supplemented by an additional electrode.   | Major              | NEC Article 250.53(D)(2)   |
|  |           | Water pipe electrode supplemented by other electrode.  | Major              | NEC Article 250.53(D)(2)   |
|  | Labeling  | All interactive system points of interconnection with other sources shall be marked at an accessible location at the disconnecting means as the power source and with the rated AC output current and the nominal operating AC voltage.  | Incidental         | NEC Articles 110.21(B) and/or 690.54   |
|  |           | Where two sources, one a primary power source and the other another power source, are located at opposite ends of a busbar that contains loads, a permanent warning label shall be applied to the distribution equipment adjacent to the back-fed breaker from the inverter.                                   | Incidental         | NEC Articles 110.21(B), 408.4(A) and 705.12 (B)(2)(3)(b)   |
|  |           | Equipment containing overcurrent devices in circuits supplying power to a busbar or conductor supplied from multiple sources shall be marked to indicate the presence of all sources.  | Incidental         | NEC Articles 110.21(B), 690.59<br>and 705.12(B)(3-4)   |
|  |           | A directory is required at each DC PV system disconnecting means, AC disconnecting means for mini- and micro-inverters, and service disconnecting means showing the location of all DC and AC PV system disconnecting means in the building/structure.   | Incidental         | NEC Article 110.21(B), 690.56(B) and 705.10  |
|  |           | Every circuit and circuit modification shall be legibly identified as to it's clear, evident and specific purpose or use. The identification shall include an approved degree of detail that allows each circuit to be distinguished from all others.  | Incidental         | NEC Articles 110.21(B) and 408.4(A)  |
|  |           | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |

|                        |            | Requirement  | Defect<br>Category | Code Reference                              |
|------------------------|------------|--|--------------------|---|
| Load Side              | OCPD       | Main panel overcurrent protection is sufficient.   | Critical           | NEC Article 240.4 and 690.9                 |
| Connection (continued) |            | PV Backfed breaker is properly sized at, or above 125% of inverter output current.   | Major              | NEC Article 240.4 and 690.9                 |
|                        |            | PV Backfed breaker rating size is properly sized to protect circuit conductors.  | Critical           | NEC Articles 310.15 and/or 690.9(B)         |
|                        |            | Back-fed plug in devices shall be secured in place by additional fastener.   | Minor              | NEC Article 408.36(D)                       |
|                        |            | Circuit Breaker shall be installed and used in accordance with any instruction included in the listing or labeling.                                      | Major              | NEC Article 110.3(B)                        |
|                        |            | Load Side connection of a utility-interactive output circuit must be properly located at the point of connection.  | Major              | NEC Article 705.12(B)(2)(3)(b)              |
|                        |            | Inverter-interactive output circuit load side connection overcurrent protective device must be properly sized.   | Critical           | NEC Article 705.12(B)(2)(3)(b)              |
|                        |            | Fuses are present and installed in accordance with any instruction included in the listing or labeling.  | Major              | NEC Article 110.3(B)                        |
|                        | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling. | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|                        |            | Main Panel is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)           |

|               |            | Requirement  | Defect<br>Category | Code Reference  |
|---------------|------------|--|--------------------|---|
| Microinverter | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|               |            | Inverter PV system AC output conductors are appropriately sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|               |            | Microinverter output conductor wire splice connectors are rated for environment.   | Major              | NEC Articles 110.3(B), 110.11, and 110.14   |
|               |            | Junction Box splices and connections are secure and of high integrity.   | Major              | NEC Article 110.14  |
|               |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|               |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|               |            | All array conductors are properly connected.   | Critical           | NEC Articles 110.3(B) and 110.12  |
|               |            | Circuit conductors are properly supported and protected.   | Minor              | NEC Article 334.30  |
|               |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|               |            | Outdoor wire ties/clips are UV and outdoor rated.  | Minor              | NEC Article 110.3(B)  |
|               |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17  |
|               |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)   |
|               | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|               |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|               |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|               | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|               |            | Microinverter wiring is installed in accordance with manufacturers installation instructions.  | Minor              | NEC Article 110.3(B)  |
|               | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and 250.64(E). Ground fault path cannot include eccentric or concentric knockouts, per NEC Article 250.97                                      |
|               |            | Microinverter grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions.  | Major              | NEC Articles 110.3(B) and 690.47  |
|               |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|               |            | Microinverter grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47  |
|               |            | Listed means used to ground Microinverter chassis.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|               | Structural | Microinverter is mounted/installed in accordance with its listing and manufacturer instructions.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)   |

|           |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------|------------|--|--------------------|---|
| Optimizer | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|           |            | Optimizer output conductor wire splice connectors are rated for environment.   | Major              | NEC Articles 110.3(B), 110.11, and 110.14   |
|           |            | Junction Box splices and connections are secure and of high integrity.   | Major              | NEC Article 110.14  |
|           |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|           |            | Optimizer PV system DC output conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/or 690.8(A)(3)   |
|           |            | All array conductors are properly connected.   | Critical           | NEC Articles 110.3(B) and 110.12  |
|           |            | Circuit conductors are properly supported and protected.   | Minor              | NEC Article 334.30  |
|           |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|           |            | Outdoor wire ties/clips are UV and outdoor rated.  | Minor              | NEC Article 110.3(B)  |
|           |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17  |
|           |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)   |
|           | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|           |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|           |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|           | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|           |            | Optimizer grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions.  | Minor              | NEC Article 110.3(B)  |
|           | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than #6 AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)  |
|           |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119   |
|           |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and 250.64(E). Ground fault path cannot include eccentric or concentric knockouts, per NEC Article 250.97                                      |
|           |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|           |            | Optimizer is properly bonded to the EGC.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|           |            | Listed means used to ground Optimizer chassis per manufacturer instructions.   | Major              | NEC Articles 110.3(B), 250.4 and 690.4  |
|           | Structural | Optimizer is mounted/installed in accordance with its listing and manufacturer instructions.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)   |

|                     |            | Requirement   | Defect<br>Category | Code Reference  |
|---------------------|------------|---|--------------------|---|
| Production<br>Meter | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                     |            | PV system AC output conductors are appropriately sized for expected current load.                                 | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                     |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)  |
|                     |            | Ungrounded conductor(s) are properly identified.  | Incidental         | NEC Article 200.7   |
|                     |            | Grounded conductor(s) are insulated from metal enclosure surface and ground terminal inside meter enclosure.      | Minor              | NEC Article 250.24(A)(5)  |
|                     |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24  |
|                     |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12   |
|                     |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17  |
|                     |            | Conductors are properly sized for rated terminals.  | Minor              | NEC Article 110.3(B)  |
|                     | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                     |            | Circuit conduit or raceway is properly supported and secured.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                     |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)  |
|                     |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)  |
|                     |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|                     |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                     |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|                     | Electrical | Meter enclosure is suitable for environment.  | Major              | NEC Articles 314.15 and 110.3(B)  |
|                     |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                         | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                     |            | Meter is installed in accordance with its listing and manufacturer instructions.                                  | Minor              | NEC Article 110.3(B)  |
|                     |            | Meter is rated for expected current load.   | Critical           | NEC Article 110.3(B)  |

|                                    |            | Requirement  | Defect<br>Category | Code Reference   |
|------------------------------------|------------|--|--------------------|--|
| Production<br>Meter<br>(continued) | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                                    |            | Grounding electrode conductor is continuous.   | Major              | NEC Articles 250.64(C) and 690.47  |
|                                    |            | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                                    |            | Grounding means for enclosure installed.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                                    | Structural | Meter Enclosure is properly suited for conditions and mounted to maintain listing.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                                    |            | Meter is installed with the appropriate clearances.  | Minor              | NEC Articles 110.26 and 110.27(A)  |

|                             |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------------------------|------------|--|--------------------|---|
| Rapid<br>Shutdown<br>Device | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                             |            | Conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                             |            | DC string conductors are sized properly.   | Critical           | NEC Articles 690.8 and/or 310.15  |
|                             |            | Ungrounded conductor properly identified.  | Incidental         | NEC Article 200.7   |
|                             |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|                             |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.   | Minor              | NEC Article 110.3(B) and 110.12   |
|                             |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17  |
|                             |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)  |
|                             |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)  |
|                             | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                             |            | Conduit is adequately supported.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                             |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.  | Incidental         | NEC Article 300.7(A)  |
|                             |            | Indoor DC source circuits are contained in metallic conduit or raceway.  | Major              | NEC Article 690.31(G)   |
|                             |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|                             |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                             |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|                             | Electrical | Boxes, conduit bodies and fittings installed in wet locations shall be listed for use in wet locations.  | Major              | NEC Articles 314.15 and 110.3(B)  |
|                             |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                             |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                             |            | Equipment must be sufficiently rated for expected voltage and/or current.  | Critical           | NEC Article 110.3(B)  |
|                             |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                             |            | Controlled conductors located inside the boundary or not more than 1 m (3 ft) from the point of penetration of the surface of the building shall be limited to not more than 80 volts within 30 seconds of rapid shutdown initiation. Voltage shall be measured between any two conductors and between any conductor and ground. | Major              | NEC Article 690.12(B)(2)(2)   |

|                             |            | Requirement  | Defect<br>Category | Code Reference   |
|-----------------------------|------------|--|--------------------|--|
| Rapid<br>Shutdown<br>Device | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than 6AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)   |
| (continued)                 |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119  |
|                             |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and 250.64(E). Ground fault path cannot include eccentric or concentric knockouts, per NEC Article 250.97 |
|                             |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                             |            | Enclosure is properly grounded.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                             |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45  |
|                             | Labeling   | PV power source labels shall appear on every section of the wiring system that is separated by enclosures, walls, partitions, ceilings or floors.  Spacing between labels not to exceed 10 feet (3M).  | Incidental         | NEC Articles 110.21(B) and 690.31(G)(3)(4)   |
|                             |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |
|                             |            | A rapid shutdown switch shall have a label located on or no more than 1 m (3 ft) from the switch that includes the following wording: RAPID SHUTDOWN SWITCH FOR SOLAR PV SYSTEM  | Incidental         | NEC Article 110.21(B) and 690.56(C)(3)   |
|                             | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                             |            | Rapid Shutdown is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)  |

|            |            | Requirement   | Defect<br>Category | Code Reference   |
|------------|------------|---|--------------------|--|
| Roof Array | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                         | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)  |
|            |            | Conductors are appropriately sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|            |            | DC Combiner (aggregated) output circuit conductors are properly sized for expected current load.                  | Critical           | NEC Article 310.15 and/ or 690.8(B)  |
|            |            | Splice components must be rated for the environment they are installed.   | Major              | NEC Articles 110.3(B), 110.11, and 110.14  |
|            |            | Splices and/ or connectors must be properly secured.  | Major              | NEC Article 110.14   |
|            |            | DC string conductors meet or exceed ampacity requirements.  | Critical           | NEC Articles 690.8 and/or 310.15   |
|            |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)   |
|            |            | Ungrounded conductor properly identified.   | Incidental         | NEC Article 200.7  |
|            |            | All array conductors are properly connected.  | Critical           | NEC Articles 110.3(B) and 110.12   |
|            |            | Circuit conductors are properly supported and protected.  | Minor              | NEC Article 334.30   |
|            |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.                                    | Minor              | NEC Article 338.24   |
|            |            | Outdoor wire ties/clips are UV and outdoor rated.   | Minor              | NEC Article 110.3(B)   |
|            |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                    | Minor              | NEC Article 110.3(B) and 110.12  |
|            |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                          | Minor              | NEC Article 314.17   |
|            |            | All conductors of the same circuit shall be contained within the same raceway.                                    | Minor              | NEC Article 300.3(B)   |
|            |            | As required, conductors are protected from physical damage.   | Major              | NEC Article 334.15(B)  |
|            | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)   |
|            |            | DC PV source circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)  |
|            |            | Conduit below grade is installed with provisions for movement.  | Minor              | NEC Article 300.5(J)   |
|            |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment. | Incidental         | NEC Article 300.7(A)   |
|            |            | Indoor DC source circuits are contained in metallic conduit or raceway.   | Major              | NEC Article 690.31(G)  |
|            |            | Conduit thermal expansion fitting is properly installed to allow for movement.                                    | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44   |
|            |            | The conduit is grounded (when required).  | Major              | NEC Articles 250.4(A)(3) and 690.43  |
|            |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)  |
|            | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                         | Minor              | NEC Article 110.14 (for conductors/splice components), NEC Article 344.14 (for RMC) and NEC Article 358.14 (for EMT) for conduit and surrounding materials |
|            |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.                       | Minor              | NEC Article 110.3(B)   |

|                           |            | Requirement  | Defect<br>Category | Code Reference  |
|---------------------------|------------|--|--------------------|---|
| Roof Array<br>(continued) | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than #6 AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)  |
|                           |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119   |
|                           |            | Grounding hardware is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4   |
|                           |            | Manufacturer instructions for grounding hardware quantity must be followed.  | Minor              | NEC Article 110.3(B)  |
|                           |            | Racking system and support structure are properly grounded.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                           |            | Module frames must be grounded. WEEBs and other grounding devices must be installed correctly.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43  |
|                           |            | Module grounding hardware must be listed for the purpose.  | Major              | NEC Articles 110.3(B) and 690.43  |
|                           |            | The metal roof panels beneath the array shall be bonded together and to an equipment grounding conductor.  | Major              | NEC Articles 690.43(B) and 250.110  |
|                           | Labeling   | Interruption circuit - shall be a type that requires the use of a tool to open will be marked "Do Not Disconnect Under Load"   | Incidental         | NEC Articles 110.21(B) and 690.33(E)(2)   |
|                           |            | PV power source labels shall appear on every section of the wiring system that is separated by enclosures, walls, partitions, ceilings or floors.  Spacing between labels not to exceed 10 feet (3M).  | Incidental         | NEC Articles 110.21(B) and 690.31(G)(3)(4)  |
|                           |            | Where circuits are embedded in build up, laminate or membrane roofing materials not covered by PV modules and associated equipment, the location of the circuits shall be clearly marked.  | Incidental         | NEC Articles 110.21(B) and 690.31(G)(I)   |
|                           |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21  |
|                           | OCPD       | Overcurrent protective device present between parallel spliced DC string conductors.   | Major              | NEC Article 690.9(A)  |
|                           | Structural | Module is properly secured to the racking system per manufacturer instructions.  | Major              | NEC Article 110.3(B)  |
|                           |            | Racking system shall be installed and used in accordance with any instruction included in the listing or labeling.   | Major              | NEC Article 110.3(B)  |
|                           |            | Roof penetrations are properly sealed and flashed.   | Major              | IBC Section 1503.2, IPC 903, and<br>NEC Article 110.3(B)                              |
|                           |            | All open vent pipes on roof are free from modules and racking system obstructions.   | Major              | In violation of IBC 903 and/or<br>vent pipe has been modified in<br>violation IBC 903 |

|                 |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------------|------------|--|--------------------|---|
| String Inverter | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                                | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                 |            | Conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                 |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|                 |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|                 |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|                 |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                           | Minor              | NEC Article 110.3(B) and 110.12   |
|                 |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                                 | Minor              | NEC Article 314.17  |
|                 |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)  |
|                 |            | The neutral conductor is connected at its own dedicated terminal insulated from metal enclosure.                         | Minor              | NEC Article 408.41  |
|                 |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)  |
|                 | Conduit    | Circuit conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                 |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                 |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)  |
|                 |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.        | Incidental         | NEC Article 300.7(A)  |
|                 |            | Indoor DC source circuits are contained in metallic conduit or raceway   | Major              | NEC Article 690.31(G)   |
|                 |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|                 |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                 |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|                 | Electrical | Boxes, conduit bodies and fittings installed in wet locations shall be listed for use in wet locations.                  | Major              | NEC Articles 314.15 and 110.3(B)  |
|                 |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                                | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                 |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.                              | Minor              | NEC Article 110.3(B)  |
|                 |            | PV array maximum DC string voltage complies with inverter maximum input voltage rating.                                  | Critical           | NEC Articles 110.3(B) and 690.7   |
|                 |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                 |            | Input string voltage is suitable for inverter rated minimum operating voltage.   | Minor              | NEC Article 110.3(B)  |
|                 |            | A Ground Fault Circuit Interrupting (GFCI) Wet Rated (WR) receptacle is required to be installed in a wet/damp location. | Minor              | NEC Articles 110.3(B), 210.8(A)(3) and 406.9(B)   |

|                                |            | Requirement  | Defect<br>Category | Code Reference   |
|--------------------------------|------------|--|--------------------|--|
| String Inverter<br>(continued) | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than 6AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)   |
|                                |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119  |
|                                |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                                |            | Array equipment grounding conductor is installed/<br>terminated in inverter according to manufacturer's<br>instruction.  | Major              | NEC Article 110.3(B)   |
|                                |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                                |            | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                                |            | Inverter metal enclosure is properly grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                                |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45  |
|                                | Labeling   | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                                |            | A permanent label for the direct-current PV power source shall be provided by the installer at the PV disconnecting means.   | Incidental         | NEC Articles 110.21(B) and/or 690.53   |
|                                |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |
|                                |            | A rapid shutdown switch shall have a label located on or no more than 1 m (3 ft) from the switch that includes the following wording: RAPID SHUTDOWN SWITCH FOR SOLAR PV SYSTEM  | Incidental         | NEC Article 110.21(B) and 690.56(C)(3)   |
|                                |            | Solidly grounded bipolar PV systems shall be clearly marked with a permanent, legible warning notice indicating that the disconnection of the grounded conductor(s) may result in overvoltage on the equipment.  | Incidental         | NEC Articles 110.21(B) and 690.31(I)   |
|                                | OCPD       | Inverter string fuses are 600 or 1000 VDC rated as required.   | Critical           | NEC Articles 110.3(B) and 690.9(B)   |
|                                |            | Inverter string fuse size matches module string series fuse rating.  | Major              | NEC Article 690.9(B)   |
|                                | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                                |            | Equipment is installed with the appropriate clearances.  | Minor              | NEC Articles 110.26 and 110.27(A)  |

|          |            | Requirement  | Defect<br>Category | Code Reference  |
|----------|------------|--|--------------------|---|
| Subpanel | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                                | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|          |            | PV system AC conductors are appropriately sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|          |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|          |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|          |            | Grounded conductor(s) are insulated from metal enclosure surface and ground terminal inside meter enclosure.             | Minor              | NEC Article 250.24(A)(5)  |
|          |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|          |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                           | Minor              | NEC Article 110.3(B) and 110.12   |
|          |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                                 | Minor              | NEC Article 314.17  |
|          |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)  |
|          |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)   |
|          |            | The neutral conductor is connected at its own dedicated terminal insulated from metal enclosure.                         | Minor              | NEC Article 408.41  |
|          |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)  |
|          | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|          |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|          |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)  |
|          |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.        | Incidental         | NEC Article 300.7(A)  |
|          |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|          |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|          |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|          | Electrical | Boxes, conduit bodies and fittings installed in wet locations shall be listed for use in wet locations.                  | Major              | NEC Articles 314.15 and 110.3(B)  |
|          |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                                | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|          |            | Equipment must be sufficiently rated for expected voltage and/or current.  | Critical           | NEC Article 110.3(B)  |
|          |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|          |            | A Ground Fault Circuit Interrupting (GFCI) Wet Rated (WR) receptacle is required to be installed in a wet/damp location. | Minor              | NEC Articles 110.3(B), 210.8(A)(3) and 406.9(B)   |

|                         |           | Requirement  | Defect<br>Category | Code Reference   |
|-------------------------|-----------|--|--------------------|--|
| Subpanel<br>(continued) | Grounding | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|                         |           | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                         |           | Grounding electrode conductor is continuous.   | Major              | NEC Articles 250.64(C) and 690.47  |
|                         |           | Grounding electrode conductor is bonded to the main premises grounding electrode system.   | Major              | NEC Articles 250.64 and 690.47   |
|                         |           | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                         |           | Subpanel is properly grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                         | Labeling  | All interactive system points of interconnection with other sources shall be marked at an accessible location at the disconnecting means as the power source and with the rated AC output current and the nominal operating AC voltage.  | Incidental         | NEC Articles 110.21(B) and/or 690.54   |
|                         |           | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                         |           | The sum of the ampere ratings of all overcurrent devices on panel boards, both load and supply devices, excluding the rating of the overcurrent device protecting the busbar, shall not exceed the ampacity of the busbar. The rating of the main overcurrent device protecting the busbar shall not exceed the rating of the busbar. Permanent warning labels shall be applied to distribution equipment. | Incidental         | [NEC Articles 110.21(B) and 705.12(B)(2)(3)(c)]  |
|                         |           | Equipment containing overcurrent devices in circuits supplying power to a busbar or conductor supplied from multiple sources shall be marked to indicate the presence of all sources.  | Incidental         | NEC Articles 110.21(B), 690.59<br>and 705.12(B)(3-4)   |
|                         |           | Every circuit and circuit modification shall be legibly identified as to it's clear, evident and specific purpose or use. The identification shall include an approved degree of detail that allows each circuit to be distinguished from all others.  | Incidental         | NEC Articles 110.21(B) and 408.4(A)  |
|                         |           | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved.   | Incidental         | NEC Article 110.21   |

|             |            | Requirement  | Defect<br>Category | Code Reference                              |
|-------------|------------|--|--------------------|---|
| Subpanel    | OCPD       | Subpanel Overcurrent protection is sufficient.   | Critical           | NEC Article 240.4 and 690.9                 |
| (continued) |            | PV Backfed breaker is properly sized at, or above 125% of inverter output current.   | Major              | NEC Article 240.4 and 690.9                 |
|             |            | PV Backfed breaker rating size is properly sized to protect circuit conductors.  | Critical           | NEC Articles 310.15 and/or 690.9(B)         |
|             |            | Back-fed plug in devices shall be secured in place by additional fastener.   | Minor              | NEC Article 408.36(D)                       |
|             |            | Circuit Breaker shall be installed and used in accordance with any instruction included in the listing or labeling.                                      | Major              | NEC Article 110.3(B)                        |
|             |            | PV source circuit, PV output circuit, inverter output circuit and storage battery circuit conductors and equipment shall be protected with an OCPD.      | Critical           | NEC Article 690.9                           |
|             | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling. | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|             |            | Subpanel is installed with the appropriate clearances.   | Minor              | NEC Articles 110.26 and 110.27(A)           |

|                           |            | Requirement  | Defect<br>Category | Code Reference  |
|---------------------------|------------|--|--------------------|---|
| Supply Side<br>Connection | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.  | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                           |            | PV system AC conductors are appropriately sized for expected current load.   | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                           |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|                           |            | Service entrance conductors are properly spliced.  | Major              | NEC Articles 110.3(B) and 110.14  |
|                           |            | Ungrounded conductor(s) are properly identified.   | Incidental         | NEC Article 200.7   |
|                           |            | The grounded conductor(s) shall be routed with the ungrounded conductors to each service disconnecting means and shall be connected to each disconnecting means grounded conductor(s) terminal or bus. | Major              | NEC Article 250.24(C)   |
|                           |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|                           |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.   | Minor              | NEC Article 110.3(B) and 110.12   |
|                           |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.   | Minor              | NEC Article 314.17  |
|                           |            | In exposed work, conductors are protected from physical damage.  | Major              | NEC Article 334.15(B)   |
|                           | Conduit    | Conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                           |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                           |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)  |
|                           |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.  | Incidental         | NEC Article 300.7(A)  |
|                           |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                           |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|                           |            | The service entrance Flexible Metal Conduit (FMC) or Liquid tight Flexible Metal Conduit (LFMC) shall not exceed 6 feet.   | Minor              | NEC Article 230.43(15)  |
|                           | Electrical | Disconnect is properly wired to ensure that fuses can be de-energized for service.   | Minor              | NEC Article 110.3(B), (and 240.40 if fusible)   |
|                           |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.  | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                           |            | Equipment must be installed in accordance with its listing and manufacturer's instructions.  | Minor              | NEC Article 110.3(B)  |
|                           |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                           |            | AC Disconnect is in a readily accessible location.   | Minor              | NEC Article 690.13(A)   |
|                           |            | Service disconnect is properly rated for the application.  | Major              | NEC Article 230.79(D)   |
|                           |            | The PV disconnect means shall disconnect all ungrounded conductors.  | Major              | NEC Article 690.13  |
|                           |            | Service Disconnects are properly grouped.  | Minor              | NEC Article 230.72  |

|  |           | Requirement   | Defect<br>Category | Code Reference   |
|--|-----------|---|--------------------|--|
| Supply Side<br>Connection<br>(continued) | Grounding | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.  | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|  |           | Grounded conductor(s) terminal lug is properly installed.   | Major              | NEC Articles 110.3(B) and 250.4  |
|  |           | Grounding electrode conductor is properly bonded to the main premise grounding electrode system.  | Major              | NEC Articles 250.64(C) and 690.47  |
|  |           | Grounding electrode conductor is sufficiently sized.  | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|  |           | Disconnect enclosure is properly grounded using a listed grounding method.  | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|  |           | When a metal water pipe is used as a grounding electrode, there must be a ground jumper present across water meter/filter.  | Major              | NEC Article 250.53(D)(1)   |
|  |           | The ground rod (electrode) is protected from physical damage or is below/flush with the ground. (8ft in contact with the soil).   | Minor              | NEC Article 250.53(G)  |
|  |           | A metal underground water pipe shall be supplemented by an additional electrode.  | Major              | NEC Article 250.53(D)(2)   |
|  |           | Water pipe electrode supplemented by other electrode.   | Major              | NEC Article 250.53(D)(2)   |
|  | Labeling  | All interactive system points of interconnection with other sources shall be marked at an accessible location at the disconnecting means as the power source and with the rated AC output current and the nominal operating AC voltage.   | Incidental         | NEC Articles 110.21(B) and/or 690.54   |
|  |           | A directory is required at each DC PV system disconnecting means, AC disconnecting means for mini- and micro-inverters, and service disconnecting means showing the location of all DC and AC PV system disconnecting means in the building/structure.  | Incidental         | NEC Article 110.21(B), 690.56(B) and 705.10  |
|  |           | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by thea code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |

|                           |            | Requirement  | Defect<br>Category | Code Reference                              |
|---------------------------|------------|--|--------------------|---|
| Supply Side<br>Connection | OCPD       | The AC OCPD is properly sized for the expected output current of the PV system.  | Major              | NEC Article 690.9                           |
| (continued)               |            | Fused AC Disconnect shall be installed and used in accordance with any instruction included in the listing or labeling and Fuses are present.                                  | Major              | NEC Article 110.3(B)                        |
|                           |            | No overcurrent device shall be connected in series with any conductor that is intentionally grounded.  | Major              | NEC Articles 240.22 and 690.13              |
|                           |            | PV source circuit, PV output circuit, inverter output circuit and storage battery circuit conductors and equipment shall be protected with an OCPD.                            | Critical           | NEC Article 690.9                           |
|                           |            | Fuses are present and installed in accordance with any instruction included in the listing or labeling.  | Major              | NEC Article 110.3(B)                        |
|                           |            | Equipment intended to interrupt current at fault levels shall have an interrupting rating sufficient for the current that is available at the line terminals of the equipment. | Major              | NEC Articles 110.9, 110.10 and 230.82       |
|                           |            | The service overcurrent device shall be an integral part of the service disconnecting means or shall be located immediately adjacent thereto.                                  | Critical           | NEC Articles 230.91 and/ or 110.3(B)        |
|                           | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling.                       | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A) |
|                           |            | Equipment is installed with the appropriate clearances.  | Minor              | NEC Articles 110.26 and 110.27(A)           |

|                       |            | Requirement  | Defect<br>Category | Code Reference  |
|-----------------------|------------|--|--------------------|---|
| Xformless<br>Inverter | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.                                | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                       |            | Conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                       |            | Grounded (neutral) conductor is properly identified.   | Incidental         | NEC Article 200.6(A)&(B)  |
|                       |            | Inverter DC ungrounded conductors are correctly identified.  | Incidental         | NEC Article 200.7   |
|                       |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.   | Minor              | NEC Article 338.24  |
|                       |            | Single conductor(s) connected correctly to the terminal or lug in accordance with its listing.                           | Minor              | NEC Article 110.3(B) and 110.12   |
|                       |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.                                 | Minor              | NEC Article 314.17  |
|                       |            | All conductors of the same circuit shall be contained within the same raceway.   | Minor              | NEC Article 300.3(B)  |
|                       |            | The neutral conductor is connected at its own dedicated terminal insulated from metal enclosure.                         | Minor              | NEC Article 408.41  |
|                       |            | Conductors are properly sized for rated terminals.   | Minor              | NEC Article 110.3(B)  |
|                       | Conduit    | Circuit conduit fittings and connectors are designed and listed for this use.  | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                       |            | Circuit conduit or raceway is properly supported and secured.  | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                       |            | Conduit below grade is installed with provisions for movement.   | Minor              | NEC Article 300.5(J)  |
|                       |            | Conduit penetrations internally sealed to prevent condensation between conditioned and unconditioned environment.        | Incidental         | NEC Article 300.7(A)  |
|                       |            | Indoor DC source circuits are contained in metallic conduit or raceway.  | Major              | NEC Article 690.31(G)   |
|                       |            | Conduit thermal expansion fitting is properly installed to allow for movement.   | Minor              | NEC Articles 300.7(B), 352.44 and tables 352.44 and 355.44  |
|                       |            | The conduit is grounded (when required).   | Major              | NEC Articles 250.4(A)(3) and 690.43   |
|                       |            | Conduit does not meet the conditions to be used as conductor support.  | Incidental         | NEC Article 300.11(C)   |
|                       | Electrical | The Inverter enclosure employs an approved moisture accumulation prevention method.                                      | Major              | NEC Articles 314.15 and 110.3(B)  |
|                       |            | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.                                | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                       |            | Inverter is installed properly according to the manufacturer installation instructions.                                  | Minor              | NEC Article 110.3(B)  |
|                       |            | PV array maximum DC string voltage complies with inverter maximum input voltage rating.                                  | Critical           | NEC Articles 110.3(B) and 690.7   |
|                       |            | Unused openings of electrical equipment shall be properly sealed.  | Minor              | NEC Articles 110.12(A) or 408.7   |
|                       |            | Input string voltage is suitable for inverter rated minimum operating voltage.   | Minor              | NEC Article 110.3(B)  |
|                       |            | A Ground Fault Circuit Interrupting (GFCI) Wet Rated (WR) receptacle is required to be installed in a wet/damp location. | Minor              | NEC Articles 110.3(B), 210.8(A)(3) and 406.9(B)   |

|                                      |            | Requirement  | Defect<br>Category | Code Reference   |
|--------------------------------------|------------|--|--------------------|--|
| Xformless<br>Inverter<br>(continued) | Grounding  | Where not routed with circuit conductors, equipment grounding conductors smaller than #6 AWG shall be protected from physical damage.  | Minor              | NEC Articles 690.46 and 250.120(C)   |
|                                      |            | Equipment grounding conductor is identified as bare, green, or green with continuous yellow stripe(s).   | Incidental         | NEC Article 250.119  |
|                                      |            | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts.   | Major              | NEC Articles 250.4(A)(5) and 250.64(E). Ground fault path cannot include eccentric or concentric knockouts, per NEC Article 250.97 |
|                                      |            | Inverter array frame grounding conductor is installed in accordance with manufacturers instruction.  | Major              | NEC Article 110.3(B)   |
|                                      |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|                                      |            | Grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|                                      |            | Inverter metal enclosure is properly grounded.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|                                      |            | Equipment grounding conductor is properly sized.   | Major              | NEC Articles 250.122 and 690.45  |
|                                      | Labeling   | Each PV system disconnecting means shall be permanently marked as to identify it as a photovoltaic system disconnect.  | Incidental         | NEC Articles 110.21(B) and 690.13(B)   |
|                                      |            | A permanent label for the direct-current PV power source shall be provided by the installer at the PV disconnecting means.   | Incidental         | NEC Articles 110.21(B) and/or 690.53   |
|                                      |            | The manufacturers name, trademark or other descriptive markings must be visible on all electrical equipment and, where required by the code, markings such as voltage, current, wattage or other ratings must be provided. All markings must have sufficient durability to withstand the environment involved. | Incidental         | NEC Article 110.21   |
|                                      |            | A rapid shutdown switch shall have a label located on or no more than 1 m (3 ft) from the switch that includes the following wording: RAPID SHUTDOWN SWITCH FOR SOLAR PV SYSTEM  | Incidental         | NEC Article 110.21(B) and 690.56(C)(3)   |
|                                      |            | Solidly grounded bipolar PV systems shall be clearly marked with a permanent, legible warning notice indicating that the disconnection of the grounded conductor(s) may result in overvoltage on the equipment.  | Incidental         | NEC Articles 110.21(B) and 690.31(I)   |
|                                      | OCPD       | Inverter string fuses are 600 or 1000 VDC rated as required.   | Critical           | NEC Articles 110.3(B) and 690.9(B)   |
|                                      |            | Inverter string fuse size matches module string series fuse rating.  | Major              | NEC Article 690.9(B)   |
|                                      | Structural | Equipment shall be firmly secured to the surface on which it is mounted and used in accordance with any instruction included in the listing or labeling.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |
|                                      |            | Equipment is installed with the appropriate clearances.  | Minor              | NEC Articles 110.26 and 110.27(A)  |

|                            |            | Requirement   | Defect<br>Category | Code Reference  |
|----------------------------|------------|---|--------------------|---|
| Xformless<br>Microinverter | Conductors | Conductor insulation type is properly rated for temperature and environmental conditions.   | Major              | NEC Articles 300.9, 310.10, 310.15(A)(3) and 334.12(B)(4)   |
|                            |            | Inverter PV system AC output conductors are appropriately sized for expected current load.  | Critical           | NEC Article 310.15 and/ or 690.8(B)   |
|                            |            | Microinverter output conductor wire splice connectors are rated for environment.  | Major              | NEC Articles 110.3(B), 110.11, and 110.14   |
|                            |            | Junction Box splices and connections are secure and of high integrity.  | Major              | NEC Article 110.14  |
|                            |            | Grounded (neutral) conductor is properly identified.  | Incidental         | NEC Article 200.6(A)&(B)  |
|                            |            | Ungrounded conductor(s) are properly identified.  | Incidental         | NEC Article 200.7   |
|                            |            | All array conductors are properly connected.  | Critical           | NEC Articles 110.3(B) and 110.12  |
|                            |            | Circuit conductors are properly supported and protected.  | Minor              | NEC Article 334.30  |
|                            |            | Wire cannot be bent at a tighter radius than 5x the diameter of the conductor.  | Minor              | NEC Article 338.24  |
|                            |            | Outdoor wire ties/clips are UV and outdoor rated.   | Minor              | NEC Article 110.3(B)  |
|                            |            | Conductors entering boxes, conduit bodies, or fittings shall be protected from abrasion.  | Minor              | NEC Article 314.17  |
|                            |            | In exposed work, conductors are protected from physical damage.   | Major              | NEC Article 334.15(B)   |
|                            | Conduit    | Conduit fittings and connectors are designed and listed for this use.   | Minor              | NEC Articles 110.3(B), 300.15 and (LFMC-350.6, PVC-352.6, LFNC-356.6, EMT-358.6)  |
|                            |            | Circuit conduit or raceway is properly supported and secured.   | Minor              | NEC Articles (LFMC-350.30,<br>PVC-352.30, EMT-358.30, Metal<br>Trough-376.30)   |
|                            |            | Conduit does not meet the conditions to be used as conductor support.   | Incidental         | NEC Article 300.11(C)   |
|                            | Electrical | Dissimilar metals must not be in contact and prevented from undergoing galvanic reaction.   | Minor              | NEC Article 110.14 (for conductors/<br>splice components), NEC Article<br>344.14 (for RMC) and NEC Article<br>358.14 (for EMT) for conduit and<br>surrounding materials |
|                            |            | Microinverter grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions. | Minor              | NEC Article 110.3(B)  |

|   |            | Requirement  | Defect<br>Category | Code Reference   |
|---|------------|--|--------------------|--|
| Xformerless<br>Microinverter<br>(continued) | Grounding  | Where operating voltage is 250V or greater and enclosure knockouts are not listed to carry fault current, metallic conduit is properly bonded to maintain electrical continuity around eccentric and concentric knockouts. | Major              | NEC Articles 250.4(A)(5) and<br>250.64(E). Ground fault path<br>cannot include eccentric or<br>concentric knockouts, per NEC<br>Article 250.97 |
|   |            | Microinverter grounding electrode conductor (WEEB or Rack) is installed in accordance with manufacturers installation instructions.  | Major              | NEC Articles 110.3(B) and 690.47   |
|   |            | Grounded conductor(s) terminal lug is properly installed.  | Major              | NEC Articles 110.3(B) and 250.4  |
|   |            | Microinverter grounding electrode conductor is sufficiently sized.   | Major              | NEC Articles 250.66, 250.122, 250.166 and 690.47   |
|   |            | Listed means used to ground Microinverter chassis.   | Major              | NEC Articles 250.4, 250.8, 250.12 and 690.43   |
|   | Structural | Microinverter is mounted/installed in accordance with its listing and manufacturer instructions.   | Major              | NEC Articles 110.3(B), 110.12 and 110.13(A)  |

